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Government Smart Card Interoperability Specification

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The National Institute of Standards and Technology

COMPUTER SECURITY

Reports on Computer Systems Technology

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Foreword

(a) This section is non-normative and is provided for informational purposes only.

(b) The Government Smart Card Initiative

The Presidential Budget for Fiscal Year 1998 stated: "The Administration wants to adopt 'smart card' technology so that, ultimately, every Federal employee will be able to use one card for a wide range of purposes, including travel, small purchases, and building access." The General Services Administration (GSA) was requested to take the lead in developing the Federal business tools of electronic commerce and smart cards. The Federal Smart Card Implementation Plan was then developed, under which GSA implemented a pilot program to test Government smart cards and related systems. As part of the implementation plan, GSA formed the Government Smart Card Inter-Agency Advisory Board (GSC-IAB) to serve as a steering committee for the U.S. Government Smart Card (GSC) program.

In 1999, the National Institute of Standards and Technology (NIST) agreed to lead development of technical specifications and standards related to the GSC program. NIST represents the GSC program in industry, government, and formal standards organizations, as appropriate, to promote GSC technology. NIST is also charged with developing a comprehensive GSC conformance test program.

In May 2000, GSA awarded the *Smart Access Common ID Card* contracts to five prime contractors to provide smart card goods and services. Information on the use and applicability of the GSA Contract can be found at <u>http://www.gsa.gov/smartcard</u>.

The GSC-IAB established the Architecture Working Group (formerly known as the Technical Working Group), which consists of representatives of the contract awardees and federal agencies. The AWG, chaired and led by NIST, developed the Government Smart Card Interoperability Specification (GSC-IS), version 1.0. This specification defined the Government Smart Card Interoperability Architecture, which satisfies the core interoperability requirements of the Common Access Smart ID Card contract and the GSC Program as a whole. The AWG subsequently updated version 1.0 and released 2.0.

(c) Change Management, Requirements Definition, and Interpretation of the Specification

The GSC-IAB has the overall responsibility to develop the policy and procedures for handling revisions of the GSC-IS and any other maintenance. These procedures will be posted on the NIST smart card program web site (see Section (d)).

As additional language bindings to the Basic Services Interface (see <u>Section 1.3</u>) are developed, they will be added to the GSC-IS.

In the longer term, it is expected that the GSA-IAB will be the governing body for the identification of the U.S. Government's requirements. Major releases of the GSC-IS will be determined by the GSC-IAB. NISTIR 6887 will be submitted for formal standardization to the ANSI approved formal standards setting body for smart card technology.

The interpretation of the GSC-IS is the responsibility of the GSC-IAB. Interpretation issues and their resolutions will be detailed on the NIST program web site (see Section (d)).

(d) Testing for Conformance

NIST is developing a comprehensive conformance test program in support of the GSC program. Products available will be subject to a formal certification process to validate conformance to the requirements of

the GSC-IS. The goal of the conformance tests is to determine whether or not a given Government Smart Card product conforms with the GSC Specification. Qualified laboratories will perform operational conformance testing. The GSC-IAB Conformance Committee is chaired by GSA, with representatives from the federal agencies and GSA contract awardees.

NIST is working on user guidance for achieving conformance certification for the various elements of the GSC-IS framework. This guidance will be posted at <u>http://smartcard.nist.gov</u>

(e) NIST Government Smart Card Program Web Site

NIST maintains a publicly accessible web site at <u>http://smartcard.nist.gov</u>. This page contains information on all aspects of the GSC program related to the GSC-IS, including:

- General program descriptions and updates
- The current version of the GSC-IS
- GSC-IS revision and standardization plans
- A list of errata and other changes to the last published version of the GSC-IS
- A list of interpretations and clarifications of the GSC-IS, as issued by the GSC-IAB
- Details of the GSC-IS interpretation procedures
- Details of the GSC-IS conformance-testing program.

Acknowledgements

The authors would like to acknowledge the efforts of the original Government Smart Card Interoperability Committee; the Government Smart Card Interagency Advisory Board, composed of representatives from the public and private sectors; the General Services Administration; the prime contractors associated with the Smart Access Common ID Card contract; and the NIST smart card team. Composed of industry and government representatives, the Interoperability Committee developed the first Government Smart Card Interoperability Specification (version 1.0) during the summer of 2000.

The efforts of the GSC Architecture Work Group (formerly known as Technical Working Group) of the Government Smart Card Interagency Advisory Board are particularly recognized. Chaired by the National Institute of Standards and Technology, the AWG was responsible for reviewing the original Government Smart Card Interoperability Specification. The AWG has been a major contributor to the development of this new version of the Government Smart Card Interoperability Specification. Special recognition is extended to the AWG.

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1. Introduction

1.1 Background

A typical configuration for a smart card system consists of a host computer with one or more smart card readers attached to hardware communications ports. Smart cards can be inserted into the readers, and software running on the host computer communicates with these cards using a protocol defined by ISO 7816-4 [ISO4] and 7816-8 [ISO8]. The ISO standard smart card communications protocol defines Application Protocol Data Units (APDU) that are exchanged between smart cards and host computers. This APDU based interface is referred to as the "virtual card edge" and the two terms are used interchangeably.

Client applications have traditionally been designed to communicate with ISO smart cards using the APDU protocol through low-level software drivers that provide an APDU transport mechanism between the client application and a smart card. Smart card families can implement the APDU protocol in a variety of ways, so client applications must have intimate knowledge of the APDU set of the smart card they are communicating with. This is generally accomplished by programming a client application to work with a specific card, since it would not be practical to design a client application to accommodate the different APDU sets of a large number of smart card families.

The tight coupling between client applications and smart card APDU sets has several drawbacks. Applications programmers must be thoroughly familiar with smart card technology and the complex APDU protocol. If the cards that an application is hard coded to use become commercially unavailable, the application must be redesigned to use different cards. Customers also have less freedom to select different smart card products, since their applications will only work with one or a small number of similar cards.

This Government Smart Card Interoperability Specification (GSC-IS) provides solutions to a number of the interoperability challenges associated with smart card technology. The original version of the GSC-IS (version 1.0, August 2000) was developed by the GSC Interoperability Committee led by the General Services Administration (GSA) and the National Institute of Standards and Technology (NIST), in association with the GSA *Smart Access Common Identification Card* contract.

1.2 Scope, Limitations, and Applicability of the Specification

The GSC-IS defines an architectural model for interoperable smart card service provider modules, compatible with both file system cards and virtual machine cards. Smart cards using both the T=0 and T=1 [ISO3] communications protocols are supported. The GSC-IS includes a Basic Services Interface (BSI), which addresses interoperability of a core set of smart card services at the interface layer between client applications and smart card service provider modules. The GSC-IS also defines a mechanism at the card edge layer for interoperation with smart cards that use a wide variety of APDU sets, including both file system cards and virtual machine cards.

Interoperability is not addressed for the following areas:

- Smart card initialization
- Cryptographic key management
- Communications between smart cards and card readers

• Communications between smart card readers and host computer systems.

1.3 Conforming to the Specification

A smart card service provider module implementation that claims conformance to the GSC-IS must implement each of the following:

- The Architectural Model, as defined in <u>Chapter 2</u>
- The Access Control Model, as defined in <u>Chapter 3</u>
- The Basic Services Interface, as defined in <u>Chapter 4</u>
- The Virtual Card Edge Interface, as defined in <u>Chapter 5</u>
- The Card Capabilities Container, as defined in <u>Chapter 6</u>
- Container Naming, as defined in <u>Chapter 7</u>
- Support for both of the Container Data Models defined in <u>Chapter 8</u> and the appropriate Appendices
- At least one language binding for BSI Services, as defined in the Appendices.

A smart card that claims conformance to the GSC-IS must support each of the following:

- The Architectural Model as it relates to smart cards, i.e., as defined in sections <u>1</u>, <u>4</u>, <u>5</u>, and <u>6</u> of <u>Chapter 2</u>
- The Access Control Model, as defined in <u>Chapter 3</u>
- Either the file system card edge interface or the VM card edge interface, as defined in <u>Chapter 5</u>
- The Card Capabilities Container, as defined in <u>Chapter 6</u>
- Container Naming, as defined in <u>Chapter 7</u>
- One of the Container Data Models defined in <u>Chapter 8</u> and the appropriate Appendix. The Access Control File and associated SEIWG string defined in <u>Appendix C</u> are mandatory for contact-type GSC cards, and the SEIWG container defined in <u>Appendix G</u> is mandatory for contactless GSC cards.

As used in this document, the conformance keywords "shall" and "must" (which are interchangeable) denote mandatory features of the GSC-IS. The keyword "should" denotes a feature that is recommended but not mandatory, while the keyword "may" denotes a feature whose presence or absence does not preclude conformance.

2. Architectural Model

2.1 Overview

The GSC-IS provides interoperability at two levels: the service call level and the card command (APDU) level. A brief explanation of these interoperability levels follows:

- Service Call Level: This level is concerned with functional calls required to obtain various services from the card (e.g., encryption, authentication, digital signatures, etc.). The GSC-IS addresses interoperability at this level by defining an Applications Programming Interface (API) called the Basic Services Interface (BSI) that defines a common high level model for smart card services. The module that implements the BSI and provides an interoperable set of smart card services to client applications is called the Service Provider Module (SPM). These services are logically divided into three modules that provide utility, secure data storage, and cryptographic services. Since an SPM generally will be implemented through a combination of hardware and software, the software component of the SPM is referred to as the Service Provider Software (SPS).
- Card Command Level: This level is concerned with the exact APDUs (ISO4) that are sent to the card to obtain the required service. The GSC-IS addresses interoperability at this level by defining the API called the Virtual Card Edge Interface (VCEI) that consists of a card-independent standard set of APDUs that support the functions defined in the BSI and implemented by the SPM.

The SPM is a combination of both these levels and it includes:

- SPS, implementing both BSI and VCEI interfaces
- Smart card reader driver
- Smart card reader
- GSC-IS conformant smart card

Certain data sets need to be available in the card to support the interoperability provided by the BSI and VCEI. To ensure that there is a standard format (or schema) for storing these data sets, and to enable uniform access and interpretation, the GSC-IS defines Data Models (DM). These Data Models provide data portability across GSC-IS conformant card implementations, ensuring that a core set of data elements is available on all cards. The storage entities for various categories of data sets are called containers. One of these containers, the Card Capability Container (CCC), describes the differences between a smart card's native APDU set and the standard APDU set defined by the VCEI. An SPS retrieves a smart card's CCC and uses it to perform the translation between the VCEI and the card's native APDU set. The GSC-IS accommodates any smart card whose APDU set can be mapped to the VCEI via a CCC definition.

The components of the GSC-IS architecture are presented in <u>Figure 2-1</u> and are further described in <u>Sections 2.2</u> - 2.8. All objects below the client application layer are components of the SPM.



Figure 2-1: The GSC-IS Architectural Model

2.2 Basic Services Interface Overview

All Smart Card Service Provider Modules shall implement the BSI. The BSI is logically organized into three provider modules:

- Utility Provider Module: Provides utility services for obtaining a list of available card readers, establishing and terminating logical connections with a smart card, etc.
- Generic Container Provider Module: Provides a unified abstraction of the storage services of smart cards, presenting applications with a simple interface for managing generic containers of data elements in Tag/Length/Value format [ISO4].
- **Cryptographic Provider Module:** Provides fundamental cryptographic services such as random number generation, authentication, and digital signature generation.

The capabilities of a given SPM depend on the smart card available to the SPM when a client application requests a service through a BSI call. In cases where a service is not available, the BSI call shall return an error code indicating that the requested service is not available. For example, a user may insert a smart card that does not have public key cryptographic capabilities and then perform an operation that causes a client application to request a digital signature calculation from the associated SPM. Since the smart card cannot provide this service, the BSI shall return a "service not available" error code to the client application.

2.3 Extended Service Interfaces Overview

Because the BSI is not a complete operational interface, real world SPM implementations may support additional functionality outside the BSI domain. Because the BSI provides an interoperable interface, it is unable to address the varying operational requirements. Therefore, real world SPM implementations may support additional functionality outside the BSI domain. An SPM may therefore include an Extended Service Interface (XSI) that provides non-interoperable, but operationally required, functions. Since XSIs are implementation and application specific, they are accommodated by the GSC-IS architectural model but are not defined in the GSC-IS. Card initialization and cryptographic key management are examples of functions that must currently be implemented in the XSI domain.

2.4 Virtual Card Edge Interface Overview

ISO 7816-4 [ISO4] defines a hierarchical file system structure for smart cards. Smart cards that conform to ISO 7816-4 [ISO4] are therefore known as "file system" cards. The Card Operating System program of a file system card is usually hard coded into the logic of the smart card integrated circuit during the manufacturing process and cannot be changed thereafter.

In recent years other smart card architectures have been created that allow developers to load executable programs onto smart cards after the cards have been manufactured. As one example, JavaCardTM [JAVA] defines a Java Virtual Machine (VM) specification for smart card processors. Developers can load compiled Java applets onto a smart card containing the JavaCardTM VM, programmatically changing the behavior of the card.

A virtual machine card is one that can be extended by loading executable programs after the card has been manufactured. This Specification uses the term "virtual machine smart card" in the general sense. A virtual machine smart card can theoretically be programmed to support any communications protocol, including the APDU based protocols of the ISO 7816-4 [ISO4] and 7816-8 [ISO8] standard.

The GSC-IS VCEI defines default sets of interoperable APDU level commands for both virtual machine and file system smart cards. The SPS of an SPM shall use the information provided by a smart card's CCC to map that card's native APDU set to the VCEI default set. The VCEI shall consist of:

- A card edge definition for file system cards
- A card edge definition for VM cards, composed of three providers:
 - A generic container provider
 - A symmetric key (SKI) cryptographic service provider
 - A public key infrastructure (PKI) cryptographic service provider.

2.5 Roles of the BSI and VCEI

The service provider modules of the BSI are a higher level abstraction of the card level providers. Standardization at the VCEI layer establishes interoperability between any GSC conformant SPS and any GSC conformant smart card. Similarly, standardization at the BSI layer establishes interoperability between any GSC conformant application and any GSC conformant SPS. Vendor neutrality is assured because GSC smart cards are interchangeable at the VCEI and GSC SPSs are interchangeable at both the BSI and VCEI.

2.6 GSC-IS Data Model Overview

Each GSC-IS conformant smart card shall conform to a GSC-IS Data Model. GSC-IS Data Models define the set of containers and data elements within each container for cards supporting that Data Model. The GSC-IS defines two Data Models: the GSC Data Model (<u>Appendix C</u>) (formerly referred to as the J.8 Data Model in GSC-IS v1.0) and the U.S. Department of Defense Common Access Card Data Model (<u>Appendix D</u>). The following containers are mandatory in either Data Model:

- CCC for contact and contactless cards and
- Access control file with SEIWG [SEIW] string for contact cards or
- SEIWG container and SEIWG [SEIW] string for contactless cards.

The remaining containers and data elements are optional. However, if an implementation requires any of the containers and data elements defined in the Data Models, the containers and data elements must conform to the Data Model definitions. Data Model requirements are presented in <u>Chapter 8</u>.

Containers are accessed through the Generic Container Provider Module of the BSI. Access to the containers are subject to the Access Control Rules (ACR) defined in <u>Chapter 3</u>.

This document uses the terms "file," "container," and "object" synonymously.

2.7 Card Capabilities Container Overview

Each GSC-IS conformant card shall carry a Card Capabilities Container. The CCC is one of the mandatory containers that must be present in all GSC-IS Data Models. The purpose of the CCC is to describe the differences between a given card's APDU set and the APDU set defined by the GSC-IS Virtual Card Edge Interface. The GSC-IS provides standard mechanisms for retrieving a CCC from a smart card (Section 6.2). Once the CCC for a particular card is obtained, software on the host computer (specifically, the SPS) uses this information to translate between the VCEI and the card's native APDU set. Deviations from the card's Data Model structure are represented in a CCC.

The CCC allows each GSC-IS conformant smart card to carry the information needed by the SPS to communicate with that card. This general mechanism for dynamically translating APDU sets eliminates the need to distribute, install, and maintain card specific APDU level drivers on host computer systems.

The rules for constructing a valid CCC are defined in <u>Section 6.3</u>. All GSC-IS smart cards shall contain a CCC that conforms to this specification.

2.8 Service Provider Software Overview

The SPS component of an SPM shall implement the BSI and the VCEI. It is responsible for retrieving CCCs from cards, using this information to translate between the smart card's native APDU set and the VCEI, and for handling the details of APDU level communications with the card. SPS implementations work with a particular card reader driver layer that transports APDUs between the SPS and the smart card.

2.9 Card Reader Drivers

The GSC-IS does not address interoperability between smart card readers and host computer systems. Several specifications already exist in this area, including the Personal Computer Smart Card (PC/SC,

[PCSC]) specification and the OpenCard Framework (OCF, [OCF]). The choice of card reader driver software is influenced to some degree by the operating environment, although PC/SC and OCF have been ported to various operating systems.

Because card reader driver solutions are available and several of these have been widely adopted, the GSC-IS allows developers the freedom to choose any card reader driver that provides the reader level services required by the SPS layer including:

- Transport of "raw" (unprocessed) APDUs between the SPS layer and the smart card,
- Functions to provide a list of available readers,
- And to establish and terminate logical connections to cards inserted into readers.

Proprietary card reader drivers can also be used as long as they provide the raw APDU transport and card reader management functions required by an SPS. Some applications may have unique requirements that mandate a special purpose card reader. For example, the configuration required by a physical access control application may not be able to accommodate a PC/SC or OCF card reader driver layer and would therefore require a custom card reader driver.

The decision not to include a card reader driver layer specification in the GSC-IS has important consequences. This implies a pair-wise relationship between an SPS and the card reader driver. An SPS implementation works with a specific card reader driver and is constrained to operate with the card readers supported by that driver. The degree of interoperability between card readers and host computer systems is entirely determined by the card reader driver component.

In cases where an industry standard card reader driver component is chosen, it is possible to take advantage of existing conformance test programs and select from a range of commercially available, conformant card readers. If a special purpose (proprietary) card reader driver is chosen, these options may not be available. In some cases proprietary card reader drivers work only with proprietary card reader designs, and may therefore require development of special purpose conformance test programs.

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3. Access Control Model

The smart card services and containers provided by a SPM are subject to a set of Access Control Rules (ACR). ACRs are defined for each card service and default container when a GSC-IS conformant smart card is initialized. The card level service providers are responsible for enforcing these ACRs and shall not provide a given service until the client application has fulfilled the applicable access control requirements. The GSC-IS specifies a discovery mechanism that allows client applications to determine the ACRs for a specific service provider or container.

It is important to note that an SPS acts as a transport and reformatting mechanism for the exchange of authentication data, such as PINs and cryptograms, between client applications and smart cards. When a client application and smart card service provider establish a security context, the primary job of the SPS is to reformat BSI level authentication structures into APDU level VCEI structures and vice versa. The current GSC-IS model does not include a mechanism for authenticating an SPS, and the SPS is not responsible for enforcing ACRs.

3.1 Available Access Control Rules

The ACRs available at the BSI level are as follows:

- Always: The corresponding service can be provided without restrictions.
- **Never:** The corresponding service can never be provided.
- External Authenticate: The corresponding service can be provided only after a GET CHALLENGE and subsequent EXTERNAL AUTHENTICATE APDUS.
- **PIN Protected:** The corresponding service is provided if and only if the verification code of the PIN associated with the service has been provided in the current card session.
- **PIN Always:** The corresponding service can be provided only if its associated PIN code has been verified immediately before each unique service request.
- External Authenticate or PIN: Either one of the two controls gives access to the service. This allows for a cardholder validation when a PIN pad is available and for an external authentication when no PIN pad is available. Or, this provides an authentication method when the application cannot be trusted to perform an external authentication and to protect the external authentication key.
- External Authenticate then PIN: The two methods must be chained successfully before access to the service is granted. This allows the authentication of both the client application and the user.
- External Authenticate and PIN: The two methods must be chained successfully before access to the service is granted. Order of the methods is not important.
- **PIN then External Authenticate:** The PIN presentation is followed by an External Authentication.
- Secure Channel (GP): The corresponding service can be provided only through a Secure Channel managed by a Global Platform [GLOB] Secure Messaging layer.
- **Update Once:** A target object can only be updated once during its lifetime.

■ Secure Channel (ISO): The corresponding service can be provided through a Secure Channel managed by an ISO [ISO4],[ISO8] Secure Messaging layer.

BSI-level ACRs are a logical combination of primitive access methods. The BSI-level access methods and associated hexadecimal values are summarized in the <u>Table 3-1</u>. Hexadecimal values are assigned to the unAccessMethodType member of the BSIAuthenticator structure defined in Section 4.5.3.

| Access Method Type | Value | Meaning |
|---------------------------|-------|----------------------------------|
| BSI_AM_XAUTH | 0x02 | External Authentication. |
| BSI_AM_SECURE_CHANNEL_GP | 0x04 | Secure Channel (Global Platform) |
| BSI_AM_PIN | 0x06 | PIN code is required |
| BSI_AM_SECURE_CHANNEL_ISO | 0x0B | Secure Channel (ISO 7816-4) |

The BSI-level ACRs and associated hexadecimal values are summarized in <u>Table 3-2</u>. Hexadecimal values are returned in the ACRType member of the BSIAcr structure defined in <u>Section 4.6.3</u>. The BSIAcr structure is present in the members of the GCacr structure defined in <u>Section 4.6.3</u> and the CRYPTOacr structure defined in <u>Section 4.7.5</u>.

| Access Control Rule Type (ACRType) | Access Method List | Logical Relation between AMs | Value | Meaning |
|--|------------------------------|---------------------------------------|-------|---|
| BSI_ACR_ALWAYS | - | - | 0x00 | No access control rule is required |
| BSI_ACR_NEVER | - | Ι | 0x01 | Operation is never possible |
| BSI_ACR_XAUTH | BSI_AM_XAUTH | Ι | 0x02 | External Authentication |
| BSI_ACR_XAUTH_OR _PIN | BSI_AM_XAUTH, BSI_AM_PIN | OR | 0x03 | The object method can be accessed either after an External Authentication or after a successful PIN presentation |
| BSI_SECURE_CHANN EL_GP | BSI_AM_SECURE_ CHANNEL_GP | I | 0x04 | Secure Channel (Global Platform) |
| BSI_ACR_PIN_ALWA YS | BSI_AM_PIN | _ | 0x05 | PIN must be verified immediately prior to service request |
| BSI_ACR_PIN | BSI_AM_PIN | Ι | 0x06 | PIN code is required |
| BSI_ACR_XAUTH_TH EN_PIN | BSI_AM_XAUTH, BSI_AM_PIN | AND | 0x07 | External Authentication followed by a PIN presentation |
| BSI_ACR_UPDATE_O NCE | _ | _ | 0x08 | The target object can only be updated once during its lifetime |

Table 3-2: BSI Access Control Rule Types

| Access Control Rule Type (ACRType) | Access Method List | Logical Relation between AMs | Value | Meaning |
|--|-------------------------------|---------------------------------------|---------------|---|
| BSI_ACR_PIN_THEN _XAUTH | BSI_AM_PIN, BSI_AM_XAUTH | AND | 0x09 | PIN presentation followed by External Authentication |
| Reserved for future use | - | - | 0x0A | RFU |
| BSI_SECURE_CHANN EL_ISO | BSI_AM_SECURE_ CHANNEL_ISO | - | 0x0B | Secure Channel (ISO 7816-4) |
| BSI_ACR_XAUTH_AN D_PIN | BSI_AM_XAUTH, BSI_AM_PIN | AND | 0x0C | PIN presentation AND External Authentication in any order are required. |
| Reserved for future use | - | - | 0x0D- 0xFF | RFU |

The External Authentication method shall conform with ISO 7816-4 [ISO4] and 7816-8 [ISO8]. The mandated cryptographic algorithm is DES3-ECB [DES], with a double length key-size 16 bytes and a challenge of 8 bytes. This method is described in Section 3.3.2.

The ACR for the Secure Channel implies cryptographic operations performed at the APDU level. A passthrough function is provided in the BSI (Section 4.5.13) to allow applications to create a secure channel and operate inside this channel.

3.2 Determining Containers

Applications can retrieve the ACR that must be fulfilled to access a specific service or container. ACR retrieval processes are defined for each provider module as follows:

- **Utility Service Provider Module:** No access control is applied.
- Generic Container Service Provider Module: ACRs for generic container services are encoded in the GCacr structure returned by the function gscBsiGcGetContainerProperties().
- **Cryptographic Service Provider Module:** ACRs for cryptographic services are encoded in the CRYPTOacr structure returned by the function gscBsiGetCryptoProperties().

Each of the services associated with a provider module have a different set of allowable ACRs. When a provider module is created (instantiated), the module creator must assign the ACRs for each of the services provided by the module from the set of supported ACRs, listed in <u>Tables 3-3</u> and <u>3-4</u>.

| Service | ACR supported |
|----------------------------------|---------------------|
| | BSI_ACR_ALWAYS |
| gscBsiGcDataCreate() | BSI_ACR_NEVER |
| gscbsigcDatacreate() | BSI_ACR_PIN |
| | BSI_ACR_XAUTH |
| | BSI_ACR_ALWAYS |
| gscBsiGcDataDelete() | BSI_ACR_NEVER |
| gsebsideDataDerete() | BSI_ACR_PIN |
| | BSI_ACR_XAUTH |
| | BSI_ACR_ALWAYS |
| gscBsiGcReadTagList() | BSI_ACR_PIN |
| | BSI_ACR_XAUTH |
| | BSI_ACR_ALWAYS |
| gscBsiGcReadValue() | BSI_ACR_PIN |
| | BSI_ACR_XAUTH |
| | BSI_ACR_ALWAYS |
| | BSI_ACR_NEVER |
| gscBsiGcUpdateValue() | BSI_ACR_PIN |
| | BSI_ACR_XAUTH |
| | BSI_ACR_UPDATE_ONCE |
| gscBsiGcGetContainerProperties() | BSI_ACR_ALWAYS |

Table 3-3: ACRs for Generic Container Provider Module Services

Table 3-4: ACRs for Cryptographic Provider Module Services

| Service | ACR supported | | |
|---------------------------------|--------------------|--|--|
| gscBsiGetChallenge() | BSI_ACR_ALWAYS | | |
| | BSI_ACR_ALWAYS | | |
| gscBsiSkiInternalAuthenticate() | BSI_ACR_PIN | | |
| | BSI_ACR_XAUTH | | |
| | BSI_ACR_ALWAYS | | |
| gscBsiPkiCompute() | BSI_ACR_PIN | | |
| gscbsiPkicompuce() | BSI_ACR_PIN_ALWAYS | | |
| | BSI_ACR_XAUTH | | |
| | BSI_ACR_ALWAYS | | |
| gscBsiPkiGetCertificate() | BSI_ACR_PIN | | |
| | BSI_ACR_XAUTH | | |
| gscBsiGetCryptoProperties() | BSI_ACR_ALWAYS | | |

Note: When using the gscBsiPkiCompute() function for signature operation, it is highly recommended that the implementation require BSI_ACR_PIN_ALWAYS for access control.

3.3 Establishing a Security Context

Once a client application has determined the ACR associated with a service or a container, it must establish a security context with the card. To fulfill the ACR for a container or service, the application builds a BSIAuthenticator data structure and passes it in a call to the gscBsiUtilAcquireContext() function.

Establishing a security context involves authentication of the parties involved in the service exchange. These parties include the user executing the client application, the client application itself, and the smart card. The GSC-IS ACRs are based on three general authentication mechanisms: PIN Verification, External Authentication, and Secure Messaging.

The External Authentication method assumes that the authentication key has been formerly distributed to both parties (client application and smart card) in a secure way.

It is important to note that at the smart card level, the privileges are granted sequentially. Prior to acquiring a new privilege, the client application shall release the previously acquired security context, if any exists, by calling the BSI's function gscBsiUtilReleaseContext().

<u>Sections 3.3.1</u> through <u>3.3.3</u> describe typical BSI call sequences that a client application would use for each of the three authentication mechanisms in order to acquire the context for the desired smart card service.

3.3.1 PIN Verification

For a PIN Verification known also as Card Holder Verification (CHV), the client application would make the following calls:

- Establish a logical connection with the card through a call to the BSI's function gscBsiUtilConnect().
- Retrieve the ACRs for a desired card service through a call to either gscBsiGcGetContainerProperties() or gscBsiGetCryptoProperties(). These interface methods return the ACRs for all services available from the smart card (Sections 4.6.3 or 4.7.5, respectively). If PIN Verification is required for a particular service (e.g., gscBsiGcReadValue() or gscBsiPkiCompute()), the ACR returned in the GCacr or CRYPTOacr structure for this service must be BSI_ACR_PIN.
- Call gscBsiUtilAcquireContext() with the BSIAuthenticator structures required to satisfy the ACR for the desired smart card service. In this example, for PIN verification, the BSI Authenticator structure shall contain the PIN value in the authValue field and accessMethodType set to BSI_ACR_PIN.
- Access the desired smart card service through subsequent BSI calls.
- Call gscBsiUtilReleaseContext() to release the security context.

3.3.2 External Authentication

A typical BSI sequence of calls for an External Authentication:

- Establish a logical connection with the card through a call to gscBsiUtilConnect().
- Retrieve the ACRs for a desired card service provider through a call to either gscBsiGcGetContainerProperties() or gscBsiGetCryptoProperties(). These interface methods return the ACRs for all services available from the smart card (Section 4.6.3 or Section 4.7.5 respectively). If External Authentication is required for a particular service (e.g., gscBsiGcReadValue() or gscBsiPkiCompute()), the ACR returned in the GCacr or CRYPTOacr structure for this service must be BSI_ACR_XAUTH.

- Call gscBsiGetChallenge() to retrieve a random challenge from the smart card. The random challenge is retained by the smart card for use in the subsequent verification step of the External Authentication protocol. The client application calculates a cryptogram by encrypting the random challenge using a symmetric External Authentication key. The client application may need to examine the keyIDOrReference member of the appropriate ACR returned in GCacr or CRYPTOacr to determine which External Authentication key it should use to encrypt the random challenge.
- The client application calls the BSI's gscBsiUtilAcquireContext() function passing the cryptogram computed in the previous step.
- The smart card decrypts the Authenticator using its External Authentication key, and verifies that the resulting plaintext value matches the original random challenge value.
- Access the desired smart card service through subsequent BSI calls.
- Call gscBsiUtilReleaseContext() to release the security context.

3.3.3 Secure Messaging

Secure messaging involves the establishment of a secure channel between the client application and the smart card at the APDU level. The BSI provides a pass-through call that allows a client application to establish a direct APDU level secure channel with a card in accordance with the Global Platform [GLOB] or ISO 7816-4 [ISO4]

4. Basic Services Interface

4.1 Overview

An SPM must provide a BSI. Client applications communicate with the SPM through this interface. The SPS component of the SPM is directly responsible for implementing the BSI.

This chapter defines the BSI services, using notation similar to Interface Definition Language (IDL) which is referred to as pseudo IDL throughout this document. The set of services consists of 23 functions grouped into three functional modules as follows:

A Smart Card Utility Provider Module:

- gscBsiUtilAcquireContext()
- gscBsiUtilConnect()
- gscBsiUtilDisconnect()
- gscBsiUtilBeginTransaction()
- gscBsiUtilEndTransaction()
- gscBsiUtilGetVersion()
- gscBsiUtilGetCardProperties()
- gscBsiUtilGetCardStatus()
- gscBsiUtilGetExtendedErrorText()
- gscBsiUtilGetReaderList()
- gscBsiUtilPassthru()
- gscBsiUtilReleaseContext()

A Smart Card Generic Container Provider Module:

- gscBsiGcDataCreate()
- gscBsiGcDataDelete()
- gscBsiGcGetContainerProperties()
- gscBsiGcReadTagList()
- gscBsiGcReadValue()
- gscBsiGcUpdateValue()

A Smart Card Cryptographic Provider Module:

■ gscBsiGetChallenge()

- gscBsiSkiInternalAuthenticate()
- gscBsiPkiCompute()
- gscBsiPkiGetCertificate()
- gscBsiGetCryptoProperties()

All SPM implementations must provide the full set of 23 functions as specified in this chapter. Based on the capabilities available, a given function call may return a BSI_NO_CARDSERVICE or BSI_NO SPSSERVICE error message in case the SPM does not provide the requested service. This error message may be returned by any BSI function that maps directly to a card-level operation, as follows:

- gscBsiUtilGetCardProperties()
- gscBsiGcDataCreate()
- gscBsiGcDataDelete()
- gscBsiGcGetContainerProperties()
- gscBsiGcReadTagList()
- gscBsiGcReadValue()
- gscBsiGcUpdateValue()
- gscBsiGetChallenge()
- gscBsiSkiInternalAuthenticate()
- gscBsiPkiCompute()
- gscBsiPkiGetCertificate()
- gscBsiGetCryptoProperties()

Extensions to the BSI, in the form of an XSI (see Section 2.3), may be present in an implementation to allow additional functionality. The functions in an XSI shall not alter the specified behavior or semantics of the BSI functions in that implementation.

ACRs for each provider module are defined in <u>Chapter 3</u>, <u>Table 3-2</u>, <u>Table 3-3</u>, and Table 3-4. <u>Section 4.4</u> defines BSI return codes and <u>Section 4.5</u> defines 23 functions of the BSI, using pseudo IDL.

4.2 Binary Data Encoding

BSI functions accept or return binary data, such as cryptograms. However, some of the BSI services may pass or get some ASCII or ASCII hexadecimal formatted data depending on the usage. In this case, each of the services involved must explicitly mention this and which of its parameter(s) is/are impacted.

4.3 Mandatory Cryptographic Algorithms

The following cryptographic algorithms and associated algorithm identifiers are mandatory for all GSC smart cards. These algorithm ID values are used as parameters at the BSI level.

- Algorithm Identifier "0x81": DES3-ECB, with a double length key-size, 16 bytes.
- Algorithm Identifier "0xA3": RSA_NO_PAD, the private key computation, Chinese Remainder.
- Algorithm Identifier "0x82": DES3-CBC, with a double length key-size, 16 bytes.

4.4 BSI Return Codes

Table 4-1 lists all possible errors that BSI functions could return. For each function description (Sections 4.5.3 to 4.7.5), return codes are listed in order of precedence, except for the successful return with BSI_OK.

| Label | Return Code Hexadecimal Value | Meaning | |
|-------------------------|----------------------------------|--|--|
| BSI_OK | 0x00 | Execution completed successfully. | |
| BSI_ACCESS_DENIED | 0x01 | The applicable ACR was not fulfilled. | |
| BSI_ACR_NOT_AVAILABLE | 0x02 | The specified ACR is incorrect. | |
| BSI_BAD_AID | 0x03 | The specified Application Identifiers (AID) does not exist. | |
| BSI_BAD_ALGO_ID | 0x04 | The specified cryptographic algorithm is not available. | |
| BSI_BAD_AUTH | 0x05 | Invalid authentication data. | |
| BSI_BAD_HANDLE | 0x06 | The specified card handle is not available. | |
| BSI_BAD_PARAM | 0x07 | One or more of the specified parameters is incorrect. | |
| BSI_BAD_TAG | 0x08 | Invalid tag information. | |
| BSI_CARD_ABSENT | 0x09 | The smart card associated with the specified card handle is not present. | |
| BSI_CARD_REMOVED | 0x0A | The smart card associated with the specified card handle has been removed. | |
| BSI_NO_SPSSERVICE | 0x0B | The SPS does not provide the requested service. | |
| BSI_IO_ERROR | 0x0C | Error encountered during input/output of the specified data. | |
| - | 0x0D | RFU | |
| BSI_INSUFFICIENT_BUFFER | 0x0E | The buffer allocated by the calling application is too small. | |
| BSI_NO_CARDSERVICE | 0x0F | The smart card associated with the specified card handle does not provide the requested service. | |
| BSI_NO_MORE_SPACE | 0x10 | There is insufficient space in the selected container to store the specified data. | |
| BSI_PIN_BLOCKED | 0x11 | The PIN is blocked. | |
| _ | 0x012 | RFU | |
| BSI_TAG_EXISTS | 0x13 | The tag specified for a create operation already exists in the target container. | |
| BSI_TIMEOUT_ERROR | 0x14 | A connection could not be established with the smart card before the timeout value expired. | |

Table 4-1: BSI Return Codes

| Label | Return Code Hexadecimal Value | Meaning |
|-----------------------|----------------------------------|--|
| BSI_TERMINAL_AUTH | 0x15 | The card reader has performed a successful authentication exchange with the smart card. |
| BSI_NO_TEXT_AVAILABLE | 0x16 | No extended error text is available. |
| BSI_UNKNOWN_ERROR | 0x17 | The requested operation has generated an unspecified error. |
| BSI_UNKNOWN_READER | 0x18 | The specified reader does not exist. |
| BSI_SC_LOCKED | 0x19 | The smart card associated with the specified card handle is under the exclusive transaction of another client application (see blocking mode in <u>Section 4.5.6</u>) |
| BSI_NOT_TRANSACTED | 0x20 | The current transaction has not ended. |

4.5 Smart Card Utility Provider Module Interface Definition

Section 4.5.1 presents the pseudo IDL used to define the 23 functions of the BSI services.

4.5.1 Pseudo IDL Definition

Using a modified Backus-Naur notation, a definition for the pseudo IDL is presented as follows:

```
BSI_IDL_Definition: (BSI_Function_Unit, ...)
BSI_Function_Unit:(
               Function_Prototype:
               (
                     [Return_Type], // See below for possible values
                    Function_Name,
                     [Parameters*: (
                         Way: {"IN" | "OUT" | "INOUT"},
                         Parameter_Type, // See below for possible values
                        Parameter_Name
                                    )
                    ]
               )
(Return_Type | Paramater_Type) : Type
Type:
        "unsigned long"
        "string"
        "boolean"
        "short"
        "sequence" +<Type> // represent a sequence of element of type "Type"
        "GCacr" // structure
"GCContainerSize" // structure
                      // structure
        "CRYPTOacr"
        "BSIAuthenticator" // structure
        "BSIAcr"
                     // structure
```

The types GCacr, GCContainerSize, CRYPTOacr and BSIAuthenticator are structure. The definition of a structure is as follows:

Struct_Definition: (Struct_Definition, ...)
4.5.2 Rules

A description of the symbols used is in <u>Table 4-2</u>.

| Symbol | Meaning | |
|--------|---|--|
| : | is composed of | |
| [] | optional element | |
| () | includes or included in | |
| , | separates elements | |
| | element repeats unspecified number of times | |
| { } | choose one from list | |
| | or, indicates choice of possibilities for element value | |
| + | element is combined with preceding element | |
| // | remainder of line contains comments | |
| | contains a value | |
| * | number of elements is zero or several | |

Table 4-2: Description of Symbols

<u>Tables 4-3</u> and <u>4-4</u> are the pseudo IDL to Java and pseudo IDL to C mappings for the different types specified above.

| Table 4-3: | Mapping | Pseudo IDL to Java |
|------------|---------|--------------------|
|------------|---------|--------------------|

| IDL type | Java type |
|------------------------------|--|
| unsigned long | int |
| String | byte[] or Java.lang.String (depending on the format : binary, ASCII or ASCII hex.) |
| Boolean | boolean |
| octet (unsigned 8 bits type) | short |
| sequence + <type></type> | <type>[] or Vector of Type</type> |
| Gcacr | class Gcacr |
| GCContainerSize | class GCContainerSize |
| CRYPTOacr | class CRYPTOacr |
| BSIAcr | Class BSIAcr |

Table 4-4: Mapping Pseudo IDL to C

| IDL type | C type |
|---|--------------------------------------|
| unsigned long | unsigned long |
| String | unsigned char * |
| Boolean | boolean |
| octet (unsigned 8 bits type) | unsigned char |
| sequence + <type></type> | <type>[] (for byte see below)</type> |
| sequence <byte></byte> | unsigned char * |
| Gcacr | struct Gcacr |
| Gctag | unsigned char |
| GCContainerSize | struct GCContainerSize |
| CRYPTOacr | struct CRYPTOacr |
| BSIAcr | struct BSIAcr |
| String (with n characters max, null terminated) | char[n] |

4.5.3 gscBsiUtilAcquireContext()

| Purpose: | This function shall establish a session with a target container on the smart card by submitting the appropriate Authenticator in the BSIAuthenticator structure. For ACRs requiring external authentication (XAUTH), the authValue field of the BSIAuthenticator structure must contain a cryptogram calculated by encrypting random challenge from gscBsiGetChallenge(). In cases where the card acceptance device authenticates the smart card, this function returns a BSI_TERMINAL_AUTH return code and the cryptogram is ignored. For ACRs that require chained authentication such as BSI_ACR_PIN_AND_XAUTH, the calling application passes in the required authenticators in multiple | |
|-------------|---|--|
| | BSIAuthenticator stru PIN and the appropriate Ex BSIAuthenticator stru accessMethodType field of authenticator contained in BSI_ACR_PIN_AND_XAUT BSIAuthenticators: or Authentication cryptogram would have an accessMet | ctures. In this example the calling application passes a ternal Authentication cryptogram in two ctures. The client application must set the of each BSIAuthenticator structure to match the type in the structure. To satisfy an ACR of H, the application would construct a sequence of two he containing a PIN and one containing an External . The BSIAuthenticator structure containing the PIN hodType of BSI_AM_PIN, and the BSIAuthenticator ternal Authentication cryptogram would have an |
| Prototype: | unsigned long gscBsi IN unsigned long IN string IN sequence <bsiau IN unsigned long);</bsiau | UtilAcquireContext(hCard, AID, thenticator> strctAuthenticator, authNb |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | AID: | Target container AID value. The parameter shall be in ASCII hexadecimal format. |
| | strctAuthenticator: | A sequence of structures containing the authenticator(s) specified by the ACR required to access a value in the container. The required list of authenticators is returned by gscBsiGcGetContainerProperties(). The calling application is responsible for allocating this structure. |
| | authNb: | Number of authenticator structures contained in strctAuthenticator. |
| | The BSIAuthenticator structure is defined as follows: | |
| | struct BSIAuthentica unsigned long unsigned long | tor { accessMethodType; keyIDOrReference; |

sequence<byte> authValue; }; Variables associated with the BSIAuthenticator structure: Access Method Type (see <u>Table 3-1</u> in <u>Section 3.1</u>). accessMethodType: This function does not support secure channel and will return a BSI_BAD_PARAM if this field is set to one of the secure channel authentication methods. Key identifier or reference of the authenticator. This is keyIDOrReference: used to distinguish between multiple authenticators with the same Access Method Type. Authenticator, can be an external authentication authValue: cryptogram or PIN. If the authenticator value is NULL, then the SPS is in charge of gathering authentication

information and authenticating to the card.

Return Codes: BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_ACR_NOT_AVAILABLE BSI_BAD_AUTH BSI_CARD_REMOVED BSI_PIN_BLOCKED BSI_UNKNOWN_ERROR BSI_TERMINAL_AUTH BSI_BAD_PARAM BSI_SC_LOCKED shall be stored as ASCII encoded String. (See Section 4.2)

4.5.4 gscBsiUtilConnect()

Purpose: Establish a logical connection with the smart card in a specified reader. BSI_TIMEOUT_ERROR will be returned if a connection cannot be established within a specified time. The timeout value is implementation dependent. **Prototype:** unsigned long gscBsiUtilConnect(IN string readerName, OUT unsigned long hCard); **Parameters:** Card connection handle. hCard: readerName: Name of the reader that the smart card is inserted into. If this field is a NULL pointer, the SPS shall attempt to connect to the smart card in the first available reader, as returned by a call to the BSI's function gscBsiUtilGetReaderList(). The reader name string

| Return Codes: | BSI_OK |
|----------------------|--------------------|
| | BSI_BAD_PARAM |
| | BSI_UNKNOWN_READER |
| | BSI_CARD_ABSENT |
| | BSI_TIMEOUT_ERROR |
| | BSI_UNKNOWN_ERROR |

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4.5.5 gscBsiUtilDisconnect()

 Purpose:
 Terminate a logical connection to a smart card.

 Prototype:
 unsigned long gscBsiUtilDisconnect(IN unsigned long hCard);

 Parameters:
 hCard:
 Card connection handle from gscBsiUtilConnect().

 Return Codes:
 BSI_OK BSI_BAD_HANDLE BSI_CARD_REMOVED BSI_UNKNOWN_ERROR

4.5.6 gscBsiUtilBeginTransaction()

| Purpose: | hCard. When the transaction accessing the smart card when be made: a blocking transact boolean type parameter iden the call will return immedian returned error code will be to wait indefinitely for any act | usive transaction with the smart card referenced by on starts, all other applications are precluded from tile the transaction is in progress. Two types of calls can extion call and a non-blocking transaction call, with a ntifying which mode is called. In the non-blocking mode, tely if another client has an active transaction lock. The BSI_SC_LOCKED. In the blocking mode, the call will ive transaction locks to be released. A transaction must scBsiUtilEndTransaction(). | |
|---------------|---|---|--|
| | For single-threaded BSI implementations, it can be assumed that each application will be associated with a separate process. The same process that starts a transaction must also complete the transaction. For multi-threaded BSI implementations, it can be assumed that each application will be associated with a separate thread and/or process. The same thread that starts a transaction must also complete the transaction. If this function is called by a thread that has already called gscBsiUtilBeginTransaction() but has not yet called gscBsiUtilEndTransaction(), it will return the error BSI_NOT_TRANSACTED. | | |
| | | | |
| | - | r Software) does not support transaction locking, it should IO_SPSSERVICE in response to a call to action() . | |
| Prototype: | unsigned long gscBsi IN unsigned long IN boolean); | UtilBeginTransaction(hCard blType | |
| Parameters: | hCard: | Card communication handle returned from gscBsiUtilConnect() | |
| | blType: | Boolean specifying the type of transaction call (blType set to "true" in blocking mode. blType set to "false" in non-blocking mode). | |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_UNKNOWN_ERROR BSI_SC_LOCKED BSI_NOT_TRANSACTED BSI_NO_SPSSERVICE | | |

4.5.7 gscBsiUtilEndTransaction()

| Purpose: | This function ends a previously started transaction, allowing other blocked applications to begin or resume interactions with the card. If this function is called by a thread that has not yet called gscBsiUtilBeginTransaction(), it will return the error BSI_NOT_TRANSACTED. If the SPS does not support transaction locking, it should return the error code BSI_NO_SPSSERVICE in response to a call to gscBsiUtilEndTransaction(). | |
|---------------|---|--|
| Prototype: | unsigned long gscBsiU IN unsigned long); | UtilEndTransaction(hCard |
| Parameters: | hCard: | Card communication handle returned from gscBsiUtilConnect(). |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_UNKNOWN_ERROR BSI_NOT_TRANSACTED BSI_NO_SPSSERVICE | |

4.5.8 gscBsiUtilGetVersion()

| Purpose: | Returns the BSI implementation version. | |
|---------------|---|---|
| Prototype: | unsigned long gscBsi INOUT string); | UtilGetVersion(version |
| Parameters: | version: | The BSI and SPS version formatted as "major,minor,revision,build_number". The value for an SPS conformant with this version of the GSC-IS is "2,1,0, <build number="">". The build number field is vendor/implementation dependent. The version name string shall be stored as ASCII encoded String. (See Section 4.2)</build> |
| Return Codes: | BSI_OK BSI_INSUFFICIENT_BUF BSI_UNKNOWN_ERROR | FER |

4.5.9 gscBsiUtilGetCardProperties()

Purpose: Retrieves Card Capability Container ID and capability information for the smart card.

 Parameters:
 hCard:
 Card connection handle from gscBsiUtilConnect().

 CCCUniqueID:
 Buffer for the Card Capability Container ID.

 cardCapability:
 Bit mask value defining the providers supported by the smart card. The bit masks represent the Generic Container Data Model, the Symmetric Key Interface, and the Public Key Interface providers respectively:

 #define_RSI_CCCDM
 0x00000001

| #deiine | BSI_GCCDM | $0 \times 0 0 0 0 0 0 0 1$ |
|---------|-----------|----------------------------|
| #define | BSI_SKI | 0x0000002 |
| #define | BSI_PKI | 0×00000004 |

| Return Codes: | BSI_OK |
|----------------------|-------------------------|
| | BSI_BAD_HANDLE |
| | BSI_CARD_REMOVED |
| | BSI_INSUFFICIENT_BUFFER |
| | BSI_NO_CARDSERVICE |
| | BSI_UNKNOWN_ERROR |
| | BSI_SC_LOCKED |

4.5.10 gscBsiUtilGetCardStatus()

Checks whether a given card handle is associated with a smart card that is inserted **Purpose:** into a powered up reader. **Prototype:** unsigned long gscBsiUtilGetCardStatus(IN unsigned long hCard); **Parameters:** Card connection handle from gscBsiUtilConnect(). hCard: **Return Codes:** BSI_OK BSI_BAD_HANDLE BSI_CARD_REMOVED BSI_UNKNOWN_ERROR

4.5.11 gscBsiUtilGetExtendedErrorText()

| Purpose: | When a BSI function call returns an error, an application can make a subsequent call gscBsiUtilGetExtendedErrorText to receive additional error information from the card reader driver layer, if available. Since the GSC-IS architecture accommodates different card reader driver layers, the error text information will be dependent on the card reader driver layer used in a particular implementation. This function must be called immediately after the error has occurred. | |
|---------------|--|--|
| Prototype: | unsigned long gscBsi IN unsigned long OUT string); | UtilGetExtendedErrorText(hCard, errorText |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | errorText: | A fixed length buffer containing an implementation specific error text string. The text string has a maximum length of 255 characters. The calling application must allocate a buffer of 255 bytes. If an extended error text string is not available, this function returns a NULL string and the return code BSI_NO_TEXT_AVAILABLE. The error text string shall be stored as ASCII encoded String. (See Section 4.2) |
| Return Codes: | DGI OV | |

| Return Codes: | BSI_OK |
|---------------|-----------------------|
| | BSI_BAD_HANDLE |
| | BSI_NO_TEXT_AVAILABLE |
| | BSI_UNKNOWN_ERROR |

4.5.12 gscBsiUtilGetReaderList()

| Purpose: | Retrieves the list of available readers. | |
|----------------------|---|--|
| Prototype: | unsigned long gscBsi INOUT sequence <st);</st | |
| Parameters: | readerList: | Reader list buffer. The reader list is returned as a multi- string. The list of available readers shall be stored as ASCII encoded String. (See Section 4.2) |
| Return Codes: | BSI_OK BSI_INSUFFICIENT_BUF | FER |

BSI_UNKNOWN_ERROR

4.5.13 gscBsiUtilPassthru()

Purpose: Allows a client application to send a "raw" ISO 7816-4 [ISO4] APDU through the BSI directly to the smart card and receive the APDU-level response.

Parameters:hCard:Card connection handle from gscBsiUtilConnect().cardCommand:The APDU to be sent to the smart card. That parameter
must be in ASCII hexadecimal format.cardResponse:Pre-allocated buffer for the APDU response from the
smart card. The response must include the status bytes
SW1 and SW2 returned by the smart card. If the size of
the buffer is insufficient, the SPS shall return truncated
response data and the return code
BSI_INSUFFICIENT_BUFFER. That parameter must
be in ASCII hexadecimal format.

Return Codes: BSI_OK BSI_BAD_HANDLE BSI_BAD_PARAM BSI_INSUFFICIENT_BUFFER BSI_CARD_REMOVED BSI_UNKNOWN_ERROR BSI_SC_LOCKED

4.5.14 gscBsiUtilReleaseContext()

| Purpose: | Terminate a session with the target container on the smart card. | |
|---------------|---|---|
| Prototype: | unsigned long gscBsi IN unsigned long IN sequence <byte>);</byte> | hCard, |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | AID: | Target container AID value. The AID shall be stored as an ASCII hexadecimal string. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_CARD_REMOVED BSI_UNKNOWN_ERROR BSI_SC_LOCKED | |

4.6 Smart Card Generic Container Provider Module Interface Definition

4.6.1 gscBsiGcDataCreate()

| Purpose: | Create a new data item in { | Tag, Length, Value} format in the selected container. |
|---------------|--|---|
| Prototype: | <pre>unsigned long gscBsid IN unsigned long IN string IN octet IN sequence<byte>);</byte></pre> | hCard, AID, tag, |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | AID: | Target container AID value. The parameter shall be in ASCII hexadecimal format. |
| | tag: | Tag of data item to store. |
| | value: | Data value to store. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_BAD_PARAM BSI_CARD_REMOVED BSI_NO_CARDSERVICE BSI_ACCESS_DENIED BSI_NO_MORE_SPACE BSI_TAG_EXISTS BSI_IO_ERROR BSI_UNKNOWN_ERROR BSI_SC_LOCKED | |

4.6.2 gscBsiGcDataDelete()

Purpose: Delete the data item associated with the tag value in the specified container.

| Prototype: | unsigned long gscBsi IN unsigned long IN string IN octet); | |
|---------------|---|---|
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | AID: | Target container AID value. The parameter shall be in ASCII hexadecimal format. |
| | tag: | Tag of data item to delete. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_BAD_TAG BSI_CARD_REMOVED BSI_NO_CARDSERVICE BSI_SC_LOCKED BSI_ACCESS_DENIED BSI_IO_ERROR BSI_UNKNOWN_ERROR | |

4.6.3 gscBsiGcGetContainerProperties()

| Purpose: | Retrieves the properties of the | he specified container. |
|-------------|--|--|
| Prototype: | <pre>unsigned long gscBsiC IN unsigned long IN string OUT GCacr OUT GCContainerSiz OUT string);</pre> | GcGetContainerProperties(hCard, AID, strctGCacr, ze strctContainerSizes, containerVersion |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | AID: | Target container AID value. The parameter shall be in ASCII hexadecimal format. |
| | strctGCacr: | Structure indicating access control conditions for all operations. The range of possible values for the members of this structure is defined in <u>Table 3-2</u> (Section 3.1). The allowable ACRs for each function are listed in <u>Table 3-3</u> (Section 3.2). keyIDOrReference contains the key identifier or reference for each access method contained in the ACR in order of appearance. authNb is the number of access methods logically combined in the ACR. ACRID is RFU and must be NULL (0x00). |
| | <pre>struct GCacr { BSIAcr BSIAcr BSIAcr BSIAcr BSIAcr BSIAcr BSIAcr };</pre> | <pre>createACR; deleteACR; readTagListACR; readValueACR; updateValueACR;</pre> |
| | <pre>struct BSIAcr { unsigned long unsigned long unsigned long unsigned long };</pre> | ACRType; keyIDOrReference[MaxNbAM]; AuthNb; ACRID; |
| | strctContainerSizes: | For Virtual Machine cards, the size (in bytes) of the container specified by AID.maxNbDataItems is the size of the T-Buffer, and maxValueStorageSize is the size of the V-Buffer. For file system cards than cannot calculate these values, both fields of this structure will be set to 0. |
| | struct GCContainerSiz unsigned long unsigned long | ze { |

| | } | |
|---------------|---|--|
| | containerVersion: | Version of the container. The format of this value is application dependent. In cases where the smart card cannot return a container version, this byte sequence will be empty. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_CARD_REMOVED BSI_SC_LOCKED BSI_NO_CARDSERVICE BSI_UNKNOWN_ERROR | |

4.6.4 gscBsiGcReadTagList()

Purpose: Return the list of tags in the selected container.

| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
|-------------|-----------|---|
| | AID: | Target container AID value. The parameter shall be in ASCII hexadecimal format. |
| | tagArray: | An array containing the list of tags for the selected container. |

Return Codes: BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_CARD_REMOVED BSI_SC_LOCKED BSI_NO_CARDSERVICE BSI_ACCESS_DENIED BSI_INSUFFICIENT_BUFFER BSI_UNKNOWN_ERROR

4.6.5 gscBsiGcReadValue()

| Purpose: | Returns the Value associate | ed with the specified Tag. |
|---------------|--|---|
| Prototype: | <pre>unsigned long gscBsi IN unsigned long IN string IN octet INOUT sequence<by);</by </pre> | hCard, AID, tag, |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | AID: | Target container AID value. The parameter shall be in ASCII hexadecimal format. |
| | tag: | Tag value of data item to read. |
| | value: | Value associated with the specified tag. The client application must allocate the buffer. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_BAD_TAG BSI_CARD_REMOVED BSI_SC_LOCKED BSI_NO_CARDSERVICE BSI_ACCESS_DENIED BSI_INSUFFICIENT_BUF BSI_IO_ERROR | FER |

BSI_UNKNOWN_ERROR

4.6.6 gscBsiGcUpdateValue()

Updates the Value associated with the specified Tag. **Purpose: Prototype:** unsigned long gscBsiGcUpdateValue(IN unsigned long hCard, IN string AID, IN octet tag, IN sequence<byte> value); **Parameters:** Card connection handle from gscBsiUtilConnect(). hCard: Target container AID value. The parameter shall be in AID: ASCII hexadecimal format. Tag of data item to update. tag: New Value of the data item. value: **Return Codes:** BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_BAD_PARAM BSI BAD TAG BSI_CARD_REMOVED BSI_SC_LOCKED BSI NO CARDSERVICE BSI_ACCESS_DENIED BSI_NO_MORE_SPACE BSI_IO_ERROR BSI_UNKNOWN_ERROR

4.7 Smart Card Cryptographic Provider Module Interface Definition

4.7.1 gscBsiGetChallenge()

| Purpose: | challenge-response authent smart card. The client subs and returns the encrypted ra | rated challenge from the smart card as the first step of a ication protocol between the client application and the equently encrypts the challenge using a symmetric key andom challenge to the smart card through a call to text() in the authValue field of a ture. |
|---------------|---|--|
| Prototype: | unsigned long gscBsi IN unsigned long IN string INOUT sequence <by);</by | hCard, AID, |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | AID: | Target container AID value. The parameter shall be in ASCII hexadecimal format. |
| | challenge: | Random challenge returned from the smart card. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_CARD_REMOVED BSI_SC_LOCKED BSI_NO_CARDSERVICE BSI_INSUFFICIENT_BUF BSI_UNKNOWN_ERROR | FER |

4.7.2 gscBsiSkiInternalAuthenticate()

| Purpose: | the card reader authenticate cryptogram. In these cases | cryptogram in response to a challenge. In cases where s the smart card, this function does not return a a BSI_TERMINAL_AUTH will be returned if the card cates the smart card. BSI_ACCESS_DENIED is returned thenticate the smart card. |
|---------------|--|---|
| Prototype: | <pre>unsigned long gscBsi: IN unsigned long IN string IN octet IN sequence<byte> INOUT sequence<byte);</byte </byte></pre> | |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | AID: | SKI provider module AID value. The parameter shall be in ASCII hexadecimal format. |
| | algoID: | Identifies the cryptographic algorithm that the smart card must use to encrypt the challenge. All conformant implementations shall, at a minimum, support DES3- ECB (Algorithm Identifier 0x81) and DES3-CBC (Algorithm Identifier 0x82). Implementations may optionally support other cryptographic algorithms. |
| | challenge: | Challenge generated by the client application and submitted to the smart card. |
| | cryptogram: | The cryptogram computed by the smart card. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_BAD_PARAM BSI_BAD_ALGO_ID BSI_CARD_REMOVED BSI_SC_LOCKED BSI_NO_CARDSERVICE BSI_ACCESS_DENIED BSI_TERMINAL_AUTH BSI_INSUFFICIENT_BUFI BSI_UNKNOWN_ERROR | FER |

4.7.3 gscBsiPkiCompute()

Purpose: Performs a private key computation on the message digest using the private key associated with the specified AID.

| Prototype: | unsigned long gscBsiPki (| Compute(|
|------------|----------------------------------|----------|
| | IN unsigned long | hCard, |
| | IN string | AID, |
| | IN octet | algoID, |
| | IN sequence <byte></byte> | message |
| | INOUT sequence <byte></byte> | result |

);

е, U'l' sequence<by

| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
|---------------|--|---|
| | AID: | PKI provider module AID value. The parameter shall be in ASCII hexadecimal format. |
| | algoID: | Identifies the cryptographic algorithm that will be used to generate the signature. All conformant implementations shall, at a minimum, support RSA_NO_PAD (Algorithm Identifier 0xA3). Implementations may optionally support other algorithms. |
| | message: | The message digest to be signed. |
| | result: | Buffer containing the signature. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_BAD_PARAM BSI_BAD_ALGO_ID BSI_CARD_REMOVED BSI_SC_LOCKED | |

- BSI_ACCESS_DENIED BSI_NO_CARDSERVICE BSI_INSUFFICIENT_BUFFER
- BSI_UNKNOWN_ERROR

4.7.4 gscBsiPkiGetCertificate()

Purpose: Reads the certificate from the smart card. **Prototype:** unsigned long gscBsiPkiGetCertificate(IN unsigned long hCard, IN string AID, INOUT sequence
byte> Certificate); **Parameters:** hCard: Card connection handle from gscBsiUtilConnect(). PKI provider module AID value. The parameter shall be AID: in ASCII hexadecimal format. Buffer containing the certificate. certificate: **Return Codes:** BSI OK BSI_BAD_HANDLE BSI_BAD_AID BSI CARD REMOVED BSI_SC_LOCKED BSI_NO_CARDSERVICE BSI_ACCESS_DENIED BSI_IO_ERROR BSI_INSUFFICIENT_BUFFER BSI_UNKNOWN_ERROR

4.7.5 gscBsiGetCryptoProperties()

Purpose: Retrieves the Access Control Rules associated with the PKI provider module. **Prototype:** unsigned long gscBsiGetCryptoProperties(IN unsigned long hCard, IN string AID. OUT CRYPTOacr strctCRYPTOacr, OUT unsigned long keyLen); **Parameters:** hCard: Card connection handle from gscBsiUtilConnect(). AID: AID of the PKI provider. The parameter shall be in ASCII hexadecimal format. strctCRYPTOacr: Structure indicating access control conditions for all operations. The BSIAcr structure is defined in Section 4.6.3. The range of possible values for the members of this structure are defined in Table 3-2 (Section 3.1), and the allowable ACRs for each function in Table 3-4 (Section 3.2). keyIDOrReference contains the key identifier or reference for each access method contained in the ACR in order of appearance. authNb is the number of access methods logically combined in the ACR. ACRID is RFU and must be NULL (0x00) in this version. Note that the readValueACR member maps to the gscBsiPkiGetCertificate() function. struct CRYPTOacr { BSIAcr getChallengeACR; internalAuthenticateACR; BSIAcr BSIAcr pkiComputeACR; BSIAcr createACR; BSIAcr deleteACR; BSIAcr readTaqListACR; BSIAcr readValueACR; updateValueACR; BSIAcr }; Length in bits of the private key managed by the PKI keyLen: provider. **Return Codes:** BSI_OK BSI_BAD_HANDLE BSI BAD AID BSI CARD REMOVED BSI_SC_LOCKED BSI NO CARDSERVICE BSI_UNKNOWN_ERROR

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5. Virtual Card Edge Interface

The Virtual Card Edge Interface includes two sets of APDU commands: (1) an ISO 7816-4 [ISO4] and 7816-8 [ISO8] conformant GSC-IS APDU set for use in conformant file system cards, and (2) a set of VM APDUs for use in VM cards. The card edge also consists of the CCC, which is a file located on each conformant smart card, and the GSC-IS APDU mapping mechanism.

The GSC-IS ISO-conformant APDU set can be implemented directly by conformant cards (such as in a conformant file system card or as a VM card applet). It is expected that some file system smart cards may use native APDU instruction sets that will differ from the GSC-IS APDU set. In those cases, an SPS must modify the ADPU set such that it conforms to the smart card's native APDU set. This is done using the GSC-IS APDU mapping mechanism described in <u>Section 5.2</u> and in <u>Chapter 6</u>.

<u>Sections 5.1</u> through <u>5.3</u> describe the GSC-IS APDU set, overview information on the procedures for mapping this APDU set to smart card-specific APDU sets, and the APDUs for VM cards only. <u>Chapter 6</u> provides details on the rules and procedures for APDU translations according to the CCC grammar.

5.1 GSC-IS ISO Conformant APDUs

Table 5-1 shows the GSC-IS APDU set for file system and VM cards. The APDUs are conformant with ISO 7816-4 [ISO4] and 7816-8 [ISO8], however some values have been defined for cryptogram lengths and cryptographic algorithm identifiers. Additional behavior for the APDUs would be described in a smart card's CCC tuples using the descriptor code mechanisms. Support for secure messaging is not provided in this APDU set; as described in <u>Section 3.3.3</u>, secure messaging is implemented via the **gscBsiUtilPassthru()** mechanism in accordance with the Global Platform [GLOB] or ISO 7816-4 [ISO4].

| GSC-IS APDU Set | | | |
|------------------------------|-----------------------------|--|--|
| | GET RESPONSE | | |
| | READ BINARY | | |
| | SELECT DF | | |
| Generic File Access APDUs | SELECT EF UNDER SELECTED DF | | |
| | SELECT FILE | | |
| | SELECT MASTER FILE (Root) | | |
| | UPDATE BINARY | | |
| | | | |
| | EXTERNAL AUTHENTICATE | | |
| Access Control APDUs | GET CHALLENGE | | |
| Access Control APDOS | INTERNAL AUTHENTICATE | | |
| | VERIFY | | |

Table 5-1: GSC-IS APDU Set

| GSC-IS APDU Set | | | | |
|-----------------------|-----------------------------|--|--|--|
| | | | | |
| Public Key Operations | MANAGE SECURITY ENVIRONMENT | | | |
| APDUs | PERFORM SECURITY OPERATION | | | |

The APDUs are divided into three categories: Generic File Access, Access Control, and Public Key Operations. The ADPU commands and responses are structured as follows:

Table 5-2: APDU Command and Response Structure



The terms described in <u>Table 5-3</u> are used throughout this section.

| Table 5-3: APDU Command and Response Structure |
|--|
|--|

| APDU Term | Description |
|----------------|--|
| CLA | Class byte |
| Data Field | String of bytes sent in the data field of the command |
| FC | Function code, used in the CCC grammar to identify the default APDU that is being mapped (see <u>Chapter 6</u> for detailed information) |
| L _c | Number of bytes present in data field of the command |
| L _e | Maximum number of bytes expected in the data field of the response to the command |
| INS | Instruction byte; ISO 7816 defines a set of common commands, e.g., 'B0' is Read Binary |
| P1-P2 | Instruction parameter 1 and 2 |
| Response | String of bytes received in the data field of the response |
| SW1 | Command processing status, i.e., the return code from the smart card |
| SW2 | Command processing qualifier, supplies further information on SW1 |

5.1.1 Generic File Access APDUs

The APDUs in <u>Table 5-4</u> are used to perform basic file access functions.

| | Generic File Access APDUs | | | | | | | |
|------|--|------|------|---------------|--------------------|------|-------------------|----------------|
| FC | Card Function | CLA | INS | P1 | P2 | Lc | Data | L _e |
| 0x07 | GET RESPONSE | 0x00 | 0xC0 | 0x00 | 0x00 | - | - | L _e |
| 0x02 | READ BINARY | 0x00 | 0xB0 | Off/H | Off/L | - | - | L _e |
| 0x01 | SELECT DF | 0x00 | 0xA4 | 0x01 | 0x00 or 0x0C | 0x02 | File ID (2 bytes) | - |
| 0x0D | SELECT EF FILE UNDER SELECTED DF | 0x00 | 0xA4 | 0x02 | 0x00 or 0x0C | 0x02 | File ID (2 bytes) | - |
| 0x0C | SELECT FILE | 0x00 | 0xA4 | 0x00- 0x03 | 0x00 or 0x0C | 0x02 | File ID (2 bytes) | Ι |
| 0x0E | SELECT MASTER FILE (Root) | 0x00 | 0xA4 | 0x03 | 0x00 or 0x0C | 0x02 | File ID (2 bytes) | - |
| 0x03 | UPDATE BINARY | 0x00 | 0xD6 | Off/H | Off/L | Lc | Data to Update | - |

Table 5-4: Generic File Access APDUs

5.1.1.1 Get Response APDU

This APDU is used to read smart card results available from the completion of the previously executed APDU.

Command Message

| Function Code | 0x07 |
|----------------|-------------------------------------|
| CLA | 0x00 |
| INS | 0xC0 |
| P1 | 0x00 |
| P2 | 0x00 |
| Lc | Empty |
| Data Field | Empty |
| L _e | Number of bytes to read in response |

Response Message

Data Field returned in the Response Message

If the immediately preceding APDU has indicated that additional data is available, the data field of an immediately following Get Response APDU will contain this data.

| SW1 | SW2 | Meaning |
|-----|-----|--|
| 61 | XX | Normal processing, XX still available to read with subsequent Get Response |
| 62 | 81 | Part of returned data may be corrupted |
| 67 | 00 | Wrong length (incorrect Le field) |
| 6A | 86 | Incorrect parameters P1-P2 |
| 6C | XX | Wrong length (wrong L_e field; XX indicates the exact length) |
| 90 | 00 | Successful execution |

5.1.1.2 Read Binary APDU

This APDU is used to read the currently selected transparent file. All access control operations necessary for reading the file must be completed before using this APDU.

Command Message

| Function Code | 0x02 |
|----------------|----------------------------------|
| CLA | 0x00 |
| INS | 0xB0 |
| P1 | High-order byte of 2-byte offset |
| P2 | Low-order byte of 2-byte offset |
| Lc | Empty |
| Data Field | Empty |
| L _e | Number of bytes to read |

Response Message

Data Field returned in the Response Message

L_e number of bytes followed by the two-byte processing state.

| SW1 | SW2 | Meaning |
|-----|-----|---|
| 62 | 81 | Part of returned data may be corrupted |
| 62 | 82 | End of file reached before reading Le bytes |
| 67 | 00 | Wrong length (wrong L _e field) |
| 69 | 81 | Command incompatible with file structure |
| 69 | 82 | Security status not satisfied |
| 69 | 86 | Command not allowed (no current EF) |
| 6A | 81 | Function not supported |
| 6A | 82 | File not found |
| 6B | 00 | Wrong parameters (offset outside the EF) |
| 6C | XX | Wrong length (wrong L_e field; XX indicates the exact length) |
| 90 | 00 | Successful execution |

5.1.1.3 SELECT DF APDU

Sets the currently selected dedicated file to a dedicated file contained in the currently selected dedicated file.

Command Message

| Function Code | 0x01 |
|----------------|---|
| CLA | 0x00 |
| INS | 0xA4 |
| P1 | 0x01 - Select child DF of current DF |
| P2 | 0x00 for response required, 0x0C for no response required |
| Lc | 0x02 |
| Data Field | 2-byte File Identifier |
| L _e | Number of bytes returned |

Response Message

Data Field returned in the Response Message

If P2 is set to 0x00, data is returned as per ISO 7816-4 [ISO4]. If P2 is set to 0x0C, no data is returned.

| SW1 | SW2 | Meaning |
|-----|-----|---|
| 62 | 83 | Selected file deactivated |
| 62 | 84 | File control information not formatted according to ISO 7816-4. |
| 6A | 81 | Function not supported |
| 6A | 82 | File not found |
| 6A | 86 | Incorrect parameters P1-P2 |
| 6A | 87 | L_c inconsistent with P1-P2 |
| 90 | 00 | Successful execution |

5.1.1.3.1 Select EF Under Selected DF APDU

This APDU selects an Elementary File under the currently selected DF.

Command Message

| Function Code | 0x0D |
|----------------|---|
| CLA | 0x00 |
| INS | 0xA4 |
| P1 | 0x02 - Select child EF of current DF |
| P2 | 0x00 for response required, 0x0C for no response required |
| L _c | 0x02 |
| Data Field | 2-byte File Identifier |
| L _e | Number of bytes returned |

Response Message

Data Field returned in the Response Message

If P2 is set to 0x00, data is returned as per ISO 7816-4 [ISO4]. If P2 is set to 0x0C, no data is returned.

| SW1 | SW2 | Meaning |
|-----|-----|---|
| 62 | 83 | Selected file deactivated |
| 62 | 84 | File control information not formatted according to ISO 7816-4, Section 5.1.5 |
| 6A | 81 | Function not supported |
| 6A | 82 | File not found |
| 6A | 86 | Incorrect parameters P1-P2 |
| 6A | 87 | L _c inconsistent with P1-P2 |
| 90 | 00 | Successful execution |

5.1.1.4 Select File APDU

This APDU works as described in ISO 7816-4 [ISO4] to select the master file, a DF, or an EF.

Command Message

| Function Code | 0x0C |
|----------------|---|
| CLA | 0x00 |
| INS | 0xA4 |
| P1 | See below |
| P2 | 0x00 for response required, 0x0C for no response required |
| L _c | Number of bytes in File Identifier, i.e., 2 |
| Data Field | File Identifier |
| L _e | Empty |

| P1 : | 0x00 | Explicit selection with Data Field; Data field must contain a valid File Identifier |
|-------------|------|---|
| | 0x01 | Select child DF of current DF; Data Field must contain |
| | | a valid File Identifier |
| | 0x02 | Select child EF of current DF; Data Field must contain |
| | | a valid File Identifier |
| | 0x03 | Select parent DF of current DF; empty Data Field |

Response Message

Data Field returned in the Response Message

If P2 is set to 0x00, data is returned as per ISO 7816-4 [ISO4]. If P2 is set to 0x0C, no data is returned.

| SW1 | SW2 | Meaning |
|-----|-----|---|
| 62 | 83 | Selected file deactivated |
| 62 | 84 | FCI not formatted according to ISO 7816-4 Section 5.1.5 |
| 6A | 81 | Function not supported |
| 6A | 82 | File not found |
| 6A | 86 | Incorrect parameters P1-P2 |
| 6A | 87 | L_c inconsistent with P1-P2 |
| 90 | 00 | Successful execution |
5.1.1.5 Select Master File APDU

This APDU selects the Master File or the root of a file system card directory structure.

Command Message

| Function Code | 0x0E |
|----------------|---|
| CLA | 0x00 |
| INS | 0xA4 |
| P1 | 0x03 - Select MF |
| P2 | 0x00 for response required, 0x0C for no response required |
| L _c | 0x02 |
| Data Field | File Identifier |
| L _e | Empty |

Response Message

Data Field returned in the Response Message

If P2 is set to 0x00, data is returned as per ISO 7816-4 [ISO4]. If P2 is set to 0x0C, no data is returned.

| SW1 | SW2 | Meaning |
|-----|-----|---|
| 62 | 83 | Selected file deactivated |
| 62 | 84 | FCI not formatted according to ISO 7816-4 Section 5.1.5 |
| 6A | 81 | Function not supported |
| 6A | 82 | File not found |
| 6A | 86 | Incorrect parameters P1-P2 |
| 6A | 87 | L _c inconsistent with P1-P2 |
| 90 | 00 | Successful execution |

5.1.1.6 Update Binary APDU

This APDU is used to update the currently selected transparent file. All access control operations necessary for writing to the selected file must be completed before using this APDU.

Command Message

| Function Code | 0x03 |
|----------------|--|
| CLA | 0x00 |
| INS | 0xD6 |
| P1 | High-order byte of 2-byte offset |
| P2 | Low-order byte of 2-byte offset |
| Lc | Number of bytes to update |
| Data Field | New data to be used to replace existing data |
| L _e | Empty |

Response Message

Data Field returned in the Response Message

Empty.

| SW1 | SW2 | Meaning | |
|-----|-----|--|--|
| 63 | CX | Successful updating after X retries, X=0 means no counter provided | |
| 65 | 81 | Memory failure (unsuccessful updating) | |
| 67 | 00 | Wrong length (wrong Lc field) | |
| 69 | 81 | Command incompatible with file structure | |
| 69 | 82 | Security status not satisfied | |
| 69 | 86 | Command not allowed (no current EF) | |
| 6A | 81 | Function not supported | |
| 6A | 82 | File not found | |
| 6B | 00 | Wrong parameters (offset outside the EF) | |
| 90 | 00 | Successful execution | |

5.1.2 Access Control APDUs

<u>Table 5-5</u> shows the Access Control APDU set for file system and VM cards. The Access Control APDUs assume that the default cryptographic algorithm is DES3-ECB, with a double length key-size, 16 bytes.

| Access Control APDUs | | | | | | | | |
|----------------------|--------------------------|------|------|-------|-------|----------------|------------------------|----------------|
| FC | Card Function | CLA | INS | P1 | P2 | L _c | Data | L _e |
| 0x0A | EXTERNAL AUTHENTICATE | 0x00 | 0x82 | AlgID | Key # | Lc | Cryptogram | - |
| 0x05 | GET CHALLENGE | 0x00 | 0x84 | 0x00 | 0x00 | - | - | Le |
| 0x09 | INTERNAL AUTHENTICATE | 0x00 | 0x88 | AlgID | Key # | Lc | Challenge | L _e |
| 0x08 | VERIFY | 0x00 | 0x20 | 0x00 | CHV | Lc | Authentication data | - |

Table 5-5: Access Control APDUs

Various smart cards perform external and internal authentication in similar but slightly different ways. The general methods used by the default GSC-IS APDU set are described below. To change the syntax and behavior of the default APDUs, the appropriate descriptor codes can be used in conjunction with command and response code tuples in the CCC as described in <u>Chapter 6</u>.

External Authentication Method:

- 1. The client application and the smart card share a secret key; the smart card may store the key in a key file.
- 2. The SPS instructs the smart card to issue an 8-byte challenge via the GET CHALLENGE APDU; the smart card returns the challenge to the SPS.
- 3. The client application encrypts the challenge with its secret key to produce a cryptogram.
- 4. The SPS sends the cryptogram to the smart card and possibly the key number via the EXTERNAL AUTHENTICATE APDU.
- 5. The smart card accesses the specified secret key, its saved copy of the challenge, and computes the same cryptogram and returns a status code to the SPS.
- 6. If the status code indicates that the cryptograms match, external authentication is successful.

Internal Authentication Method:

Step 1: PIN authentication

- 1. The client application and the smart card share a PIN; the smart card may store the PIN in a PIN file.
- 2. The SPS sends the PIN and the PIN number to the smart card via the VERIFY APDU.

- 3. The smart card accesses the specified PIN, compares it to the client application's PIN, and returns a status code to the SPS.
- 4. If the status code indicates that the PINs match, the smart card will permit the internal authentication to proceed.

Step 2: Internal Authentication

- 1. The client application and the smart card share a secret key; the smart card may store the key in a key file.
- 2. The client application computes an 8-byte challenge and sends this to the smart card along with the key number via the INTERNAL AUTHENTICATION APDU.
- 3. The smart card accesses the specified secret key, the challenge, and computes the same cryptogram.
- 4. The SPS retrieves the cryptogram in the response to the INTERNAL AUTHENTICATION APDU.
- 5. If the cryptograms match, internal authentication is successful.

Algorithm Identifiers for EXTERNAL and INTERNAL AUTHENTICATE APDUs:

ISO 7816-4 [ISO4] does not define algorithm identifiers for EXTERNAL and INTERNAL AUTHENTICATE, therefore this specification defines them in Table 5-6. If a smart card does not use the algorithm identifiers defined in <u>Table 5-6</u>, then the appropriate definitions of the EXTERNAL and INTERNAL AUTHENTICATE APDUs in the CCC command tuples will be required. If the smart card supports multiple cryptographic algorithms for this command, then successive tuples can be used to identify all the possible cryptographic algorithms and their corresponding P1 values.

| Algorithm Identifier | Algorithm-Mode | Key Length in Bits |
|----------------------|-------------------------|--------------------|
| 0x00 | Triple DES-ECB | 128 |
| 0x01 | Triple DES-CBC | 128 |
| 0x02 | DES-ECB | 64 |
| 0x03 | DES-CBC | 64 |
| 0x04 | RSA | 512 |
| 0x05 | RSA | 768 |
| 0x06 | RSA | 1024 |
| 0x07 | (Reserved for RSA 2048) | (2048) |
| 0x08 | AES-ECB | 128 |
| 0x09 | AES-CBC | 128 |
| 0x0A | AES-ECB | 192 |
| 0x0B | AES-CBC | 192 |
| 0x0C | AES-ECB | 256 |
| 0x0D | AES-CBC | 256 |

Table 5-6: Algorithm Identifiers for Authentication APDUs

| Algorithm Identifier | Algorithm-Mode | Key Length in Bits |
|----------------------|----------------|--------------------|
| 0x0E | RFU | - |
| 0x0F | RFU | - |

NOTE: High nibble of the Algorithm Identifier shall be zero.

5.1.2.1 External Authenticate APDU

This APDU is used in conjunction with the GET CHALLENGE APDU to authenticate a client application to the smart card. GET CHALLENGE would be issued first to cause the smart card to issue a random number, i.e., the challenge. The client application would encrypt the challenge and send the resultant cryptogram to the smart card via the EXTERNAL AUTHENTICATE APDU. The smart card would then decrypt it using the same algorithm as the client application and compare it to its internally stored copy of the challenge. If the cryptograms match, the client application is authenticated to the smart card. If the cryptograms do not match, the challenge is no longer valid.

Command Message

| Function Code | 0x0A |
|----------------|---|
| CLA | 0x00 |
| INS | 0x82 |
| P1 | Algorithm Identifier – see Table 5-6 |
| P2 | 0x00 for default key, 0x01 to 0x30 for key number |
| L _c | Length of data field |
| Data Field | Cryptogram |
| Le | Empty |

Response Message

Data Field returned in the Response Message

Empty.

| SW1 | SW2 | Meaning |
|-----|-----|--|
| 63 | 00 | No information given (Authentication failed) |
| 63 | СХ | Authentication failed; X indicated number of further allowed retries |
| 67 | 00 | Wrong length (the Lc field is incorrect) |
| 69 | 83 | Authentication method blocked |
| 69 | 84 | Referenced data deactivated |
| 69 | 85 | Conditions of use not satisfied (the command is not allowed in this context) |
| 6A | 86 | Incorrect parameters P1-P2 |
| 6A | 88 | Referenced data not found |
| 90 | 00 | Successful execution |

5.1.2.2 Get Challenge APDU

This APDU is used to cause the smart card to generate a cryptographic challenge, e.g., a random number, for use in the subsequent security related procedure such as EXTERNAL AUTHENTICATE. The smart card saves a copy of the challenge internally until the completion of the security related procedure or an error occurs.

The challenge is valid only for the next APDU in the same card session.

Command Message

| Function Code | 0x05 |
|----------------|--|
| CLA | 0x00 |
| INS | 0x84 |
| P1 | 0x00 |
| P2 | 0x00 |
| L _c | Empty |
| Data Field | Empty |
| L _e | Length in bytes of expected random challenge |

Response Message

Data Field returned in the Response Message

If the APDU result indicates success, L_e number of bytes will be available to read from the smart card, i.e., the 8-byte challenge.

| SW1 | SW2 | Meaning |
|-----|-----|----------------------------|
| 6A | 81 | Function not supported |
| 6A | 86 | Incorrect parameters P1-P2 |
| 90 | 00 | Successful execution |

5.1.2.3 Internal Authenticate APDU

This APDU is used to authenticate the smart card to the client application. An 8-byte challenge is computed by the client application and then passed to the smart card via this command. Also passed are a key number and the cryptographic algorithm the smart card uses when encrypting the challenge. The smart card takes this information and encrypts the challenge according to the algorithm specified and the specified key and returns the resultant cryptogram. If the decrypted cryptogram from the smart card matches the initial challenge computed by the client application, the smart card is authenticated to the client application.

Command Message

| Function Code | 0x09 |
|----------------|---|
| CLA | 0x00 |
| INS | 0x88 |
| P1 | Algorithm Identifier – see Table 5-6 |
| P2 | 0x00 for default key, 0x01 to 0x30 for key number |
| L _c | Length of data field |
| Data Field | Challenge |
| Le | Length of expected cryptogram |

Response Message

Data Field returned in the Response Message

The cryptogram.

| SW1 | SW2 | Meaning |
|-----|-----|---------------------------------|
| 69 | 84 | Referenced data deactivated |
| 69 | 85 | Conditions of use not satisfied |
| 6A | 86 | Incorrect parameters P1-P2 |
| 6A | 88 | Reference data not found |
| 90 | 00 | Successful execution |

5.1.2.4 Verify APDU

This APDU is used to compare authentication data such as a password, key or PIN with corresponding authentication data on the smart card. The SPS sends the authentication data in this APDU and directs the smart card to compare it with authentication data on the smart card. The authentication data is passed unencrypted.

Command Message

| Function Code | 0x08 |
|----------------|---|
| CLA | 0x00 |
| INS | 0x20 |
| P1 | 0x00 |
| P2 | 0x00 for default key, 0x01 to 0x30 for key number |
| L _c | Length of data field |
| Data Field | Authentication data (i.e., password or PIN) |
| L _e | Empty |

Note: If the Lc is 0x00 and the Data Field is empty, VERIFY returns the number of tries remaining on the referenced PIN.

Response Message

Data Field returned in the Response Message

Empty.

| SW1 | SW2 | Meaning |
|-----|-----|--|
| 63 | 00 | Verification failed |
| 63 | CX | Verification failed, X indicates the number of further allowed retries |
| 69 | 83 | Authentication method blocked |
| 69 | 84 | Referenced data deactivated |
| 6A | 86 | Incorrect parameters P1-P2 |
| 6A | 88 | Reference data not found |
| 90 | 00 | Successful execution |

5.1.3 Public Key Operations APDUs

<u>Table 5-7</u> shows the public key operations APDUs for file system and VM cards. The default padding scheme for RSA is assumed to be RSA_NO_PAD. The computation is performed with the private key.

| | Public Key Operations APDU | | | | | | | |
|------|-----------------------------------|------|------|------|------|----------------|------------------------------|----------------|
| FC | Card Function | CLA | INS | P1 | P2 | L _c | Data | L_{e} |
| 0x05 | MANAGE SECURITY ENVIRONMENT | 0x00 | 0x22 | 0x41 | 0xB6 | L _c | Key Reference information | - |
| 0x0B | PERFORM SECURITY OPERATION | 0x00 | 0x2A | 0x9E | 0x9A | Lc | Message digest to sign | L _e |

 Table 5-7: Public Key Operations APDUs

5.1.3.1 Manage Security Environment APDU

This APDU is used to initiate the computation of a digital signature on a message by setting a digital signature template to be used by a subsequent PERFORM SECURITY OPERATION APDU.

Command Message

| Function Code | 0x05 |
|----------------|--|
| CLA | 0x00 |
| INS | 0x22 |
| P1 | 0x41 |
| P2 | 0xB6 |
| Lc | L _c = Message length in bytes |
| Data Field | Key Reference information |
| L _e | Empty |

Data Field: Key reference information, formatted as per ISO 7816-8 [ISO8].

Response Message

Data Field returned in the Response Message

Empty.

| SW1 | SW2 | Meaning |
|-----|-----|---|
| 66 | 00 | The Security Environment cannot be set |
| 67 | 00 | Wrong length (the Lc field incorrect) |
| 6A | 80 | Invalid or missing tag, length or value in a Control Reference Data Object (CRDO) |
| 6A | 86 | Incorrect parameters P1-P2 |
| 90 | 00 | Successful execution |

5.1.3.2 Perform Security Operation APDU

This APDU is used to initiate the computation of a digital signature on a message digest. This APDU responds with the computed signature.

Command Message

| Function Code | 0x0B |
|----------------|-----------------------------------|
| CLA | 0x00 |
| INS | 0x2A |
| P1 | 0x9E |
| P2 | 0x9A |
| L _c | Length in bytes of message digest |
| Data Field | Message digest to sign |
| Le | Length of response |

Response Message

Data Field returned in the Response Message

The signed message digest.

| SW1 | SW2 | Meaning |
|-----|-----|--|
| 67 | 00 | Wrong length (the Lc field is incorrect) |
| 69 | 81 | Invalid file type |
| 69 | 85 | No preceding MSE-Set or previously specified key file is missing |
| 69 | 87 | Missing Secure Messaging Data Object |
| 69 | 88 | Incorrect Secure Message Data Object |
| 6A | 86 | Incorrect parameters P1-P2 |
| 90 | 00 | Successful execution |
| 6C | XX | Wrong length (wrong L_e field; XX indicates the exact length) |

5.2 Mapping Default APDUs to Native APDU Sets

For file system cards that contain a native APDU instruction set that differs from the GSC-IS default set, the SPS must implement a mapping mechanism to translate the default APDUs into the native APDUs in accordance with the information obtained from the CCC.

5.2.1 The CCC Command and Response Tuples

The CCC is a file that must be present on each conformant GSC-IS smart card. The CCC includes a set of tuples, which are 2-byte values that describe the differences in syntax between a file system card's native APDU set and the GSC-IS APDU set. <u>Chapter 6</u> describes the contents of the CCC in more detail. Besides syntactical differences, the tuples also describe differences in APDU execution and data format. The codes used in the tuples to describe these differences are called Descriptor Codes.

As an example, Descriptor Codes can be used to indicate that a smart card's native READ BINARY APDU requires that offsets be on word boundaries as opposed to byte boundaries. Or, a smart card's native EXTERNAL AUTHENTICATE APDU may require 4 bytes of a cryptographic challenge whereas the default APDU requires 8 bytes. A descriptor code can be used to indicate that the SPS must build and send an APDU using a 4-byte cryptographic challenge.

A smart card with a native APDU instruction set identical to the GSC-IS APDU set would still contain a CCC, however the CCC would contain no tuples (and descriptor codes), since no APDU mapping would be necessary.

5.2.2 Native APDU Mapping and CCC Grammar

Each conformant SPS for file system cards must implement the translation or mapping mechanism to translate the default GSC-IS APDU set into a smart card's native APDU set both in syntax and in operation. The SPS performs this translation according to the rules of a CCC grammar associated with the set of tuples located in the smart card's CCC, described in more detail in <u>Chapter 6</u>.

The card edge interface for file system cards operates as follows:

- 1. A smart card vendor creates a CCC and loads it onto a smart card.
- 2. The SPS has knowledge of the default GSC-IS APDUs and how to translate them into a conformant card's native APDU set using the CCC grammar.
- 3. The smart card, when ready for use, is inserted into a reader.
- 4. The SPS's card edge locates and reads the contents of the CCC.
- 5. The SPS's card edge maps the default APDU set into the card's native set using the tuples in the CCC and the associated CCC grammar.
- 6. The SPS, when sending APDUs to the smart card, then uses the smart card's native ADPU set according to its rules of operation.

5.2.3 Detecting Card APDUs

The SPS can detect which of the default GSC-IS APDUs are available on a smart card according to the following rules:

- 1. If the APDU is defined in a capability tuple as not implemented (via Descriptor Code 0xFE, see <u>Table 6-10</u>), then the APDU is not available.
- 2. If the APDU is defined otherwise in one or more capability tuples, the APDU is available as defined.
- 3. If the APDU is not defined in any capability tuple, the APDU is assumed to be available and operates as described in this specification and in ISO 7816-4 [ISO4] and 7816-8 [ISO8].

The CCC optionally may contain a six-byte CARD APDUs bit-string for the purposes of informing the SPS which ISO 7816-4 [ISO4] and 7816-8 [ISO8] APDUs are available on the smart card. Each bit in the string, if set to 1, would indicate the presence of a corresponding APDU; a '0' would indicate the corresponding APDU is not present or is not to be used. The CARD APDUs string does not override any command tuples; however, if an APDU is described in command tuples but not in the CARD APDUs field, the command tuples are to be used. Table 5-8 shows bit positions and corresponding APDUs.

| Bit Position | 7816-4 APDU |
|-----------------|--|
| 0 | Reserved, Used for Shift Operation (see Section 6.4.2) |
| 1 | Select DF |
| 2 | Transparent Read (Binary) |
| 3 | Update Binary File |
| 4 | RFU |
| 5 | Manage Security Environment |
| 6 | Get Challenge |
| 7 | Get Response |
| 8 | Verify (CHV) |
| 9 | Internal Authenticate |
| 10 | External Authenticate |
| 11 | Perform Security Operation |
| 12 | Select File |
| 13 | Select EF (under current DF) |
| 14 | Select MF (root) |
| 15 | RFU |

Table 5-8: CARD APDUs Values

5.2.4 Default Status Code Responses

The default APDUs return status codes according to ISO 7816-4 [ISO4]. Non-ISO card-specific status codes can be mapped into a GSC-IS set of status code responses, shown in Table 5-9. As described in <u>Chapter 6</u>, the status codes can be mapped using the CCC grammar and status code tuples.

| | Status Conditions |
|------|---|
| 0x00 | Successful Completion |
| 0x01 | Successful Completion – Warning 1 |
| 0x02 | Successful Completion – Warning 2 |
| 0x03 | Reserved |
| 0x04 | Reserved |
| 0x05 | Reserved |
| 0x06 | Reserved |
| 0x07 | Reserved |
| 0x08 | Access Condition not Satisfied |
| 0x09 | Function not Allowed |
| 0x0A | Inconsistent Parameter |
| 0x0B | Data Error |
| 0x0C | Wrong Length |
| 0x0D | Function not compatible with file structure |
| 0x0E | File/Record not Found |
| 0x0F | Function Not Supported |

Table 5-9: GSC-IS Status Code Responses

5.3 Card Edge Interface for VM Cards

The Card Edge Interface for VM Cards is made up of provider modules that provide three classes of services: generic container management services, symmetric key cryptographic services, and public (asymmetric) key cryptographic services. Each provider module may provide one or more class of service. These provider modules are implemented as on-card applets. For virtual machine cards, the terms "provider" and "applet" are synonymous.

Common interface methods that must be implemented by all providers are described first. The six APDUs listed in Table 5-12 must be implemented by all providers. The methods unique to each provider class are described in subsequent sections. Table 5-10 provides a summary of the APDUs implemented for the virtual machine card edge.

| Virtual Machine APDU Set | | | | |
|--------------------------------------|---------------|--|--|--|
| Common Interface Methods VM APDUs | SELECT APPLET | | | |
| | SELECT OBJECT | | | |

Table 5-10: Virtual Machine Card Edge APDUs

| | Virtual Machine APDU Set |
|------------------------------------|--------------------------|
| | GET PROPERTIES |
| | GET ACR |
| | GET RESPONSE |
| | VERIFY PIN |
| | |
| Generic Container Provider | READ BUFFER |
| VM APDUs | UPDATE BUFFER |
| | |
| | GET CHALLENGE |
| Symmetric Key Provider VM APDUs | EXTERNAL AUTHENTICATE |
| | INTERNAL AUTHENTICATE |
| | |
| | READ BUFFER |
| Public Key Provider VM APDUs | UPDATE BUFFER |
| | PRIVATE SIGN/DECRYPT |

5.3.1 Virtual Machine Card Access Control Rule Configuration

Each smart card service provider shall present its services through a set of APDUs implemented and managed by the provider. The ACRs associated with card level services vary depending on the application.

ACRs shall be coded as a single byte value (range 0x00 - 0xFF) as defined in <u>Table 3-2</u>.

5.3.2 Virtual Machine Card Edge General Error Conditions

<u>Tables 5-11a</u> and <u>5-11b</u> apply to all virtual machine card edge APDUs:

Table 5-11a: Successful Conditions

| Status bytes SW1 SW2 | Meaning |
|-------------------------|--|
| 61 LL | SW2 indicates the number of response bytes available |
| 90 00 | Normal ending of the command |

| Status bytes SW1 SW2 | Meaning |
|-------------------------|--|
| 62 00 | Applet or instance logically deleted |
| 63 CX | Authentication failed, X indicates the remaining tries |
| 65 81 | Memory failure |
| 67 00 | Incorrect parameter Lc |
| 6C XX | Wrong length in Le parameter, SW2 indicates the exact length |
| 69 82 | Security status not satisfied |
| 69 83 | Authentication method blocked (ie. PIN code blocked) |
| 69 85 | Conditions of use not satisfied |
| 69 99 | Applet select failed |
| 6A 80 | Invalid parameters in command Data Field |
| 6A 82 | Applet or file not found |
| 6A 84 | Insufficient memory space to complete command |
| 6A 86 | Incorrect P1 or P2 parameter |
| 6A 88 | Referenced data not found |
| 6D 00 | Unknown instruction given in the command |
| 6E 00 | Wrong class given in the command |
| 6F 00 | Technical problem with no diagnostic given |

Table 5-11b: General Error Conditions

5.3.3 Common Virtual Machine Card Edge Interface Methods

The common virtual machine APDUs are shown in Table 5-12.

| Table | 5-12: | Common | VM | APDUs |
|-------|-----------|-----------|----|-------|
| Iabio | • • • • • | 001111011 | | |

| Card Function | CLA | INS | P1 | P2 | L _c | Data | L _e |
|----------------------|------|------|------|------|----------------|------------------|----------------|
| SELECT APPLET | 0x00 | 0xA4 | 0x04 | 0x00 | L _c | AID | - |
| SELECT OBJECT | 0x00 | 0xA4 | 0x02 | 0x00 | L _c | File ID | — |
| GET PROPERTIES | 0x00 | 0x56 | P1 | 0x00 | L _c | Requested Tags | - |
| GET ACR | 0x80 | 0x4C | P1 | 0x00 | Lc | AID or Object ID | - |
| GET RESPONSE | 0x00 | 0xC0 | 0x00 | 0x00 | _ | - | L _e |
| VERIFY PIN | 0x00 | 0x20 | 0x00 | 0x00 | Lc | PIN | _ |

5.3.3.1 Access Control

A fixed set of Access Control Rules (ACR) are assigned to the Common Virtual Machine Card Edge Interface APDU commands as defined in <u>Table 5-13</u>:

| APDU | ACR |
|-----------------------|----------------|
| Get Properties | BSI_ACR_ALWAYS |
| Get ACR | BSI_ACR_ALWAYS |
| Get Challenge | BSI_ACR_ALWAYS |
| External Authenticate | BSI_ACR_ALWAYS |
| Get Response | _ |
| Verify PIN | BSI_ACR_ALWAYS |

Table 5-13: ACRs assigned to the Common VM CEI

5.3.3.2 Select Applet APDU

The command is used to select the instance of an applet using its AID.

Command Message

| CLA | 0x00 |
|------------|--|
| INS | 0xA4 |
| P1 | 0x04 |
| P2 | 0x00 |
| Lc | Length of the applet AID |
| Data Field | Applet AID (between 5 and 16 bytes in length). |
| Le | Empty |

Response Message

Data field returned in the response message

Empty.

Processing state returned in the response message

If the applet is not found on the smart card, the ISO 7816-4 [ISO4] status condition: '6A82' is returned (status bytes SW1,SW2=0x6A,0x82). For other status conditions see section General Error Conditions in Section 5.3.2.

5.3.3.3 Select Object APDU

The command is used to select a container managed by an applet.

Command Message

| CLA | 0x00 |
|----------------|-----------------------------------|
| INS | 0xA4 |
| P1 | 0x02 |
| P2 | 0x00 |
| L _c | Length of the object ID, 2 bytes. |
| Data Field | Object ID. |
| Le | Empty |

Response Message

Data field returned in the response message

Empty.

Status bytes returned in the response message

If the object is not found, the ISO 7816-4 [ISO4] status condition: '6A82' is returned (status bytes SW1=0x6A, SW2=0x82). For other status conditions see section General Error Conditions in Section 5.3.2.

5.3.3.4 Get Properties APDU

This command is used to retrieve applet instance properties of a currently selected applet.

Command Message

| CLA | 0x00 |
|------------|---|
| INS | 0x56 |
| P1 | Requested properties information type |
| P2 | 0x00 |
| Lc | If P1=0x02 then length of list of requested tags, else empty. |
| Data Field | If P1=0x02 then list of requested tags, else empty. |
| Le | Expected applet instance properties length |

Reference control parameter P1

The reference control parameter P1 shall be used to indicate the type of requested properties information. The following P1 values are possible:

0x00: Get a GSC-IS v2.0 compatible properties response message. If this response cannot be supported by the smart card then an error (0x6A86) shall be returned.

0x01: Get all the properties.

0x02: Get the properties of the tags provided in list of tags in the command data field.

Data field sent in the command message

This field is present only when P1 is 0x02. In that case, this data field is composed of the list of tags to be requested from the applet instance (the tag values, 1 byte each, are chained).

Response Message

Data field returned in the response message when P1 is 0x00

The Data field returned in the response message contains the values of the following properties:

- Applet family (1 byte)
- Applet version (4 bytes)
- RFU byte
- RFU byte
- ID/CHV-applet AID length (1 byte)
- ID/CHV-applet AID (always 16 bytes padded with 0 if necessary) AID of the ID/CHV applet instance that shall be used for Card Holder Verification (CHV)

- Key Set Version (1 byte)1
- Key Set Id (1 byte) 2
- T-Buffer length (2 bytes)
- V-Buffer length (2 bytes)
- X bytes of applet specific information and RFU to complement to 64 bytes.

Data field returned in the response message when P1 is 0x01 or 0x02

The data field returned in the response message contains the current value of all the properties when P1 is 0x01 or the current value of the requested properties when P1 is 0x02. The properties are returned in a single buffer containing a list of TLVs packed end-to-end according to the table below. The scope of these tags is specific to the properties object and should not be confused with the GSC and CAC data model tags.

| Тад | Length | Value |
|------|--------|--|
| | | Applet Information |
| 0x01 | 5 | Applet Family (1 byte) |
| | | Applet version (4 bytes) |
| 0x40 | 1 | Number of objects managed by this instance |
| 0x50 | 11 | First TV-Buffer Object |
| 0x41 | 2 | ObjectID (2 bytes) |
| | | Buffer Properties (5 bytes) |
| | | Type of Tag Supported (1 byte) |
| 0x42 | 5 | T-Buffer length (2 bytes): LSB, MSB |
| | | V-Buffer length (2 bytes): LSB, MSB |
| | | (Next TV-Buffer Object) |
| 0x50 | 11 | Last TV-Buffer Object |
| 0x41 | 2 | ObjectID (2 bytes) |
| | | Buffer Properties (5 bytes) |
| 0x42 | 5 | Type of Tag Supported (1 byte) |
| | | T-Buffer length (2 bytes): LSB, MSB |
| | | V-Buffer length (2 bytes): LSB, MSB |
| 0x51 | 17 | First PKI Object |
| 0x41 | 2 | ObjectID (2 bytes) |
| | | Buffer Properties |
| 0x42 | 5 | Type of Tag Supported (1 byte) |
| | | T-Buffer length (2 bytes): LSB, MSB |
| | | V-Buffer length (2 bytes): LSB, MSB |
| 0x43 | 4 | PKI Properties |
| | | Algorithm ID (1 byte) |

¹ Key Set and Key Levels are applicable to v2.0 for backward compatibility.

² Key Set ID refers to the key number and the Key Level is used to indicate whether the referenced key is part of the READ or WRITE Key Set.

| Tag | Length | Value |
|-----|--------|---|
| | | Key Length Bytes / 8 (1024 bits -> 128 bytes-> 0x10) (1 byte) |
| | | Private Key Initialized (1 byte) |
| | | Public Key Initialized (1 byte) |

Processing state returned in the response message

If the properties retrieval succeeds, SW1 = 0x61 and SW2 = size of next block of data available to read.

If P1 = 0x00 cannot be supported by the smart card, SW1 = 0x6A and SW2 = 86.

| SW1 | SW2 | Meaning |
|-----|-----|--|
| 61 | LL | More data available, 0xLL specifying the size of next block to read. |
| 6A | 86 | P1 or P2 parameter not supported. |

For other status conditions see <u>Table 5-11b</u>.

5.3.3.5 Get ACR APDU

This command is used to retrieve Access Control Rule properties.

Command Message

| CLA | 0x80 |
|------------|--|
| INS | 0x4C |
| P1 | Reference Control Parameter P1 |
| P2 | 0x00 |
| Lc | If P1=0x00, 0x10, 0x20, or 0x21 then empty. If P1=0x01 then the length of the ACRID (0x01). If P1=0x11 then the length of the AID (<=0x10). If P1=0x12 then the length of object ID (0x02) |
| Data Field | If P1 = 0x00, 0x10, 0x20, 0x21 then empty. If P1=0x01 then the value of the ACRID. If P1=0x11 then the value of the AID. If P1=0x12 then the value of the object ID. |
| Le | Empty. |

Reference control parameter P1

The reference control parameter P1 shall be used to indicate the type of requested ACR properties information. The following P1 values are possible:

0x00: All ACR table entries are to be extracted.

0x01: Only one entry of the ACR table is extracted based on ACRID.

0x10: All Applet/Object ACR table entries are to be extracted.

0x11: Only the entries of the Applet/Object ACR table for one applet are extracted based on applet AID.

0x12: Only one entry of the Applet/Object ACR table for an object is extracted based on object ID.

0x20: The Access Method Provider table is extracted.

0x21: The Service Applet table is extracted.

Data field sent in the command message

This field is present only when P1 is 0x11 or 0x12. If P1 equals 0x11, it contains the AID value of the applet for which the Applet/Object ACR table is to be extracted. If P1 equals 0x12, it contains the Object ID value of the object for which the Applet/Object ACR table is to be extracted.

Response Message

Data field returned in the response message

The following tables may be retrieved:

- ACR table: This table maps the Access Control Rule Type (ACRType) and Access Method information to the Access Control Rule Identifier (ACRID) for each Access Control Rule.
- **Applet/Object ACR table:** This table maps the service (INS code/P1 byte/P2 byte/1st data byte) to the ACRID for each container.
- Access Method Provider table: This table maps the Access Method Provider ID to the full AID for each Access Method Provider.
- Service Applet table: This table maps the Service Applet ID to the full AID for each Service Applet.

The data fields returned in the response message may contain all the entries for a table or only the requested ones depending on the command parameters.

The following entry is always returned and precedes any ACR table, Applet/Object ACR table or Authentication Method Provider table.

| Tab | le 5-14: | Applet Information String | |
|-----|----------|---------------------------|--|
| | | | |

| Тад | Length | Value |
|------|--------|---|
| 0x01 | 5 | Applet Family of Access Control Applet (ACA) (1 byte) |
| | | Applet version of ACA (4 bytes) |

In addition to the common Applet Information entry the following entries are conditionally returned depending on the reference control parameter P1.

Data field returned in the response message when P1 is 0x00

The data field returned in the response message contains all the entries of the ACR table.

Table 5-15: ACR Table

| Tag | Length | Value |
|------|--------|--|
| 0xA1 | 1 | Number of ACR entries (unique ACRID) |
| | | First ACR entry (structured as follows) |
| | | ACRID of ACR entry (1 byte) |
| | | ACRType (as defined in Table 3-2) (1 byte) |
| | | Number of AccessMethods in this ACR (1 byte) |
| | | First AccessMethodProviderID (1 byte) |
| 0xA0 | * | First keyIDOrReference (1 byte) |
| | | (Next AccessMethod) |
| | | Last AccessMethodProviderID (1 byte) |
| | | Last keyIDOrReference (1 byte) |
| 0xA0 | * | (Next ACR entry) |

* Denotes Variable length field

Data field returned in the response message when P1 is 0x01

The data field returned in the response message a single entry of the ACR table based on ACRID.

| Tag | Length | Value |
|------|--------|--|
| | | ACR entry corresponding to ACRID sent |
| | | ACRID of ACR entry (1 byte) |
| | | ACRType (as defined in Table 3-2) (1 byte) |
| | | Number of AccessMethods in this ACR (1 byte) |
| 0xA0 | * | First AccessMethodProviderID (1 byte) |
| | | First keyIDOrReference (1 byte) |
| | | (Next AccessMethod) |
| | | Last AccessMethodProviderID (1 byte) |
| | | Last keyIDOrReference (1 byte) |

Table 5-16: Applet/Object ACR Table

* Denotes Variable length field

Data field returned in the response message when P1 is 0x10

The data field returned in the response message contains all entries of the Applet/Object ACR table.

| Тад | Length | Value |
|------|--------------------------|--|
| 0x81 | 1 | Number of applets managed by this ACA |
| 0x80 | Length is 2 | Card Applet ACR structured as follows |
| | plus length of nested | Applet ID (1 byte) |
| | TLV fields 0x82 | Number of objects managed by this applet (1 byte) |
| | | Card Object ACR structured as follows |
| | | Card Object ID (2 bytes) |
| | | INS1 Code (1 byte) |
| | | INS1 Configuration Definition - 0000 0 b ₂ b ₁ b ₀ (1 byte) |
| | | If b ₀ =1 then P1 byte is present. |
| | | If b ₁ =1 then P2 byte is present. |
| | | If $b_2=1$ then first data field byte is present. |
| | | P1 Value – OPTIONAL (1 byte) |
| 0x82 | * | P2 Value – OPTIONAL (1 byte) |
| | | First Data Byte Value – OPTIONAL (1 byte) |
| | | ACRID (1 byte) |
| | | INSx |
| 0x82 | * | (Next Card Object ACR) |
| 0x80 | * | (Next Card Applet ACR) |

Table 5-17: Access Method Provider Table

* Denotes Variable length field

Data field returned in the response message when P1 is 0x11

The data field returned in the response message contains the entries of the Applet/Object ACR table for a single applet based on AID.

| Tag | Length | Value |
|------|--------------------------|--|
| | Length is 2 | Applet ACR table based on applet AID entered |
| 0x80 | plus length of nested | Applet ID (1 byte) |
| | TLV fields 0x82 | Number of objects managed by this applet (1 byte) |
| | | Card Object ACR structured as follows |
| | | Card Object ID (2 bytes) |
| | | INS1 Code (1 byte) |
| | | INS1 Configuration Definition - 0000 0 b ₂ b ₁ b ₀ (1 byte) |
| | | If $b_0=1$ then P1 byte is present. |
| | | If $b_1=1$ then P2 byte is present. |
| | | If $b_2=1$ then first data field byte is present. |
| | | P1 Value – OPTIONAL (1 byte) |
| 0x82 | * | P2 Value – OPTIONAL (1 byte) |
| | | First Data Byte Value – OPTIONAL (1 byte) |
| | | ACRID (1 byte) |
| | | (INSx) |
| 0x82 | * | (Next Card Object ACR) |

Table 5-18: Service Applet Table

* Denotes Variable length field

Data field returned in the response message when P1 is 0x12

The data field returned in the response message contains the entry of the Applet/Object ACR table for a single object based on OID.

| Тад | Length | Value |
|------|--------|--|
| | | Card Object ACR (structured as follows) |
| | | Card Object ID (2 bytes) |
| | | INS1 Code (1 byte) |
| | | INS1 Configuration Definition - 0000 0 b ₂ b ₁ b ₀ (1 byte) |
| | | If $b_0=1$ then P1 byte is present. |
| | | If $b_1=1$ then P2 byte is present. |
| | | If $b_2=1$ then first data field byte is present. |
| | | P1 Value – OPTIONAL (1 byte) |
| 0x82 | * | P2 Value – OPTIONAL (1 byte) |
| | | First Data Byte Value – OPTIONAL (1 byte) |
| | | ACRID (1 byte) |
| | | (INSx) |

Table 5-19: Applet/Object ACR table for a Single Object

* Denotes Variable length field

Data field returned in the response message when P1 is 0x20

The data field returned in the response message contains all the entries of the Access Method Provider table.

| Тад | Length | Value |
|------|---|---|
| 0x91 | 1 | Number of AMP entries |
| 0x90 | Length includes nested TLV structure 0x92 | AMP entry (structured as follows) |
| | | Access Method provider ID (short form) (1 byte) |
| 0x92 | * | Access Method provider AID |
| 0x90 | * | (Next AMP entry) |

Table 5-20: Access Method Provider Table

Data field returned in the response message when P1 is 0x21

The data field returned in the response message contains all the entries of the Service Applet table.

Table 5-21: Service Applet Table

| Tag | Length | Value |
|------|--------|--------------------------------------|
| 0x94 | 1 | Number of Applet entries |
| 0x93 | * | Applet entry (structured as follows) |
| | | Applet ID (short form) (1 byte) |
| 0x92 | * | Applet AID |
| 0x93 | * | (Next Applet entry) |

* Denotes Variable length field

Processing state returned in the response message

If properties retrieval succeeds, SW1 = 0x61 and SW2 = size of next block of data available to read.

| SW1 | SW2 | Meaning |
|-----|-----|--|
| 61 | LL | More data available, 0xLL specifying the size of next block to read. |

For other status conditions see <u>Table 5-11b</u>.

5.3.3.6 Get Response APDU

The GET RESPONSE APDU is used to retrieve from the smart card the response message of the immediately preceding APDU in the case that this APDU has returned a processing state of 61xx indicating that a response message of xx bytes is available.

Command Message

| CLA | 0x00 |
|----------------|-------------------------------------|
| INS | 0xC0 |
| P1 | 0x00 |
| P2 | 0x00 |
| L _c | Empty |
| Data Field | Empty |
| Le | Number of bytes to read in response |

Response Message

Data field returned in the response message

If the APDU result indicates success, $L_{\rm e}$ number of bytes will be available to read from the smart card.

Processing state returned in the response message

See <u>Table 5-11b</u>.

5.3.3.7 Verify PIN APDU

The VERIFY command is used to verify the global PIN code, or to check if the PIN code verification is required, or to check whether or not the PIN code has been already verified. The global PIN is a root level key.

Command Message

| CLA | 0x00 |
|------------|--|
| INS | 0x20 |
| P1 | 0x00 |
| P2 | 0x00 |
| Lc | 0xNN (Effective PIN length, 0x00 indicates no PIN present) |
| Data Field | PIN code to be verified |
| Le | Empty |

Note: The maximum effective PIN length is dependent on the card platform.

Data field sent in the command message

If the data length and the data field sent in the command message are empty (data field does not include a PIN code), the command corresponds to a PIN verify check command, and it is used to determine if the PIN code verification is necessary and whether or not the PIN code has been already verified.

If the verification fails, the PIN-tries-remaining flag is decremented, and the PIN-verified flag value does not change. The PIN-always flag value is set to 0x00. If the PIN-tries-remaining flag value is 0x00, the PIN code is considered blocked. If the verification succeeds, the PIN-verified flag value and the PIN-always flag value are both set to 0x01.

Response Message

Data field returned in the response message

The data field in the response message is always empty.

Processing state returned in the response message

If PIN verification succeeds, SW1=0x90 and SW2=0x00.

If PIN verification fails, the status returned is SW1=0x63, SW2=0xCX where X is number of remaining PIN tries.

If PIN verify check command is submitted and PIN is already verified, SW1=0x90 and SW2=0x00, otherwise SW1=0x63, SW2=0xCX, where X = number of remaining PIN tries.

| SW1 | SW2 | Meaning | | | | | |
|-----|-----|--|--|--|--|--|--|
| 90 | 00 | PIN verification succeeds | | | | | |
| 63 | CX | PIN not verified and X indicates the remaining tries | | | | | |
| 69 | 83 | PIN code blocked | | | | | |
| 6A | 88 | No PIN code defined | | | | | |

5.3.4 Generic Container Provider Virtual Machine Card Edge Interface

<u>Table 5-22</u> shows the Generic Container Provider VM APDUs. As described in <u>Chapter 8</u>, containers accessed by these APDUs are split into two buffers: a TL buffer containing Tag and associated Length values, and a V buffer containing the values identified by the corresponding Tags and Lengths.

| Card Function | CLA | INS | P1 | P2 | Lc | Data | L _e |
|---------------|---------------|------|-------|-------|------|---------------------------------------|----------------|
| READ BUFFER | 0x80 | 0x52 | Off/H | Off/L | 0x02 | Buffer and number bytes to read | - |
| UPDATE BUFFER | 0x80, 0x84 | 0x58 | Off/H | Off/L | Lc | Buffer and data to update | - |

Table 5-22: Generic Container VM APDUs

5.3.4.1 Update Buffer APDU

This command allows updating all or part of a buffer.

Command Message

| CLA | 0x80 | | | |
|------------|--------------------------------------|--|--|--|
| INS | 0x58 | | | |
| P1 | Reference Control Parameter P1 | | | |
| P2 | Reference Control Parameter P2 | | | |
| Lc | 1+ Length of data to be updated | | | |
| Data Field | Buffer (1 byte) + data to be updated | | | |
| Le Empty | | | | |

Reference control parameter P1/P2

The reference control parameters P1 and P2 shall be used to store the offset from which data are to be written. This offset is calculated by concatenating the P1 and P2 parameters (P1 = MSB, P2 = LSB).

Data field sent in the command message

The first byte of the data field shall be used to indicate which buffer is to be updated.

The possible values are:

| 0x01: | T-buffer |
|-------|----------|
| 0x02: | V-buffer |

The other bytes correspond to the data to be updated.

Response Message

Data field returned in the response message

The data field in the response message is always empty.

| SW1 | SW2 | Meaning | | | | | |
|-----|-----|--|--|--|--|--|--|
| 67 | 00 | Invalid command data length | | | | | |
| 6A | 86 | Wrong P1/P2 (Try to update data out of the buffer) | | | | | |
| 6A | 88 | No corresponding buffer (invalid Buffer Type) | | | | | |

5.3.4.2 Read Buffer APDU

This command allows reading all or part of a buffer.

Command Message

| CLA | 0x80 |
|------------|---|
| INS | 0x52 |
| P1 | Reference Control Parameter P1 |
| P2 | Reference Control Parameter P2 |
| Lc | 0x01 + 0x01 = 0x02 |
| Data Field | Buffer type (1 byte value) followed by the data length to read (1 byte value) |
| Le | Empty |

Reference control parameter P1/P2

The reference control parameters P1 and P2 shall be used to store the offset from which data are to be read. This offset is calculated by concatenating the P1 and P2 parameters (P1 = MSB, P2 = LSB).

Data field sent in the command message

The data field shall be used to indicate which buffer is to be read.

The possible values are:

0x01: T-buffer **0x02:** V-buffer

Response Message

Data field returned in the response message

The data field in the response message corresponds to the data read from the smart card, according to the P1, P2 parameters (offset indicating from where to read data) or empty if GET RESPONSE command is required to receive data read from the smart card.

Processing state returned in the response message

If READ BUFFER command was successful, SW1=0x90 and SW2=0x00, any available data is returned in the data field of the response message. If command is successful and SW1=0x61, SW2 contains bytes remaining to be read from the smart card with subsequent GET RESPONSE commands.

| SW1 | SW2 | Meaning | | | | | |
|-----|-----|--|--|--|--|--|--|
| 67 | 00 | Invalid command data length | | | | | |
| 6A | 86 | Wrong P1/P2 (Try to update data out of the buffer) | | | | | |
| 6A | 88 | No corresponding buffer (invalid Buffer Type) | | | | | |

5.3.5 Symmetric Key Provider Virtual Machine Card Edge Interface

Table 5-23 shows the Symmetric Key Provider VM APDUs.

| Card Function | CLA | INS | P1 | P2 | L _c | Data | L _e |
|--------------------------|------|------|-------|-------|----------------|------------|----------------|
| GET CHALLENGE | 0x00 | 0x84 | 0x00 | 0x00 | - | - | L _e |
| EXTERNAL AUTHENTICATE | 0x00 | 0x82 | AlgID | Key # | L _c | Cryptogram | _ |
| INTERNAL AUTHENTICATE | 0x00 | 0x88 | AlgID | Key # | Lc | Challenge | Le |

Table 5-23: Symmetric Key VM APDUs

5.3.5.1 Get Challenge APDU

The GET CHALLENGE command is the first step of the host authentication process and is followed immediately by the EXTERNAL AUTHENTICATE command. The computed challenge is valid only for the following EXTERNAL AUTHENTICATE APDU.

Command Message

| CLA | 0x00 |
|------------|------------------|
| INS | 0x84 |
| P1 | 0x00 |
| P2 | 0x00 |
| Lc | Empty |
| Data Field | Empty |
| Le | Challenge length |

Response Message

Data field returned in the response message

The response message contains the challenge used later for authentication.

Processing state returned in the response message

See Table 5-11b.

Note: The computed challenge must be stored within the applet instance in order to evaluate the expected EXTERNAL AUTHENTICATE command. The client application shall encrypt the challenge received from the smart card using a cryptographic algorithm known by the smart card and the corresponding shared key. The cryptographic algorithm is DES3-ECB with a 16-byte key. The encrypted challenge shall then be submitted to the smart card using the EXTERNAL AUTHENTICATE command.
5.3.5.2 External Authenticate APDU

This EXTERNAL AUTHENTICATE command is a subset of the ISO 7816-4 [ISO4] standard command. The default cryptographic algorithm is DES3-ECB with double length key size (16 bytes) and an 8-byte challenge requested from the smart card using the GET CHALLENGE command just before the authentication command is submitted. This command is introduced to allow external authentication with different cryptographic algorithms selected through the P1 parameter and multiple key sets if same data is updated by different applications that do not desire to share their keys.

Command Message

| CLA | 0x00 | | | | |
|----------------|---|--|--|--|--|
| INS | 0x82 | | | | |
| P1 | Algorithm identifier and security level | | | | |
| P2 | 0x00 for default key, 0x01 to 0x30 for key number | | | | |
| L _c | Length of the cryptogram | | | | |
| Data Field | Cryptogram | | | | |
| L _e | Empty | | | | |

P1: 0xAS where A specifies the algorithm identifier using the 4-MSb of P1 and S defines the secure messaging and command encryption as described in the table below, using the 4-LSb of the parameter

<u>Table 5-6</u> contains the algorithm identifiers.

| | | | Ρ | 1 | | | | Mean | ing of |
|----|----|------------|----|----|----|----|----|---------------------------------------|--|
| b8 | b7 | B 6 | b5 | b4 | b3 | b2 | b1 | A (b8-b5) | S(b4-b1) |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | Default algorithm or already known | No secure messaging expected |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | Default algorithm or already known | Secure messaging C-MAC (Global Platform) |
| 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | Default algorithm or already known | Command encryption and C- MAC (Global Platform) |
| - | - | - | - | 0 | 0 | 0 | 0 | Algorithm Identifier | No secure messaging expected |
| _ | - | _ | - | 0 | 0 | 0 | 1 | Algorithm Identifier | Secure messaging C-MAC (Global Platform) |
| _ | _ | _ | _ | 0 | 0 | 1 | 1 | Algorithm Identifier | Command encryption and C- MAC (Global Platform) |

Response Message

Data field returned in the response message

Empty.

Processing state returned in the response message:

For specific status conditions see <u>Table 5-11b</u>.

5.3.5.3 Internal Authenticate APDU

This command is used to perform a challenge-response authentication.

Command Message

| CLA | 0x00 |
|------------|---|
| INS | 0x88 |
| P1 | 0x00 for the default DES3-ECB or Algorithm ID as defined in the CCC |
| P2 | 0x00 for default key, 0x01 to 0x30 for key number |
| Lc | Length of the subsequent data field |
| Data Field | Authentication related data (e.g. Challenge) |
| Le | 0xLL Maximum number of bytes expected in response |

Data field sent in the command message

The data field contains the data to be encrypted by the smart card using the selected key.

Response Message

Data field returned in the response message

The data field in the response message contains the data encrypted. The length of the response may vary and depends on the configuration of the applet.

Processing state returned in the response message

See Table 5-11b.

5.3.6 Public Key Provider Virtual Machine Card Edge Interface

The Public Key Provider VM APDU set consists of one APDU, the PRIVATE SIGN/DECRYPT APDU as detailed in <u>Section 5.3.6.1</u>.

5.3.6.1 Private Sign/Decrypt APDU

This command is used to perform an RSA signature or data decryption.

Command Message

| CLA | 0x80 | | | | |
|--|-------------------------|--|--|--|--|
| INS | 0x42 | | | | |
| P1 | 0x00 | | | | |
| P2 | 0x00 | | | | |
| Lc | Data Field length | | | | |
| Data Field | Data to sign or decrypt | | | | |
| Le Expected length of the signature/decryption | | | | | |

Data field sent in the command message

The data field contains the data to be signed using the selected RSA key pair.

The data must be already padded before the message is sent.

Response Message

Data field returned in the response message

The data field in the response message contains the data signed or decrypted. The client application is responsible for any data padding.

Processing state returned in the response message

See Table 5-11b.

6. Card Capabilities Container

6.1 Overview

To accommodate variations in smart card APDU set implementations, the GSC-IS defines a VCEI and a general mechanism for mapping a smart card's native APDU set to the VCEI. This mechanism is based on the GSC-IS Card Capability grammar. The differences between a smart card's APDU set and the standard APDU set defined by the VCEI are carried on the smart card in the CCC.

Each GSC-IS conformant smart card shall contain a CCC and support a standard procedure for accessing it as defined in Section 6.2. The contents of a CCC shall conform with the formal card capabilities grammar defined in this chapter.

Virtual Machine cards can be programmed to directly implement the VCEI APDU set. However, Virtual Machine cards shall still contain a CCC.



Figure 6-1: The Card Capability Container

Before the card-specific APDU definitions can be used to communicate with the smart card, the CCC must be read.

6.2 Procedure for Accessing the CCC

The CCC is designated by the Capabilities Application Identifier (AID: GSC-RID||DB00). The Universal AID of the smart card CCC shall be 0xA000000116DB00. The CCC shall be the default container of a CCC applet on a VM card. This container shall be selected by default when the CCC applet is selected.

The CCC is implemented as a transparent (binary) file on file system cards. The GSC CCC Elementary File (EF) shall be contained in the Master Directory (FID: "**0x3F00**") and is designated by the Capabilities Application Identifier (AID: GSC-RID||DB00) as well as the FID: "**0xDB00**".



Figure 6-2: Location of the CCC Elementary File in a file system card

6.2.1 General CCC Retrieval Sequence

The CCC shall be stored under a known AID on Virtual Machine cards and a known FID under File system cards. The following CCC retrieval sequence shall be executed after an ATR (Answer-To-Reset)

to the smart card. The retrieval sequence is used to determine which card edge interface is implemented, virtual machine card edge or File system card edge and then to read the CCC. Once the ATR is successful, the SPS first attempts to retrieve the CCC using the procedure for Virtual machine cards. If this fails, the SPS then attempts to read the CCC using the file system card procedure. If that also fails, the SPS assumes that the smart card does not contain a CCC and is not GSC-IS conformant.

The procedure for the retrieval of the CCC is as follows:

1. The SPS sends a SELECT APPLET APDU to the smart card as shown in the following table:

| CLA | INS | P1 | P2 | Lc | DATA |
|------|------|------|------|------------------|------|
| 0x00 | 0xA4 | 0x04 | 0x00 | Length of AID | AID |

- 2. The CCC applet is selected on a VM card if the smart card returns the status bytes "0x9000" or "0x61LL" ("LL" indicates more data available). If not, the SPS then attempts to use the File system procedure to access the CCC as defined in steps 4-8.
- 3. A successful applet selection is followed by an attempt to read the CCC by sending a READ BUFFER APDU command as specified in the Card Edge Interface for VM cards. The READ BUFFER APDU is sent as follows:

| CLA | INS | P1 | P2 | Lc | DATA |
|------|------|----|----|------|-------------------------------------|
| 0x80 | 0x52 | P1 | P2 | 0x02 | Buffer type +data length to read |

Note 1 : Reference Control Parameter P1/P2 : See Card Edge interface for VM (<u>Chapter 5</u>, Section 5-43)

Note 2 : The first buffer to be read is the TL-Buffer (Buffer type = "0x01"), the second buffer to be read is the V-Buffer (Buffer type = "0x02).

Note 3 : The "data length to read" is application/vendor specific, but in practice it is advisable to set it to 64.

If no error status bytes are returned, the smart card will return the data read from the card with "0x9000" status byte to indicate complete completion or "0x61LL" to indicate that "LL" bytes are still available to read. The TL-Buffer and the V-Buffer shall be entirely read.

If an error status byte is returned and the card does not support the READ BUFFER APDU command, the SPS attempts to use the File system card edge by sending a READ BINARY APDU with CLA="0x00" as defined in step 5. If this succeeds, the VM card is using the File system card edge APDUs. If this fails and the smart card does not support READ BINARY either, the smart card is not GSC-IS compliant.

4. For the file system card, the SPS sends a sequence of APDUs to the smart card until the CCC is successfully read. This sequence selects the Master File (MF) using its reserved FID value "0x3F00", then the CCC Elementary File (EF) using its reserved FID value "0xDB00", and then performs a binary read operation on that CCC Elementary File.

The SPS sends a SELECT MF APDU command as follows:

| CLA | INS | P 1 | P2 | Lc | DATA | |
|----------|------|------------|------|------|--------|--|
| TEST CLA | 0xA4 | 0x03 | 0x00 | 0x02 | 0x3F00 | |

Note 1 : The default TEST CLA values are: 0x00, 0xC0, 0xF0, 0x80, 0xBC, 0x01. The CLA value "0x00" is ISO 7816-4 [ISO4] conformant. The value "0x00" shall be the first to be tested. (Additional test values for CLA are: 0x90, 0xA0, 0xB0-0xCF.)

- 5. If the returned status byte is "0x6E00", the tested Class byte is not supported. The SPS loops back to step 4 and attempts the next CLA value.
- 6. If the returned status byte is "0x9000" or "0x61LL" ("LL" indicates more data available), then the command structure and CLA value are correct.
- 7. Once CLA has been determined, the SPS selects (CCC) EF under MF as follows:

| CLA | CLA INS | | P2 | Lc | DATA |
|----------------|---------|------|------|------|--------|
| Determined CLA | 0xA4 | 0x02 | 0x00 | 0x02 | 0xDB00 |

The CCC EF is selected if no error codes are returned.

8. Then to Read a binary file (with no secure messaging), the SPS uses the following READ BINARY APDU on the selected CCC EF:

| CLA | INS | P1 | P2 | Le |
|----------------|------|-------|-------|----|
| Determined CLA | 0xB0 | Off/H | Off/L | Le |

Note 2: P1, P2 and Le are as defined in Section 5.1.1.2

Note 3: SPS implementations should define a timeout value to avoid an infinite wait for a response from the smart card. The timeout mechanism and value are application specific, since in some cases the card reader driver layer may provide this. The SPS will return BSI_TIMEOUT_ERROR in response to a gscBsiUtilConnect() if a connection cannot be established before the timeout value expires.

6.2.2 Card Capabilities Container Structure

For a file system card, the Card Capability Container shall be an elementary file. The file consists of a string of SIMPLE Tag-Length-Value (TLV) data objects with no encoding, with the exception of fields that use structured SIMPLE TLV ("Application CardURL" and "Access Control Rule Table" fields).

For a VM card, the Card Capability Container shall be the default container (buffer) managed by the CCC applet. The internal format of that CCC container is defined in <u>Section 8.2</u>.

For both card types, the CCC is configured for ALWAYS READ. However, it is up to each implementer to define write/modify rules.

| Card Capabilities Container | FID: 0xDB00 | Always Read | | | | |
|-------------------------------------|-------------------|----------------------|-------------------------|--|--|--|
| Data Element (TLV) | Tag | Туре | | | | |
| Card Identifier | 0xF0 | Variable | | | | |
| Capability Container version number | 0xF1 | Fixed: 1 byte | | | | |
| Capability Grammar version number | 0xF2 | Fixed: 1 byte | | | | |
| Applications CardURL | 0xF3 | Variable – Multiple | Objects | | | |
| PKCS#15 | 0xF4 | Fixed: 1 byte | | | | |
| Registered Data Model number | 0xF5 | Fixed: 1 byte | | | | |
| Access Control Rule Table | 0xF6 | Variable – Multiple | Objects | | | |
| CARD APDUs | 0xF7 | Fixed: 6 bytes | | | | |
| Redirection Tag | 0xFA | Variable | | | | |
| Capability Tuples (CTs) | 0xFB | Variable: Collection | n of 2 byte Tuples | | | |
| Status Tuples (STs) | 0xFC | Variable: Collection | n of 3 byte Tuples | | | |
| Next CCC | 0xFD | Application Card U | RL, 20 bytes or greater | | | |
| Optional Issuer Defined Objects | Issuer Defined | Variable | | | | |
| Error Detection Code | 0xFE | 0xFE LRC | | | | |

Table 6-1: CCC Fields

6.3 CCC Fields

<u>Sections 6.3.1</u> through <u>6.3.9</u> describe the CCC fields defined in <u>Table 6-1</u>. The smart card issuer may include additional TLV objects in the Card Capabilities Container for application specific purposes. These are not needed for interoperability but may be used to facilitate extended applications. They may be ignored by any implementation without affecting interoperability. Any optional objects that are not recognized shall be ignored.

6.3.1 Card Identifier Description

The Card Identifier shall be specified by each issuing organization for each card type. Among other things, the Card Identifier allows a client application to determine the type of card it is communicating with. This identifier is defined by the following ASN.1 sequence:

```
CardUniqueIdentifier ::= SEQUENCE {
                       OCTET STRING SIZE(5)
     GSC-RID
     ManufacturerID
                            BIT STRING SIZE(8),
     CardType,
     CardID
                            STRING
}
cardType ::=
                      CHOICE {
                            [0] BIT STRING SIZE(8) : `0x01',
     fileSystemCard
     javaCard
                            [1] BIT STRING SIZE(8) : `0x02',
                            [2] BIT STRING SIZE(8) : `0x03',
     Multos
     JavaCardFS
                            [3] BIT STRING SIZE(8) : `0x04',
      . . .
}
```

JavaCardFS refers to a Java Card implementing the file system card edge defined in Chapter 5.

6.3.2 Capability Container Version Number

The Capability Container Version Number field describes the version of the card capability container. The field is of length one byte; the high order nibble of the byte describes the major version number, and the low order nibble of the byte describes the minor version number.

```
CapabilityContainerVersion ::= SEQUENCE {

MajorVersion BIT STRING SIZE(4),

MinorVersion BIT STRING SIZE(4)

}
```

For instance, for this version of the CCC, the high order nibble would contain the number 2, and the low order nibble would contain the number 1, to correspond to version 2.1.

6.3.3 Capability Grammar Version Number

The Capability Grammar Version Number field describes the version of the Card Capability Container grammar. The field is of length one byte; the high order nibble of the byte describes the major version number, and the low order nibble of the byte describes the minor version number.

```
CapabilityGrammarVersion ::= SEQUENCE {

MajorVersion BIT STRING SIZE(4),

MinorVersion BIT STRING SIZE(4)

}
```

For instance, for this version of the Card Capability Container grammar, the high order nibble would contain the number 2, and the low order nibble would contain the number 1, to correspond to version 2.1.

6.3.4 Applications CardURL Structure

The Card Capabilities Container may contain multiple instances of ApplicationsCardURL structures, each denoted by the tag value "0xF3". They can be assembled into a list of the applications, including FIDs and paths, Key Identifiers and Access Control Methods, which are supported by the card (see Section 7.1).

The structure of the ApplicationsCardURL is denoted $\{T-L-\{T1-L1-V1\} ... \{Tn-Ln-Vn\}\}$ with a tag field followed by a length field encoding a number. If the number is not zero, then the value field of the constructed data object, called "template" in ISO/IEC 7816, consists of one or more SIMPLE TLV data objects, each one consisting of a tag field, a length field encoding a number and if the number is not zero, a value field.

6.3.5 PKCS#15

The PKCS#15 field, if non-zero, indicates that the smart card conforms to PKCS#15. If the field is non-zero, shall indicate the version of PKCS#15.

6.3.6 Registered Daa Model Number

The Registered Data Model Number indicates the registered Data Model in use by the smart card.

6.3.7 Access Cntrol Rules Table

The Access Control Rules Table allows Access Control Rules to be recorded only once in the card. The table definition is either stored directly in the CCC or in the Access Control Applet (ACA) of a VM card in which case the CCC has a reference to the AID of the Access Control Applet.

For additional information on structure format, see Section 6.3.4.

```
ACRTableOrAIDReference ::= CHOICE {
     acrTable
                      [0] ACRTable,
                     [1] STRING SIZE(16)
     acrTableAID
}
ACRTable ::= SEQUENCE {
     acrs
                            SEQUENCE OF ACR,
     accessMethods
                            SEQUENCE OF AccessMethod,
     accessMethodProviders SEQUENCE OF AccessMethodProvider
}
ACR ::= SEQUENCE {
     acrID
                            BIT STRING SIZE(8),
                            BIT STRING SIZE(8),
     acrType
     accessMethodIDs
                            SEQUENCE OF AccessMethodID
}
AccessMethodID ::= BIT STRING SIZE(8)
AccessMethod ::= SEQUENCE {
     accessMethodID BIT STRING SIZE(8),
     accessMethodProviderID BIT STRING SIZE(8),
     keyIDOrReference BIT STRING SIZE(8)
}
AccessMethodProvider ::= SEQUENCE {
     accessMethodProviderID
                                  BIT STRING SIZE(8),
     accessMethodProviderAID
                                 STRING SIZE(16)
}
```

6.3.8 Card APDUs

The card capability container optionally may contain a 6-byte Card APDUs field for the purposes of informing the SPS which ISO 7816-4 [ISO4] and 7816-8 [ISO8] APDUs are available on the smart card. Each bit in the string, if set to 1, would indicate the presence of a corresponding APDU. The Card APDUs field is described in more detail in <u>Section 5.2.3</u>.

6.3.9 Reirection Tag

In the case an implementer decides that a specific subset of Tags need a particular Security Context and that a specific access control rule should be enforced, it is possible to create a Container for this set of Tags.

The Redirection Tag can be used to indicate to the BSI Provider, Data Model Tags are being "redirected" to the Container.

The "value" part of the TLV for this redirection Tag can be described as follows:

```
Redirection_value ::= SEQUENCE {
    dedicatedFileID BIT STRING SIZE(16),
    Tags
}
Tags := SEQUENCE {
    tagID BIT STRING SIZE(8),
    ...
}
```

where each "tagID" is a redirected tag.

A DM can have any number of "redirection flags" to handle Tag level exceptions to the nominal DM.

6.3.10 Capability and Status Tuples

The CCC shall contain a single Capability Tuple (CT) object, which consists of a collection of two byte tuples defining the capabilities, formats and procedures supported by the smart card. The VCEI defines a default set of APDUs that represent a generic implementation of the ISO 7816 standard. It is only necessary to include CT's to indicate a variance between a given smart card's capabilities and the default set.

The CCC may contain a single Status Tuple (ST) object, consisting of a collection of three byte tuples that define the possible status codes for each function. It is only necessary to include STs that differ from the VCEI's status codes and the status codes defined in ISO 7816-4 [ISO4].

<u>Sections 6.3.11</u> through 6.3.14 describe the construction of tuples in more detail.

6.3.11 Capability Tuples

The CCC shall contain a sequence of two-byte elements called tuples. Each tuple comprises a C-byte and a V-byte as shown in <u>Table 6-2</u>. Each tuple describes one piece of an APDU for a particular command. For example, one tuple may define the value of the CLA byte for a SELECT FILE APDU, while another tuple may define the value of P1 for the same command.

| C - Code Byte | | | | | | | | | | ١ | / – Va | lue/De | script | or Byte | 9 | |
|---------------|--------------------------------|------|---|-----|---------|------|---|--------|-----------|-----------|--------|---------|---------|---------|---------|----|
| 7 | 6 | 5 | 4 | 3 | 2 | 1 | 0 | | 7 | 6 | 5 | 4 | 3 | 2 | 1 | |
| 0= Const | Dore | moto | r | Fue | otion (| Codo | | | lf C b | oit 7 = (|) Then | V con | tains a | consta | ant val | ue |
| 1= Desc | = Desc Parameter Function Code | | | | | | | lf C b | oit 7 = 1 | I Then | V con | tains a | Descr | iptor c | oc | |



The C-byte of the tuple is the Code Byte. It identifies the particular command and parameter that is being defined. The V-byte is the Value Byte, which provides either the value to be used for the parameter or a descriptor code that represents the definition of the parameter, that is, what the parameter is in the APDU. This could be, for example, the most-significant byte of the offset for a READ BINARY APDU, or the CHV level for a VERIFY PIN APDU. Whether the V-byte is a constant value or a descriptor code is determined by the 7th bit (most significant bit) of the C-byte. If this bit is 0, the V-byte contains a value

while, if it is 1, the V-byte contains a descriptor code. Bits 6 through 4 of the C-byte identify the parameter and bits 3 through 0 identify the particular command.

The possible values for the codes used in the C and V-bytes are summarized in Table 6-3.

| | ameter odes | | Function Codes | | | | | | |
|------|----------------|------|--|--|--|--|--|--|--|
| 0x00 | DATA | 0x00 | Reserved, Used for Shift Operation (see Section 6.4.2) | | | | | | |
| 0x01 | CLA | 0x01 | Select DF | | | | | | |
| 0x02 | INS | 0x02 | Transparent Read (Binary) | | | | | | |
| 0x03 | P1 | 0x03 | Update Binary File | | | | | | |
| 0x04 | P2 | 0x04 | RFU | | | | | | |
| 0x05 | P3* | 0x05 | Manage Security Environment | | | | | | |
| 0x06 | Prefix | 0x06 | Get Challenge | | | | | | |
| 0x07 | Suffix | 0x07 | Get Response | | | | | | |
| | | 0x08 | Verify (CHV) | | | | | | |
| | | 0x09 | Internal Authenticate | | | | | | |
| | | 0x0A | External Authenticate | | | | | | |
| | | 0x0B | Perform Security Operation | | | | | | |
| | | 0x0C | Select File | | | | | | |
| | | 0x0D | Select EF (under current DF) | | | | | | |
| | | 0x0E | Select MF (root) | | | | | | |
| | | 0x0F | RFU | | | | | | |

 Table 6-3: Parameter and Function Codes

*Note : P3 is a Length (Lc or Le)

6.3.12 Prefix and Suffix Codes

Parameter codes 06 (hexadecimal) and 07 represent prefix and suffix commands respectively. These are commands (function codes) that must execute before or after the specified function code. For example, on some smart cards, a GET RESPONSE must succeed a cryptographic function, or a VERIFY must precede a READ BINARY with secure messaging.

6.3.13 Descriptor Codes

The descriptor codes are used to add processing information for data values or parameters. Parameters can be described by at most one descriptor code, whereas data values can be described by multiple, successive descriptor codes. <u>Table 6-10</u> presents a summary of all descriptor codes.

6.3.14 Status Tuples

The purpose of the Status Tuples is to map a smart card's non-standard status response SW1 & SW2 into a common set of status conditions for a given function. It is not mandatory to list any status conditions that conform to ISO-7816. Status Tuples shall consist of three bytes, labeled S, SW1 and SW2, which describe the possible status conditions for each function. Multiple sets of SW1 and SW2 may translate

into a single Status Condition. Tables 6-4 through 6-6 describe the status tuple construction and status condition codes.

Table 6-4: Status Tuples

| | | | Ś | 5 | | | |
|-------|--------------------------------|---|---|---|---|---|---|
| 7 | 6 | 5 | 4 | 3 | 2 | 1 | 0 |
| Statu | Status Condition Function Code | | | | | | |

Table 6-5: Standard Status Code Responses

| | Status Conditions | | | | | | |
|------|---|--|--|--|--|--|--|
| 0x00 | Successful Completion | | | | | | |
| 0x01 | Successful Completion – Warning 1 | | | | | | |
| 0x02 | Successful Completion – Warning 2 | | | | | | |
| 0x03 | Reserved | | | | | | |
| 0x04 | Reserved | | | | | | |
| 0x05 | Reserved | | | | | | |
| 0x06 | Reserved | | | | | | |
| 0x07 | Reserved | | | | | | |
| 0x08 | Access Condition not Satisfied | | | | | | |
| 0x09 | Function not Allowed | | | | | | |
| 0x0A | Inconsistent Parameter | | | | | | |
| 0x0B | Data Error | | | | | | |
| 0x0C | Wrong Length | | | | | | |
| 0x0D | Function not compatible with file structure | | | | | | |
| 0x0E | File/Record not Found | | | | | | |
| 0x0F | Function Not Supported | | | | | | |

6.3.15 Next CCC Description

This field, if included, is used to point to another CCC container. The values in this next CCC container will override values in the current CCC or define new values and fields. The Next CCC field contains an ApplicationsCardURL structure, with minimum length of 20 bytes.

6.4 CCC Formal Grammar Definition

Using a modified Backus-Naur notation, a definition for the Card Capability Grammar is presented as follows:

```
Command_Unit,[Command_Unit,...]
Command_Unit:(
    FC:(function_code,[extension]),
    Command:(
```

```
APDU:(
    CLA:(class,[qual=0xFE]),
    INS:instruction,
    P1:((p_constant|<value>),def:{code,...}),
    P2:((p_constant|<value>,def:{code,...}),
    P3:(length,def:{code,...}), //of data
    DATA:(composition:data_type[+data_type(...)])
    ),
[Prefix:function_code], //could depend on extension
[Suffix:function_code] //could depend on extension
)
```

6.4.1 Grammar Rules

A description of the symbols follows:

| Symbol | Meaning | | | |
|----------------------------|---|--|--|--|
| : | is composed of | | | |
| [] | optional element | | | |
| () includes or included in | | | | |
| 3 | separates elements | | | |
| | element repeats unspecified number of times | | | |
| { } | choose one from list | | | |
| <> | element value must be given at execution time | | | |
| | or, indicates choice of possibilities for element value | | | |
| + | element is combined with preceding element | | | |
| // | remainder of line contains comments | | | |

In general, the word immediately preceding a colon is the name of the element, while the word to the right of the colon is the name of an element value that may be expected. A description of the element values is given as follows:

| Element | Meaning |
|---------------|---|
| Function_code | value from function code table, always required when other elements are present |
| Class | value for the APDU CLA byte, when entered this is a constant |
| Instruction | value for APDU INS byte, when entered this is a constant |
| Extension | (see discussion about extended function code) |
| P_constant | value for the APDU P1 or P2 byte, when entered this is a constant |
| Code | code for parameter definition, the code must be in the descriptor table |
| Length | length of data element, when entered this is a constant |
| Data_type | code for the composition of the APDU Data field, must be in the descriptor table |
| Qual | Qualifier for CLA; only possible value is 0xFE to indicate command is not available |

Note that all elements except function_code are essentially optional in a command_unit. The square brackets [] are used to emphasize that the enclosed optional elements can only be present if the preceding element is present.

The rules for building and APDU definition according to the formal grammar are as follows:

- The sequence of tuples is organized in groups called command units; all tuples pertaining to a single command unit must be presented in contiguous sequence.
- The sequence of tuples is important and must be presented in the order defined by the formal grammar.
- Each command unit consists of a required function code and optional APDU elements.
- When present, the CLA element may have a constant value (and/or one qualifier code equal to 0xFE, which indicates the command is not available on the smart card).
- When present, the INS element must have a constant value.
- When present, the P1 element may optionally have a constant value and/or one/multiple definition code.
- When present, the P2 element may optionally have a constant value and/or one/multiple definition code.
- When present, the P3 element may have a constant value; P3 always refers to the length of the DATA element in the Command APDU or the length of the expected DATA element in the Response APDU (respectively Lc or Le).
- The DATA element may have multiple data type codes; when combined the data type codes define the composition of the value to be placed in the APDU data field.

As an example of using the Card Capability Grammar, consider the following GSC-IS-default APDU for a Select Dedicated File command along with the same command for the Schlumberger [CCPG] card:

| Select Dedicated File (DF) | | | | | | | |
|----------------------------|-----|-----|----|----|--------|-------------------|--|
| Card Type | CLA | INS | P1 | P2 | P3 | Data | |
| GSC-IS Default | 00 | A4 | 01 | 00 | L (02) | File ID (2 bytes) | |
| Schlumberger Cryptoflex | C0 | A4 | 00 | 00 | L (02) | File ID (2 bytes) | |

| Table 6-6: | Default vs. | Schlumberger DF APDU |
|------------|-------------|----------------------|
|------------|-------------|----------------------|

The formal grammar definition of the Cryptoflex command is as follows:

```
FC:01, CLA:C0, INS:A4, P1:00, P2:00, P3:(02,def:15), DATA:21
```

which translates into the following tuple sequence:

```
11C0 21A4 3100 4100 5102 D115 8121
```

The method for creating the tuple sequence is shown in the <u>Table 6-7</u>, where the C-Byte and V-Byte are built from the parameter, function, and descriptor codes given in the <u>Table 6-3</u> and Table <u>6-10</u>.

| # | C-Byte | | V-Byte | De | Tuple | | | |
|---|--------|---|--------|----|-------------------|-----|------------------|------|
| | S | Ρ | FC | | Function, Parm | V/D | Value/Descriptor | |
| 1 | 0 | 1 | 1 | C0 | Select File, CLA | V | "C0" | 11C0 |
| 2 | 0 | 2 | 1 | A4 | Select File, INS | V | "A4" | 21A4 |
| 3 | 0 | 3 | 1 | 00 | Select File, P1 | V | "00" | 3100 |
| 4 | 0 | 4 | 1 | 00 | Select File, P2 | V | "00" | 4100 |
| 5 | 0 | 5 | 1 | 02 | Select File, P3 | V | "02" | 5102 |
| 6 | 1 | 5 | 1 | 15 | Select File, P3 | D | Length | D115 |
| 7 | 1 | 0 | 1 | 21 | Select File, Data | D | 2 byte FID | 8121 |

 Table 6-7:
 Tuple Creation Sequence

<u>Table 6-7</u> shows the complete tuple sequence to define the SELECT DF command for the Cryptoflex[CCPG] card according to the CC Grammar; however, the only differences in the APDU between the GSC-IS Default and the Cryptoflex card are the CLA byte and the P1 parameter. Therefore, only two tuples are necessary since the rest of the APDU is defined by the GSC-IS VCEI. The tuples required to define this SELECT DF command for the Cryptoflex card would be:

Table 6-8: Derived Select DF Tuple

| # | C-Byte V-Byte | | V-Byte | De | Tuple | | | |
|---|---------------|---|--------|----|---------------------|-----|------------------|------|
| | S | Ρ | FC | | Function, Parameter | V/D | Value/Descriptor | |
| 1 | 0 | 1 | 1 | C0 | Select File, CLA | V | "C0" | 11C0 |
| 2 | 0 | 3 | 1 | 00 | Select File, P1 | V | "00" | 3100 |

6.4.2 Extended Function Codes

The construction of the Code Byte allows only four bits for the designation of the function code; however, it may, at times, be necessary to use more than the allocated commands. For example, prefix or suffix commands that are card specific may be required to fulfill the processing for the GSC-IS command on a particular smart card.

The reserve function code "0x00" is used to define a shift tuple. This tuple is used in the sequence of tuples to place all following function codes in a shift state defined by the high-order four bits of the shift key. The function codes are logically or ed with the current shift tuple to create an extended function code. Placing another shift tuple in the tuple stream places function codes in an un-shift or other shift state. A diagram illustrating the mechanics is given in Figure 6-3.



SL: Shift Level; FC: Function Code; P:Parameter; Ext.: Extension

Figure 6-3: Shift Tuple Sequence (SL: shift level)

As an example of using the shift tuple, consider the following sequence of tuples in <u>Table 6-9</u>:

| # | C-Byte | | V-Byte | D | Tuple | | | |
|---|--------|---|--------|----|--------------------|-----|------------------|------|
| | S | Р | FC | | Function, Parm | V/D | Value/Descriptor | |
| 1 | 0 | 7 | 8 | 1C | Verify, Suffix | V | "1C" | 7817 |
| 2 | 0 | 1 | 0 | 00 | Shift up 1 | V | "00" | 1000 |
| 3 | 0 | 1 | 7 | 00 | Get Response, CLA | V | "00" | 1700 |
| 4 | 0 | 2 | 7 | C0 | Get Response, INS | V | "C0" | 27C0 |
| 5 | 0 | 5 | 7 | 12 | Get Response, P3 | V | "12" | 5712 |
| 6 | 1 | 5 | 7 | 15 | Get Response, P3 | D | 15 | D715 |
| 7 | 1 | 0 | 7 | FD | Get Response, Data | D | FD | 87FD |
| 8 | 1 | 0 | 7 | 38 | Get Response, Data | D | 38 | 8738 |
| 9 | 1 | 0 | 7 | 2F | Get Response, Data | D | 2F | 872F |

Table 6-9: Example of Extended Function Code

The first two tuples have function code 08h indicating a VERIFY command, and give the value for the Data and Suffix parameters. In this case the suffix is a GET RESPONSE with an extended function code. The third tuple is used to set the current shift state. The function codes in the following tuples are logically or'ed with the shift tuple key, which is the C-byte of the shift tuple ("10" in the previous table) to create the extended function code 17h (result of 10h logically or'ed with 07h). This extended function code is then used to identify a new command that completely specifies a GET RESPONSE using the constant value "12" for P3. In this way a card and command-specific length can be specified for the GET RESPONSE.

Table 6-10: Descriptor Codes

| Code | Meaning | Comments |
|-----------|----------------------------|---|
| 0x00-0x0F | Execute Function Code | |
| 0x11 | Challenge | Card Random Number: a designated number of random byte values generated by the smart card. |
| 0x12 | Algorithm Identifier | |
| 0x13 | RFU | |
| 0x14 | RFU | |
| 0x15 | Length | |
| 0x16 | MSB of Offset | The most significant byte of the file offset in bytes. |
| 0x17 | LSB of Offset | The least significant byte of the file offset in bytes. |
| 0x18 | Key Level | If the designated key is at the current level (local) insert the byte 0x80; otherwise, if the key is at the root level (global) insert the byte 0x00. |
| 0x19 | Key Identifier | Key number |
| 0x1A | CHV Level | |
| 0x1B | CHV Identifier | CHV number on smart card |
| 0x1C | AID | Application Identifier |
| 0x1D | EF | The File ID of an Elementary File |
| 0x1E | SID | The Security Identifier value used by Microsoft Windows.™ |
| 0x1F | Parameter is not used | |
| 0x20 | RFU | Reserved for future use |
| 0x21 | 2 Byte FID | The 2-byte File Identifier of the file being accessed. |
| 0x22 | Short FID | The 5 least significant bits of the 2-byte File Identifier of the file being accessed. |
| 0x23 | File Name | |
| 0x24 | AES-ECB | AES algorithm, mode ECB |
| 0x25 | AES-CBC | AES algorithm, mode CBC |
| 0x26 | DES | DES algorithm |
| 0x27 | DES3_16 | Triple DES algorithm |
| 0x28 | Plain Text | un-encrypted ANSI text |
| 0x29 | RFU | |
| 0x2A | Pad Data with 0s | The Data is padded at the end with low values to length of P3 |
| 0x2B | PIN | PIN value |
| 0x2C | 2-byte Key File Identifier | The 2-byte File Identifier of the file of the key being referenced. |
| 0x2D | PIN Type | Pin Type |
| 0x2E | RFU | |
| 0x2F | 8 Byte Random Number | |
| 0x30 | Length + 6 | Length of data plus 6 bytes |
| 0x31 | Length + 3 | Length of data plus 3 bytes |
| 0x32 | Max Buffer Size | Maximum buffer size in preceding data bytes |
| 0x33 | n (modulus length) | Used in the RSA algorithm |
| 0x34 | Message | Plain text message to be encrypted |
| 0x35 | 4 Byte Word | Length or offset is given in words (one word = 4 bytes) |
| 0x36 | Pad Data with FF | Data padded at end with high values |

| Code | Meaning | Comments | | | |
|------|---------------------------------------|--|--|--|--|
| 0x37 | Length = SW2 (with SW1 =61) | Length = low nibble of SW1-SW2 (61nn) from last response | | | |
| 0x38 | RFU | | | | |
| 0x39 | RSA = 512 | RSA 512 bit algorithm using Chinese Reminder Theorem | | | |
| 0x3A | RSA = 768 | RSA 768 bit algorithm using Chinese Reminder Theorem | | | |
| 0x3B | RSA = 1024 | RSA 1024 bit algorithm using Chinese Reminder Theorem | | | |
| 0x3C | Pad = FF at beginning | Padding (FF) put at the beginning for the length of key to be 128 bytes | | | |
| 0x3D | ANSI X9.31 Padding | | | | |
| 0x3E | Pad = 00(8) | Data padded at the end with low values to the 8-byte boundary (ISO 9797.2 paragraph 5.1 method 1). | | | |
| 0x3F | Pad = FF(128) | Data padded at end with high values to total length of 128 bytes (PKCS#1) | | | |
| 0x40 | Pad = FF(Front) | | | | |
| 0x41 | MD5 Header | | | | |
| 0x42 | LSN Key Encoding | Concatenate least significant nibbles of key. For example 8 byte key can be represented by 4 bytes. | | | |
| 0x43 | Terminal Random Number | A designated number of random byte values generated on the terminal by the BSI. | | | |
| 0x44 | Key Level + Key | Most significant bit is global/local flag | | | |
| 0x45 | Key File Short ID | The 5 least significant bits of the 2-byte File Identifier of the file of the key being referenced. | | | |
| 0x46 | MSB of Offset in Words | The most significant byte of the file offset in 4 byte words. | | | |
| 0x47 | LSB of Offset in Words | The least significant byte of the file offset in 4 byte words. | | | |
| 0x48 | RFU | | | | |
| 0x49 | Block Length | | | | |
| 0x4A | TLV Format | | | | |
| 0x4B | Operation Mode | Cryptographic operation modes | | | |
| 0x4C | LOUD | Length of useful data: the number of bytes in the data transmitted, without counting any padding or added bytes. | | | |
| 0x4D | RFU | | | | |
| 0x4E | 8 byte Cryptogram | The cryptogram is generated by encryption of an 8-byte random number with a designated key, with DES encryption for an 8-byte key and DES3 encryption for a 16-byte key. | | | |
| 0x4F | RFU | | | | |
| 0x50 | Length + X | The number of bytes to be read or written plus X, where X is the smallest value such that Length $+ 3 + X$ is evenly divisible by 8. | | | |
| 0x51 | Pad with X 0xFF Bytes | Pad data to be read or written with X 0xFF bytes where X is defined in descriptor code 0x50. | | | |
| 0x52 | Select child DF of current DF | Descriptor code used to describe variation of the ISO Select file command for P1 (Function code "0x0C") See section 5.1.1.4 | | | |
| 0x53 | Length + 8 | The number of bytes of data to be read or written plus 8. | | | |
| 0x54 | Select EF of current DF | Descriptor code used to describe variation of the ISO Select file command for P1 (Function code "0x0C") See section 5.1.1.4 | | | |
| 0x55 | Select parent DF of current DF | Descriptor code used to describe variation of the ISO Select file command for P1 (Function code "0x0C") See section 5.1.1.4 | | | |
| 0x56 | TLV Command Data for Update Binary | Insert the tag byte 0x81, the length byte representing the number of data bytes to be written to the smart card, and the data bytes to be written. | | | |

| Code | Meaning | Comments |
|-----------|--------------------------------------|--|
| 0x57 | TLV Response for Update Binary | Interpret as the tag byte 0x99, the length byte 0x02, and two data bytes representing ISO 7816-4 status bytes SW1 and SW2. |
| 0x58 | TLV Command Data for Read Binary | Insert the tag byte 0x97, the length byte 0x01, and a byte representing the number of bytes to be read from the smart card. |
| 0x59 | TLV Response Data for Read Binary | Interpret as the tag byte 0x81, the length byte representing the number of data byte read from the smart card, and the data bytes read. |
| 0x5A | DES3_16-ECB | Triple DES algorithm, 16 bytes key, ECB mode, |
| 0x5B | DES3_16-CBC | Triple DES algorithm, 16 bytes key, CBC mode, |
| 0x5C | DES-ECB | DES algorithm, mode ECB |
| 0x5D | DES-CBC | DES algorithm, mode CBC |
| 0x5E | RSA = 2048 | RSA 2048 bit algorithm using Chinese Reminder Theorem |
| 0x5F | Key Number << 1 | The number of the designated key is shifted 1 bit to the left (equal to multiplying the key number by 2). |
| 0x60 | Key Level Flag | If the designated key is at the current level (local) insert the byte 0x80; otherwise, if the key is at the root level (global) insert the byte 0x00. |
| 0x61 | Length + #Padding | The length of the data transmitted plus the number of padding bytes required to fill the designate block size: 64 bytes for an RSA 512-bit key, 96 bytes for an RSA 768-bit key, and 128 bytes for an RSA 1024-bit key |
| 0x62 | Length of RSA Response | The response length is the same as the padded length of data sent to the smart card in an RSA Compute command. |
| 0x63 | RSA Response Data | Interpret as the return data from an RSA Compute command: a digital signature computed for a padded hash sent to the smart card, or a decrypted padded hash for a digital signature sent to the smart card. |
| 0x64 | Pad Hashed Data (PKCS#1) | MD5 hash: append to data 18 header bytes: (0x10,0x04,0x00,0x05,0x05,0x02,0x0D,0xF7,0x86,0x48,0x86,0x2A,0x08,0x06, 0x0C,0x30,0x20,0x30); SHA-1 hash: append to data 15 header bytes: (0x14,0x04,0x00,0x05,0x1A,0x02,0x03,0x0E,0x2B,0x05,0x06,0x09,0x30,0x21, 0x30). For all these hash algorithms, after appending the designated header bytes, append one 0x00 byte, followed by a variable number of 0xFF bytes followed by two bytes (0x01,0x00); the number of 0xFF bytes appended brings the total number of bytes, data plus padding, to the same length as that of the PKI key (64 bytes for a 512-bit key, 96 bytes for a 768-bit key, 128 bytes for a 1024-bit key). |
| 0x65 | Swap Data Bytes | The data bytes (either command data sent to the smart card or response data received from the smart card) are swapped, so that for N bytes, the 1st swapped byte is the Nth data byte, the 2nd swapped byte is the N-1st and so forth, until the Nth swapped byte is the 1st data byte. |
| 0x66 | TLV Key ID | Insert the tag byte 0x84, the length byte 0x01, and a byte representing the key identifier of the key used in the PKI computation. |
| 0x67 | TLV Hash Algorithm ID | Insert the tag byte 0x80, the length byte 0x01, and a byte representing the algorithm used to hash the data being signed: 0x32 for MD5 or 0x12 for SHA-1. |
| 0x68 | Key Length Padded Hash Data | The first byte of the data is a value equal to the length of the PKI key being used, followed by the 0x00 byte, followed by the swapped padded hashed data bytes, with padding per descriptor byte 0x65 and swapping per descriptor byte 0x64. |
| 0x69 | Key Length + 2 | The value is the length of the PKI key being used plus 2. |
| 0x70-0x99 | RFU | |
| 0xA0-0xDF | Implementation Dependent | |

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| Code | Meaning | Comments |
|-----------|-----------------------|---|
| 0xE0 | Put Data Bytes | Place Data Bytes (En) in data stream output to smart card |
| 0xE1-0xEF | En N Data Bytes | En: Next n bytes are Data Bytes |
| 0xF0-0xFC | Reserved | |
| 0xFD | Interpret Response | Following descriptor bytes are used to interpret response |
| 0xFE | Command not available | Command is not available on smart card |
| 0xFF | User Input Required | Parameter value must be supplied by use/program |

7. Container Selection and Discovery

The GSC-IS architecture isolates client applications from the differences between virtual machine and file system cards. Virtual machine cards use AID to identify containers and file system cards use File IDs (FID) to identify files; containers and files fall under the category of "objects." An applet on a virtual machine card may manage one or more containers, whereas a directory on a file system may contain one or more files. Client applications must be able to locate the appropriate container or file, regardless of which applet or directory is required. These differences are abstracted by defining ApplicationsCardURL and Universal AID structures that are common to both virtual machine and file system cards. In this context the terms "container" and "file" and "object" are synonymous. The term "container" will be used preferentially throughout this section.

7.1 AID Abstraction: The Universal AID

Client applications use Universal AIDs to select generic containers and cryptographic service modules. For generic container references, Universal AIDs are constructed by concatenating the RID value with the File ID of the desired container. For selecting cryptographic service modules, Universal AIDs are constructed by concatenating the GSC RID value with the File ID of the desired cryptographic key file (symmetric or asymmetric). For example, the Universal AID of the Card Capabilities Container on a card that conforms to the GSC-IS Data Model (<u>Appendix C</u>) would be 0xA000000116DB00.

7.2 The CCC Universal AID and CCC Applet

As one of its first functions, an SPS must read the CCC from the smart card. The retrieval process for the CCC is detailed in <u>Chapter 6</u>. For virtual machine cards, the CCC shall be the default container of an applet whose Universal AID is known by client applications (RID+"DB00"). Therefore, selecting this applet makes the CCC the default selected object available to read.

7.3 The Applications CardURL

Before accessing a container on a smart card, client applications need a method for identifying the applet and directory information associated with the container. Therefore, all GSC conformant smart cards shall provide, in the CCC, an ApplicationsCardURL structure for each container present on the card. The ApplicationsCardURL structure is used to uniquely reference a container on a smart card by including its Universal AID and its associated applet or directory information. This structure also provides a mechanism for client applications to determine the ACRs and PIN and key labels associated with the given container.

ApplicationsCardURL structures are stored in the CCC as outlined in <u>Chapter 6</u>. For VM cards, the pinID, AccessKeyInfo, and keyCryptoAlgorithm fields must be present but are not applicable. The following ASN.1 sequence describes the structure of the ApplicationsCardURL:

| ApplicationsCardURL ::= Rid | SEQUENCE { OCTET STRING SIZE(5), |
|--------------------------------|-------------------------------------|
| CardApplicationType, | |
| ObjectID | BIT STRING SIZE(16), |
| ApplicationID | BIT STRING SIZE(16), |
| AccessProfile, | |
| pinID | BIT STRING SIZE(8), |
| AccessKeyInfo, | |
| keyCryptoAlgorithm | |
| } | |

```
CardApplicationType ::= CHOICE {
genericContainer [0] BIT STRING SIZE(8) : `0x01',
                                           [1] BIT STRING SIZE(8) : `0x02',
         ski
         pki
                                           [2] BIT STRING SIZE(8) : `0x04'
}
ObjectID ::=
                                            CHOICE {
         -- GSC data model definitions
         generalInfo [0] BIT STRING SIZE(16) : `0x2000',
        generation[1]BITSTRINGSIZE(16)*'0x2000',proPersonalInfo[1]BITSTRINGSIZE(16):'0x2100',accessControl[2]BITSTRINGSIZE(16):'0x3000',login[3]BITSTRINGSIZE(16):'0x4000',cardInfo[4]BITSTRINGSIZE(16):'0x5000',biometrics[5]BITSTRINGSIZE(16):'0x6000',digitalSigCert[6]BITSTRINGSIZE(16):'0x7000',
         -- CAC data model definitions
        personInstance [7] BIT STRING SIZE(16) : `0x0200',

[8] BIT STRING SIZE(16) : '0x0200',
[9] BIT STRING SIZE(16) : '0x0203',
[10] BIT STRING SIZE(16) : '0x0203',

         benefitsInfo
         otherBenefits
                                          [10] BIT STRING SIZE(16) : `0x0201',
        personnel
        loginInfo
                                           [11] BIT STRING SIZE(16) : `0x0300',
                                           [12] BIT STRING SIZE(16) : `0x02FE'
        pkiCert
         -- Common definitions
         SEIWG
                                           [13] BIT STRING SIZE(16) : '0x0007'
}
AccessProfile ::= ACRList
                                            CHOICE {
ACRList ::=
         GCACRList,
         CryptoACRList
}
CryptoACRlist ::=
                                             SEQUENCE {
         BIT STRING SIZE(8) : '0x01',
getChallengeACRID BIT STRING SIZE(8) : '0x01',
        IISCIDBITSTRINGSIZE(8)getChallengeACRIDBITSTRINGSIZE(8),internalAuthenticateACRIDBITSTRINGSIZE(8),pkiComputeACRIDBITSTRINGSIZE(8),updatevalueACRIDBITSTRINGSIZE(8),readvalueACRIDBITSTRINGSIZE(8),createACRIDBITSTRINGSIZE(8),datevalueACRIDBITSTRINGSIZE(8),createACRIDBITSTRINGSIZE(8),datevalueACRIDBITSTRINGSIZE(8),createACRIDBITSTRINGSIZE(8),datevalueACRIDBITSTRINGSIZE(8),
         deleteACRID
                                                   BIT STRING SIZE(8)
}
GCACRlist ::=
                                           SEQUENCE {
                                           BIT STRING SIZE(8) : `0x02',
         listID
        listID
readTagListACRID
updatevalueACRID
readvalueACRID
                                                     BIT STRING SIZE(8),
                                                   BIT STRING SIZE(8),
                                                   BIT STRING SIZE(8),
         createACRID
                                                   BIT STRING SIZE(8),
                                                    BIT STRING SIZE(8)
        deleteACRID
}
AccessKeyInfo ::= SEQUENCE
                                                       {
```

| <pre>keyFileID keyNumber keyCryptoAlgorithm }</pre> | BIT STRING SIZE(16), BIT STRING SIZE(8), |
|---|---|
| keyCryptoAlgorithm | CHOICE { |
| DES3-16-ECB | [0] BIT STRING SIZE(8) : '0x00', |
| DES3-16-CBC | <pre>[1] BIT STRING SIZE(8) : `0x01',</pre> |
| DES-ECB | <pre>[2] BIT STRING SIZE(8) : `0x02',</pre> |
| DES-CBC | <pre>[3] BIT STRING SIZE(8) : `0x03',</pre> |
| RSA512 | <pre>[4] BIT STRING SIZE(8) : `0x04',</pre> |
| RSA768 | <pre>[5] BIT STRING SIZE(8) : `0x05',</pre> |
| RSA1024 | <pre>[6] BIT STRING SIZE(8) : `0x06',</pre> |
| RSA2048 | <pre>[7] BIT STRING SIZE(8) : `0x07',</pre> |
| AES128-ECB | <pre>[8] BIT STRING SIZE(8) : `0x08',</pre> |
| AES128-CBC | [9] BIT STRING SIZE(8) : `0x09', |
| AES192-ECB | <pre>[10] BIT STRING SIZE(8) : `0x0A'</pre> |
| AES192-CBC | <pre>[11] BIT STRING SIZE(8) : `0x0B'</pre> |
| AES256-ECB | <pre>[12] BIT STRING SIZE(8) : `0x0C'</pre> |
| AES256-CBC | <pre>[13] BIT STRING SIZE(8) : `0x0D'</pre> |
| ١ | |

}

7.4 Using the Applications CardURL Structure for Container Selection

The Universal AIDs associated with each data model are published in the appendices of this specification. When a client application attempts to first access a container, it will need to retrieve the ApplicationsCardURL structure that corresponds to that container's Universal AID, and use the information contained therein to access the container. This is done differently for file system and VM smart cards. The RID field contains the registered identifier [ISO5] data model.

7.5 File System Cards: Selecting Containers

The ObjectID field in the ApplicationsCardURL structure contains the two-byte File ID of the desired container. In the case of file system cards, the ApplicationID field will be the two-byte File ID of either the Master File or the Directory file within the Master File.

7.6 VM Cards: Selecting Containers and Applets

For VM cards, selecting the container is a two-part process. First, one retrieves the File ID for the desired container from the ObjectID field (as with file system cards). Secondly, one retrieves the AID of the applet that manages the container; that applet's AID is found in the ApplicationID field.

7.7 Using the Applications CardURL Structure for Identifying Access Control Rules

Identifying the access control rules associated with a specific container is straightforward after the container's associated ApplicationsCardURL structure is retrieved. The value of the AccessProfile field determines whether the following structure is a generic container ACR list (GCACRlist) or a cryptographic service modules ACR list (CryptoACRlist). Note that different access control rules can be associated with reading tags versus reading values.

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8. Data Model

8.1 Data Model Overview

Data Models define a set of containers (files) and associated data elements in TLV format. The only mandatory containers are the CCC and Access Control File or SEIWG file. With the exception of the CCC and Access Control File, a GSC-IS conformant card may implement all, some, or none of the other containers associated with a Data Model. However, if the smart card uses any of the data elements defined in Data Model then it must use the container and TLV format specified by that Data Model for that element.

The SEIWG [SEIW] string is defined as the minimum interoperability mechanism for card holder authentication. The SEIWG strings and files are therefore mandatory for both contact and contactless GSC cards.

This specification defines two Data Models. The GSC Data Model was developed for version 1.0 of the GSC-IS (see <u>Appendix C</u>). The GSC Data Model is sometimes referred to as the "J.8" Data Model, since it was first defined in Section J.8 of the Smart Access Common ID Card contract. The second Data Model was developed for the DoD Common Access Card (CAC) and is referred to as the CAC Data Model (see <u>Appendix D</u>).

Applications can discover which Data Model a given card supports by examining the Registered Data Model field of the card's Card Capabilities Container (see <u>Chapter 6</u>). The Registered Data Model field shall contain a 0x01 if the card is using the GSC-IS Data Model defined in <u>Appendix C</u>, or a 0x02 if the card conforms to the CAC Data Model in <u>Appendix D</u>. Error Detection Codes (EDC) are only mandated for the GSC-IS Data Model.

8.2 Internal Tag-Length-Value Format

All container data elements are stored in SIMPLE-TLV format. Each SIMPLE-TLV data object shall consist of a tag field, a length field and an optional value field. For VM cards implementing the VM card edge interface, the SIMPLE-TLV format is split into a T-Buffer and V-Buffer. (See description in Section 8.4)

The tag field T shall consist of a single byte encoding only a number from 1 to 254. No class or construction types are coded. The values "0x00" and "0xFF" are invalid for tag fields. The tag value 0xFE is reserved for the mandatory EDC data object in each container.

The scope of tag values is at the container level, so the same tag value could appear in different containers and have different meanings. Unique tag values are used across all containers in the current GSC-IS Data Models, although this is not a mandatory requirement.

The length field shall consist of 1 or 3 consecutive bytes. If the leading byte of the length field is in the range from '00' to 'FE', then the length field shall consist of a single byte encoding an integer L valued from 0 to 254. If the leading byte is equal to 'FF', then the length field continues on the two subsequent bytes in least significant byte (LSB) - most significant byte (MSB) order, which encode an integer L with a value from 0 to 65,535.

If L is not zero, then the value field V shall consist of L consecutive bytes. If L is zero or if a tag is omitted from its file/buffer, then the data object must be empty; there is no value field for that tag.

8.3 Structure and Length Values for Cards Requiring the File System Card Edge

The file system card edge requires containers to be implemented as a single file, i.e., one file comprises the container. The first TLV record of the container may optionally contain the length of the occupied space in the container as follows:

| Container Byte 0: | Tag = 0xEE |
|-------------------|--|
| Container Byte 1: | Length = 0x02 |
| Container Byte 2: | Least significant byte of length of occupied space |
| Container Byte 3: | Most significant byte of length of occupied space |
| Container Byte 4: | Next tag value |

8.4 Structure and Length Values for Cards Requiring the Virtual Machine Card Edge

The virtual machine card edge is designed to interact with containers that are split into two buffers: the T-Buffer, for storing the tag and associated tag lengths, and the V-Buffer, for storing the values. The first two bytes of each buffer contain the length of the occupied space in the buffer in LSB-MSB format.

8.4.1 T-Buffer



Figure 8-1: T-Buffer Format

8.4.1 V-Buffer

The V-Buffer is constructed as follows according to the TLV format:



Figure 8-2: V-Buffer Format

Appendix A—Normative References

| [DES] | National Institute of Standards and Technology, "DES Modes of Operation", Federal Information Processing Standards Publication 81, December 1980, <u>http://csrc.nist.gov/publications/fips</u> |
|---------|---|
| [FIPS1] | National Institute of Standards and Technology, Federal Information Processing Standard (FIPS) 140-2: Security Requirements for Cryptographic Modules, December 3, 2002 |
| [GLOB] | Global Platform Specification v2.1, http://www.globalplatform.org. |
| [ISO3] | ISO/IEC 7816-3 1995(E): Electronic Signals and Transmission Protocols, <u>http://www.iso.ch</u> . |
| [ISO4] | ISO/IEC 7816-4 1995(E): Interindustry Commands for Interchange |
| [ISO5] | ISO/IEC 7816-5 1994-1996 (Amendment 1): Numbering system and registration procedure for application identifiers. |
| [ISO8] | ISO/IEC 7816-8 1995(E): Interindustry Commands for a Cryptographic Toolbox |
| [ISO9] | International Organization for Standardization, "Information Processing Systems Data Communication High-Level Data Link Control ProcedureFrame Structure", IS 3309, October 1984, 3rd Edition. |
| [1444] | ISO/IEC 14443, Contactless integrated circuit(s) cards - Proximity cards - Parts 1 - 4 |

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Appendix B—Informative References

| [OCF] | The OpenCard Framework, http://www.opencard.org. |
|--------|---|
| [JAVA] | Java Card 2.1.1 Platform Documentation, http://java.sun.com/products/javacard/javacard21.html |
| [CCCG] | GSC-IS CCC Grammar Tutorial, Jackson, Harry, 2001, http://smartcard.nist.gov/cccgrammartutorial.pdf |
| [PCSC] | Personal Computer/Smart Card Workgroup Specifications, http://www.pcscworkgroup.com. |
| [CCPG] | Cryptoflex Cards Programmer's Guide, www.cryptoflex.com |
| [SEIW] | Physical Access Interoperability Working Group (PAIWG) Technical Implementation Guidance, Final Draft v1.0, Smart Card Enabled Physical Access Control Systems (dated 2 July 2003). |

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Appendix C—GSC Data Model

The RID for the GSC Data Model is 0xA00000116. The registered Data Model number is 0x01, and the Data Model version number is 0x01.

Note that the Access Control File and the SEIWG[SEIW] field contained therein are mandatory for this data model.

| File/Buffer Description | | Maximum Length (Bytes) | Read Access Condition |
|-------------------------------------|------|---------------------------|-----------------------|
| Capability | DB00 | | Always Read |
| General Information | 2000 | 509 | Always Read |
| Protected Personal Information | 2100 | 19 | Verify CHV |
| Access Control | 3000 | 59 | Always Read |
| Login | 4000 | 141 | Verify CHV |
| Card Information | 5000 | 165 | Always Read |
| Biometrics – X.509 Certificate | 6000 | 2013 | Always Read |
| PKI – Digital Signature Certificate | 7000 | 3017 | Verify CHV |

| General Information File / Buffer | | EF 2000 Always | Read |
|-----------------------------------|-----|----------------|------------|
| Data Element (TLV) | Tag | Туре | Max. Bytes |
| First Name | 01 | Variable | 20 |
| Middle Name | 02 | Variable | 20 |
| Last Name | 03 | Variable | 20 |
| Suffix | 04 | Variable | 4 |
| Government Agency | 05 | Variable | 30 |
| Bureau Name | 06 | Variable | 30 |
| Agency Bureau Code | 07 | Variable | 4 |
| Department Code | 08 | Variable | 4 |
| Position/Title | 09 | Variable | 30 |
| Building Name | 10 | Variable | 30 |
| Office Address 1 | 11 | Variable | 60 |
| Office Address 2 | 12 | Variable | 60 |
| Office City | 13 | Variable | 50 |
| Office State | 14 | Variable | 20 |
| Office ZIP | 15 | Variable | 15 |
| Office Country | 16 | Variable | 4 |
| Office Phone | 17 | Variable | 15 |
| Office Extension | 18 | Variable | 4 |
| Office Fax | 19 | Variable | 15 |
| Office Email | 1A | Variable | 60 |
| Office Room Number | 1B | Variable | 6 |
| Non-Government Agency | 1C | Fixed Text | 1 |

| General Information File / Buffer | | EF 2000 | Always Read | |
|-----------------------------------|-----|----------|-------------|------------|
| Data Element (TLV) | Tag | | Туре | Max. Bytes |
| SSN Designator | 1D | Variable | | 6 |
| Error Detection Code | FE | LRC | | 1 |

| Protected Personal Information File / Buf | fer | EF 2100 | Verify CHV |
|---|-----|-----------------|------------|
| Data Element (TLV) Tag | | Туре | Max. Bytes |
| Social Security Number | 20 | Fixed Text | 9 |
| Date of Birth | | Date (YYYYMMDD) | 8 |
| Gender | 22 | Fixed Text | 1 |
| Error Detection Code | FE | LRC | 1 |

| Access Control File / Buffer (Note: File mandatory for contact EF 3000 Always Read cards) | | | | | | |
|---|----|---------------|-----|--|--|--|
| Data Element (TLV) Tag Type Max. Bytes | | | | | | |
| SEIWG Data (Note: Field mandatory for contact cards) | 30 | Fixed | 40* | | | |
| PIN | 31 | Fixed Numeric | 10 | | | |
| Domain (Facility / System ID) | 32 | Variable | 8 | | | |
| Error Detection Code | FE | LRC | 1 | | | |

*The SEIWG data format is defined in [SEIW].

| Login Information File / Buffer | | | EF 4000 | Verify CH | V |
|---------------------------------|-----|----------|---------|-----------|------------|
| Data Element (TLV) | Tag | | Туре | | Max. Bytes |
| User ID | 40 | Variable | | | 60 |
| Domain | 41 | Variable | | | 60 |
| Password | 42 | Variable | | | 20 |
| Error Detection Code | FE | LRC | | | 1 |

| Card Information File / Buffer | | | EF 5000 | Always Read |
|----------------------------------|-----|-------------|---------|-------------|
| Data Element (TLV) | Тад | | Туре | Max. Bytes |
| Issuer ID | 50 | Variable | | 32 |
| Issuance Counter | 51 | Variable | 4 | |
| Issue Date | 52 | Date (YYYY | 8 | |
| Expiration Date | 53 | Date (YYYY | 8 | |
| Card Type | 54 | Variable | 32 | |
| Demographic Data Load Date | 55 | Date (YYYY | 8 | |
| Demographic Data Expiration Date | 56 | Date (YYYY | 8 | |
| Card Security Code | 57 | Fixed Text | 32 | |
| Card ID AID | 58 | Variable 32 | | |

| Card Information File / Buffer | | | EF 5000 | Always Read | |
|--------------------------------|-----|-----|---------|-------------|------------|
| Data Element (TLV) | Tag | | Туре | | Max. Bytes |
| Error Detection Code | FE | LRC | | | 1 |

| Biometrics – X.509 Certificate File / Buffer | | | EF6000 | Always Read | |
|--|-----|----------|--------|-------------|------------|
| Data Element (TLV) | Tag | | Туре | | Max. Bytes |
| Template | 60 | Variable | | | 512 |
| Certificate | 61 | Variable | | | 1500 |
| Error Detection Code | FE | LRC | | | 1 |

| PKI – Digital Signature Certificates File / Buffer | | | EF 7000 Verify CHV | | CHV |
|--|-----|-----------------|--------------------|--|------------|
| Data Element (TLV) | Тад | | Туре | | Max. Bytes |
| Certificate | 70 | Variable | | | 3000 |
| Issue Date | 71 | Date (YYYYMMDD) | | | 8 |
| Expiration Date | 72 | Date (YYYYMMDD) | | | 8 |
| Error Detection Code | FE | LRC | | | 1 |

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Appendix D—DoD Common Access Card (CAC) Data Model

D.1 CAC Data Model Specific

The RID for the all the files except the CCC in the CAC Data Model is 0xA000000079. The registered Data Model number is 0x02, and the Data Model version number is 0x01. The CCC RID is 0xA000000116.

The CAC containers are stored in SIMPLE-TLV format as per Chapter 8.

| File/Buffer Description | FID | Maximum Length (Bytes) | Read Access Condition |
|--------------------------------|------|---------------------------|--------------------------|
| Capability | DB00 | | Always Read |
| Person Instance Container | 0200 | 469 | PIN or External Auth |
| Benefits Information Container | 0202 | 19 | PIN or External Auth |
| Other Benefits Container | 0203 | 59 | PIN or External Auth |
| Personnel Container | 0201 | 141 | PIN or External Auth |
| Login Information Container | 0300 | 133 | PIN or External Auth |
| PKI Certificate Container | 02FE | 2013 | PIN Always |
| SEIWG | 0007 | 41 | Always Read |

| Person Instance File/Buffer | | EF 0200 | Always Read | |
|--|-----|--------------------|-------------|------------|
| Data Element (TLV) | Tag | Ту | ре | Max. Bytes |
| Person First Name | 01 | Variable | | 40 |
| Person Middle Name | 02 | Variable | | 40 |
| Person Last Name | 03 | Variable | | 52 |
| Person Cadency Name | 04 | Variable | | 8 |
| Person Identifier | 05 | Fixed Text | | 30 |
| Date of Birth | 06 | Date (YYYYMMDD) | | 16 |
| Sex Category Code | 07 | Fixed Text | | 2 |
| Person Identifier Type Code | 08 | Fixed Text | | 2 |
| Blood Type Code | 11 | Fixed Text | | 4 |
| DoD EDI Person Identifier | 17 | Fixed Text | | 20 |
| Organ Donor | 18 | Fixed Text | | 2 |
| Identification Card Issue Date | 62 | Date (YYYYMMDD) | | 16 |
| Identification Card Expiration Date | 63 | Date (YYYYMMDD) | | 16 |
| Date Demographic Data was Loaded on Chip | 65 | Date (YYYYMMDD) | | 16 |
| Date Demographic Data on Chip Expires | 66 | Date (YYYYMMDD) 16 | | 16 |
| Card Instance Identifier | 67 | Fixed Text | | 2 |

| SEIWG File / Buffer (Note: File Mandatory for Contact Cards) | | | EF 0007 | Always Re | ead |
|--|-----|-------|---------|-----------|------------|
| Data Element (TLV) | Tag | | Туре | | Max. Bytes |
| SEIWG Data | 30 | Fixed | | | 40* |
| Error Detection Code | FE | LRC | | | 1 |

*The SEIWG data format is defined in [SEIW].

| Benefits Information File / Buffer | | EF 0202 | CHV Verify | |
|---|-----|------------|------------|------------|
| Data Element (TLV) | Tag | | Туре | Max. Bytes |
| Exchange Code | 12 | Fixed Text | | 2 |
| Commissary Code | 13 | Fixed Text | | 2 |
| MWR Code | 14 | Fixed Text | | 2 |
| Non-Medical Benefits Association End Date | 1B | Date (YYYY | ′MMDD) | 16 |
| Direct Care End Date | 1C | Date (YYYY | ′MMDD) | 16 |
| Civilian Health Care Entitlement Type Code | D0 | Fixed Text | | 2 |
| Direct Care Benefit Type Code | D1 | Fixed Text | | 2 |
| Civilian Health Care End Date | D2 | Fixed Text | | 16 |

| Other Benefits File / Buffer | | | EF 0203 | Always Re | ead |
|------------------------------|-----|------------|---------|-----------|------------|
| Data Element (TLV) | Tag | | Туре | | Max. Bytes |
| Meal Plan Type Code | 1A | Fixed Text | | | 4 |

| Personnel File / Buffer | | | EF 0201 | Always Read | |
|--|-----|------------|---------|-------------|----|
| Data Element (TLV) | Tag | | Туре | Max. Byt | es |
| DoD Contractor Function Code | 19 | Fixed Text | | 2 | |
| US Government Agency/Subagency Code | 20 | Fixed Text | | 8 | |
| Branch of Service Code | 24 | Fixed Text | | 2 | |
| Pay Grade Code | 25 | Fixed Text | | 4 | |
| Rank Code | 26 | Fixed Text | | 12 | |
| Personnel Category Code | 34 | Fixed Text | | 2 | |
| Non-US Government Agency/Subagency Code | 35 | Fixed Text | | 4 | |
| Pay Plan Code | 36 | Fixed Text | | 4 | |
| Personnel Entitlement Condition Code | D3 | Fixed Text | | 4 | |

| Login Information File / Buffer | | | EF 0300 | CHV Verif | у |
|---------------------------------|------|----------|---------|-----------|------------|
| Data Element (TLV) | Tag | | Туре | | Max. Bytes |
| User ID | 0x40 | Variable | | | 20 |

| Domain | 0x41 | Variable | 20 |
|----------------------|------|------------|----|
| PasswordInfo | 0x43 | Fixed Text | 1 |
| ApplicationName | 0x44 | Variable | 8 |
| Error Detection Code | 0xFE | LRC | 1 |

| PKI Certificate File / Buffer | | | EF 02FE | CHV \ | /erify |
|-------------------------------|------|------------|---------|-------|------------|
| Data Element (TLV) | Тад | | Туре | | Max. Bytes |
| Certificate | 0x70 | Variable | | | 1100 |
| CertInfo | 0x71 | Fixed Text | | | 1 |
| MSCUID | 0x72 | Variable | | | 38 |
| Error Detection Code | 0xFE | LRC | | | 1 |

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Appendix E—C Language Binding for BSI Services

This appendix defines the C language binding for the BSI services. This set of services consists of 23 C functions derived from the pseudo IDL specification (<u>Chapter 4</u>). The return codes for the functions are as defined in <u>Section 4.4</u>. The C binding is grouped into three functional modules as follows:

- A Smart Card Utility Provider Module
- A Smart Card Generic Container Provider Module
- A Smart Card Cryptographic Provider Module

E.1 Type Definitions for BSI Functions

The following type definitions are used by multiple BSI functions.

| #typedef | unsigned | long | UTILCardHandle |
|----------|----------|------|----------------|
| #typedef | unsigned | char | GCtag |

E.2 Parameter Format and Buffer Size Discovery Process

Many BSI function calls accept and/or return variable-length string data. The buffers that store the strings are paired with an integer value representing the number of bytes (the size of the buffer). This number includes the additional byte for the NULL terminator in the case where actual text is expected (e.g. Reader Name). Calling applications shall allocate buffers of sufficient size to hold string arguments returned by the BSI functions. The BSI shall provide a discovery mechanism to allow applications to determine required buffer size for returned data. To determine the required buffer size, the calling application must typically call the BSI function two times. The first time to get the required buffer size (discovery call), and the second time to execute the function with the correct buffer size (execution call). However, only one call is possible if the client application is able to estimate the required buffer size. In that last case, the call is an execution call.

The client application sets the pointer to the buffer that should be allocated for the returned arguments to NULL. This approach signals to the service that it must determine the buffer size required for the returned arguments and return this information in the corresponding paired integers. The client application then allocates buffers of the required size, sets the paired integers accordingly, and calls the BSI function a second time. The SPS must check the length integer against its previously cached value and, if the value contained in the length integer is greater than or equal to the required buffer length, it shall return the appropriate data in the buffers. See Example 1 and 2 in Section E.3 for additional information.

If an application knows or is able to estimate the required buffer size beforehand, it can shorten the process by making only one call. To do so, the application allocates buffers it believes to be of sufficient size to hold the data returned by the BSI function, sets the paired length integers accordingly, and calls the BSI function. The SPS shall check the length integer against the required value and, if it is greater than or equal to the required buffer length, it shall return the appropriate data in the buffers. If not, the BSI function shall return the BSI_INSUFFICIENT_BUFFER error code and the required buffer sizes in the respective paired length integers. See Example 3 in the Section E.3 for more information.

E.2.1 Variable Length String Data

Ten BSI function calls accept and/or return variable-length string data identified in Table E-1.

| BSI function | Discovery buffer | Discovery length |
|--|------------------|--------------------|
| gscBsiUtilGetVersion () | *uszVersion | *unVersionLen |
| gscBsiUtilGetCardProperties () | *uszCCCUniqueID | *unCCCUniqueIDLen |
| gscBsiUtilGetReaderList () | *uszReaderList | *unReaderListLen |
| gscBsiUtilPassthru () (See Note in Section E.3.9) | *uszCardResponse | *unCardResponseLen |
| gscBsiGcReadTagList () | *TagArray | *unNbTags |
| gscBsiGcReadValue () | *uszValue | *unValueLen |
| gscBsiGetChallenge () | *uszChallenge | *unChallengeLen |
| gscBsiSkiInternalAuthenticate () | *uszCryptogram | *unCryptogramLen |
| gscBsiPkiCompute () | *uszResult | *unResultLen |
| gscBsiPkiGetCertificate () | *uszCertificate | *unCertificateLen |

Table E-1: BSI functions using the discovery method

Each of these functions is invoked in the discovery mode by passing in a NULL value for the discovery buffer parameter. With the exception of gscBsiGcReadTagList (), each of these returns (Discovery call) the size in bytes (including the NULL Terminator) of the buffer needed to store the return variable-length string data. The lone exception, gscBsiGcReadTagList (), returns the number of tags in the tag array, so that the size of the array buffer needed is given by "*unNbTags * size of (GCtag)".

E.3 Discovery Mechanisms Code Samples

Following are three examples in C illustrating the discovery mechanism.

The three examples make the following assumptions:

- Application defined return codes SUCCESS & FAILURE

- ERROR_RETURN reports error and returns FAILURE
- Parameters AID and AID length are given
- PROCESS_READ_CERTIFICATE processes the read of the certificate

Example 1

```
{
```

 $//\ {\tt Discovers}$ the correct size for the certificate buffer, allocates memory and executes.

unsigned char * pCert; //Discovery buffer unsigned long unCertLen = 0; //Discovery length

```
iRet;
                                    //return code ("unsigned long" in the
long
Spec)
//First call : Discovery call
iRet = gscBsiPkiReadCertificate (hCard, usAID, unAIDLen, NULL, &unCertLen);
if (iRet != BSI OK)
      ERROR_RETURN ("gscBsiPkiReadCertificate-discovery call", iRet);
if (unCertLen == 0)
      ERROR_RETURN ("Unexpected BSI_OK with unCertLen == 0", unCertLen);
//Memory allocation of the buffer with the returned length from first call
pCert = (unsigned char *) malloc (unCertLen * sizeof(unsigned char));
if (pCert==NULL)
      ERROR_RETURN ("Unable to allocate memory", unCertLen);
else
//Second call : Execution call
iRet = gscBsiPkiReadCertificate (hCard, usAID, unAIDLen, pCert, &unCertLen);
if (iRet != BSI_OK)
      free (pCert); // avoid memory leak!
      ERROR_RETURN ("gscBsiPkiReadCertificate-results call", iRet)
      }
else
PROCESS_READ_CERTIFICATE {... }
free (pCert);
                       // avoid memory leak!
return (SUCCESS);
}
```

Example 2

```
{
```

// Try default buffer first, if buffer is large enough normal execution occurs, or if buffer is too small reacts by discovering the length and executes. usBuffer [ESTIMATED_CERT_SIZE]; unsigned char unsigned char * pCert = usBuffer; //Discovery buffer unsigned long unCertLen = sizeof (usBuffer); //Discovery length long iRet; //return code ("unsigned long" in the Spec) //First call : Discovery call, or Execution call if buffer large enough iRet = gscBsiPkiReadCertificate (hCard, usAID, unAIDLen, pCert, &unCertLen); if (iRet==BSI INSUFFICIENT BUFFER) pCert = (unsigned char *) malloc(unCertLen * sizeof(unsigned char)); if (pCert==NULL) ERROR_RETURN ("Unable to allocate memory", unCertLen); //Second call : Execution call iRet = gscBsiPkiReadCertificate (hCard, usAID, unAIDLen, pCert, &unCertLen); if (iRet != BSI_OK) free (pCert); // avoid memory leak! } if (iRet != BSI_OK) // Works for either 1st or 2nd call! ERROR_RETURN ("gscBsiPkiReadCertificate", iRet); PROCESS_READ_CERTIFICATE {...} if (unCertLen > ESTIMATED CERT SIZE) free (pCert); // avoid memory leak! return (SUCCESS); }

Example 3

{

// Use a buffer so large that discovery is never necessary.

unsigned char usBuffer [REALLY_BIG_BUFFER]; unsigned char *pCert = usBuffer; //Discovery buffer unsigned long unCertLen = sizeof (usBuffer); //Discovery length long iRet; //return code ("unsigned long" in the Spec)

//First call: Execution call

iRet = gscBsiPkiReadCertificate (hCard, usAID, unAIDLen, pCert, &unCertLen); if (iRet != BSI_OK)

ERROR_RETURN ("gscBsiPkiReadCertificate", iRet);

PROCESS_READ_CERTIFICATE

return (SUCCESS);

}

E.4 Smart Card Utility Provider Module Interface Definition

E.4.1 gscBsiUtilAcquireContext()

| Purpose: | This function shall establish a session with a target container on the smart card by submitting the appropriate Authenticator in the BSIAuthenticator structure. I ACRs requiring external authentication (XAUTH), the uszAuthValue field of the BSIAuthenticator structure must contain a cryptogram calculated by encrypti random challenge from gscBsiGetChallenge(). In cases where the card acceptance device authenticates the smart card, this function returns a BSI_TERMINAL_AUTH return code and the cryptogram is ignored. | | | | |
|-------------|---|---|--|--|--|
| | the calling application passe BSIAuthenticator struct PIN and the appropriate Ext BSIAuthenticator struct unAccessMethodType fie type of authenticator contain BSI_ACR_PIN_AND_XAUTT BSIAuthenticators: on Authentication cryptogram. would have an unAccessM BSIAuthenticator struct | hed authentication such as BSI_ACR_PIN_AND_XAUTH, es in the required authenticators in multiple etures. In this example the calling application passes a ternal Authentication cryptogram in two etures. The client application must set the ld of each BSIAuthenticator structure to match the ned in the structure. To satisfy an ACR of H, the application would construct a sequence of two e containing a PIN and one containing an External The BSIAuthenticator structure containing the PIN ethodType of BSI_AM_PIN, and the eture containing the External Authentication cryptogram ethodType of BSI_AM_XAUTH. | | | |
| Prototype: | <pre>unsigned long IN UTILCardHandle IN unsigned char IN unsigned long IN BSIAuthenticato IN unsigned long);</pre> | unAIDLen, | | | |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). | | | |
| | uszAID: | Target container AID value. The parameter shall be in ASCII hexadecimal format. | | | |
| | unAIDLen: | AID value length in bytes. | | | |
| | strctAuthenticator: | An array of structures containing the authenticator(s) specified by the ACR required to access a value in the container. The required list of authenticators is returned by gscBsiGcGetContainerProperties(). The calling application is responsible for allocating this structure. | | | |
| | unAuthNb: | Number of authenticator structures contained in strctAuthenticator. | | | |

The BSIAuthenticator structure is defined as follows.

BSI_AUTHENTICATOR_MAX_LEN and BSI_KEY_LENGTH are implementation-dependent constants.

| struct BSIA u | thenticator | { |
|----------------------|-------------|------------------------------|
| unsigned | long | unAccessMethodType; |
| unsigned | long | unKeyIDOrReference; |
| unsigned | char | uszAuthValue |
| | | [BSI_AUTHENTICATOR_MAX_LEN]; |
| unsigned | long | unAuthValueLen; |
| }; | | |

Variables associated with the BSIAuthenticator structure:

| unAccessMethodType: | Access Method Type (see <u>Table 3-1</u> in <u>Section 3.1</u>). |
|---------------------|--|
| unKeyIDOrReference: | Key identifier or reference of the authenticator. This is used to distinguish between multiple authenticators with the same Access Method Type. |
| uszAuthValue: | Authenticator, can be an external authentication cryptogram or PIN. If the authenticator value is NULL, then BSI is in charge of gathering authentication information and authenticating to the card. |
| unAuthValueLen: | Authenticator value length in bytes. |

```
Return Codes:
```

```
BSI_OK
BSI_BAD_HANDLE
BSI_BAD_AID
BSI_BAD_PARAM
BSI_ACR_NOT_AVAILABLE
BSI_BAD_AUTH
BSI_CARD_REMOVED
BSI_SC_LOCKED
BSI_PIN_BLOCKED
BSI_TERMINAL_AUTH
BSI_UNKNOWN_ERROR
```

E.4.2 gscBsiUtilConnect()

Purpose: Establish a logical connection with the card in a specified reader.

Prototype: unsigned long gscBsiUtilConnect(IN unsigned char * uszReaderName, IN unsigned long unReaderNameLen, OUT UTILCardHandle * hCard);

Parameters: hCard: Card connection handle.

uszReaderName: Name of the reader that the card is inserted into. If this field is a NULL pointer, the SPS shall attempt to connect to the card in the first available reader, as returned by a call to the BSI's function gscBsiUtilGetReaderList(). The reader name string shall be stored as ASCII encoding String. (See Section 4.2)

unReaderNameLen: Length of the reader name in bytes.

Return Codes: BSI_OK BSI_BAD_PARAM BSI_UNKNOWN_READER BSI_CARD_ABSENT BSI_TIMEOUT_ERROR BSI_UNKNOWN_ERROR

E.4.3 gscBsiUtilDisconnect()

 Purpose:
 Terminate a logical connection to a card.

 Prototype:
 unsigned long gscBsiUtilDisconnect(IN UTILCardHandle hCard);

 Parameters:
 hCard:
 Card connection handle from gscBsiUtilConnect().

 Return Codes:
 BSI_OK BSI_BAD_HANDLE BSI_CARD_REMOVED BSI_UNKNOWN_ERROR

E.4.4 gscBsiUtilBeginTransaction()

| Purpose: | transaction starts, all other a while the transaction is in p function: a blocking transac type parameter identify whi will return immediately if a error code will be BSI_SC indefinitely for any active t | ion with the smart card referenced by hCard. When the applications are precluded from accessing the smart card rogress. Two types of calls can be made with that etion call and a non-blocking transaction call. A boolean ich mode is called. In the non-blocking mode, the call nother client has an active transaction lock. The returned _LOCKED. In the blocking mode, the call will wait ransaction locks to be released. A transaction must be BsiUtilEndTransaction(). | |
|---------------|---|--|--|
| | For single-threaded BSI implementations, it can be assumed that each application will be associated with a separate process. The same process that starts a transaction must also complete the transaction. For multi-threaded BSI implementations, it can be assumed that each application will be associated with a separate thread and/or process. The same thread that starts a transaction must also complete the transaction. | | |
| | If this function is called by a thread that has already called gscBsiUtilBeginTransaction() but has not yet called gscBsiUtilEndTransaction() it will return the error BSI_NOT_TRANSACTED. | | |
| | | r Software) does not support transaction locking, it should NO_SPSSERVICE in response to a call to action() . | |
| Prototype: | unsigned long gscBsi IN unsigned long IN boolean); | UtilBeginTransaction(hCard; blType; | |
| Parameters: | hCard: | Card communication handle returned from gscBsiUtilConnect() | |
| | blType: | Boolean specifying the type of transaction call (blType set to "true" in blocking mode. blType set to "false" in non-blocking mode). | |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_UNKNOWN_ERROR BSI_SC_LOCKED BSI_NOT_TRANSACTED BSI_NO_SPSSERVICE | | |

E.4.5 gscBsiUtilEndTransaction()

| Purpose: | Completes a previously started transaction, allowing other applications to resume interactions with the card. If this function is called by a thread that has not yet called gscBsiUtilBeginTransaction() it will return the error BSI_NOT_TRANSACTED. If the SPS (Service Provider Software) does not support transaction locking, it should return the error code BSI_NO_SPSSERVICE in response to a call to gscBsiUtilEndTransaction(). | |
|---------------|--|--|
| | | |
| | | |
| Prototype: | unsigned long gscBsiU IN unsigned long); | tilEndTransaction(hCard |
| Parameters: | hCard: | Card communication handle returned from gscBsiUtilConnect(). |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_UNKNOWN_ERROR BSI_NOT_TRANSACTED BSI_NO_SPSSERVICE | |

E.4.6 gscBsiUtilGetVersion()

| Purpose: | Returns the BSI implementation version. | |
|------------------------|---|---|
| Prototype: | unsigned long gscBsi INOUT unsigned ch INOUT unsigned lo); | |
| Parameters: | uszVersion: | The BSI and SPS version formatted as "major,minor,revision, build_number\0". The version text shall be stored as ASCII encoded String. (See Section 4.2) |
| | punVersionLen: | Length of the version string. |
| Return Codes: | BSI_OK BSI_BAD_PARAM BSI_INSUFFICIENT_BUF BSI_UNKNOWN_ERROR | FER |
| <u>Discovery Mode:</u> | | |
| Parameters: | uszVersion: | Set to NULL. |
| | punVersionLen: | Pointer to value containing the required buffer length to contain the version string, including a null terminator. |
| Return Codes: | BSI_OK BSI_BAD_PARAM BSI_UNKNOWN_ERROR | |

E.4.7 gscBsiUtilGetCardProperties()

Purpose: Retrieves ID and capability information for the card.

| Parameters: | hCard: | Card connection handle from gsc | CBsiUtilConnect(). |
|-------------|--------------------|--|---|
| | uszCCCUniqueID: | Buffer for the Card Capability Co in ASCII Hexadecimal. | ontainer ID, represented |
| | punCCCUniqueIDLen: | Length of the CCC Unique ID str the returned Card Unique ID strir terminator (output). | |
| | punCardCapability: | Bit mask value defining the provi card. The bit masks represent the Data Model, the Symmetric Key Public Key Interface providers re | e Generic Container Interface, and the |
| | | #define BSI_GCCDM #define BSI_SKI #define BSI_PKI | 0x00000001 0x00000002 0x00000004 |

| Return Codes: | BSI_OK |
|----------------------|-------------------------|
| | BSI_BAD_HANDLE |
| | BSI_CARD_REMOVED |
| | BSI_SC_LOCKED |
| | BSI_BAD_PARAM |
| | BSI_INSUFFICIENT_BUFFER |
| | BSI_NO_CARDSERVICE |
| | BSI_UNKNOWN_ERROR |

Discovery Mode:

| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
|-------------|--------------------|--|
| | uszCCCUniqueID: | Set to NULL. |
| | punCCCUniqueIDLen: | Pointer to value containing the required buffer length for the CCC Unique ID string, including a null terminator. |
| | punCardCapability: | Can be set to NULL, unused in discovery. |

Return Codes:

BSI_OK BSI_BAD_HANDLE BSI_CARD_REMOVED BSI_SC_LOCKED BSI_BAD_PARAM BSI_UNKNOWN_ERROR

E.4.8 gscBsiUtilGetCardStatus()

BSI_UNKNOWN_ERROR

 Purpose:
 Checks whether a given card handle is associated with a card that is inserted into a powered up reader.

 Prototype:
 unsigned long gscBsiUtilGetCardStatus(IN UTILCardHandle hCard);

 Parameters:
 hCard:

 Card connection handle from gscBsiUtilConnect().

 Return Codes:
 BSI_OK BSI_BAD_HANDLE BSI_CARD_REMOVED

E.4.9 gscBsiUtilGetExtendedErrorText()

When a BSI function call returns an error, an application can make a subsequent call **Purpose:** to this function to receive additional implementation specific error information, if available. **Prototype:** unsigned long gscBsiUtilGetExtendedErrorText(IN UTILCardHandle hCard, OUT char uszErrorText[255]); **Parameters:** hCard: Card connection handle gscBsiUtilConnect(). A fixed length buffer containing an implementation uszErrorText: specific error text string. The text string is nullterminated, and has a maximum length of 255 characters including the null terminator. The calling application must allocate a buffer of 255 bytes. If an extended error text string is not available, this function returns a NULL string and BSI_NO_TEXT_AVAILABLE. The error text shall be stored as ASCII encoding String. (See Section 4.2) **Return Codes:** BSI OK

Keturn Codes: BS1_OK BSI_BAD_HANDLE BSI_NO_TEXT_AVAILABLE BSI_UNKNOWN_ERROR

E.4.10 gscBsiUtilGetReaderList()

| Purpose: | Retrieves the list of available readers. | |
|------------------------|--|---|
| Prototype: | <pre>unsigned long gscBsiUtilGetReaderList(INOUT unsigned char * uszReaderList, INOUT unsigned long * punReaderListLen);</pre> | |
| Parameters: | uszReaderList: | Reader list buffer. The reader list is returned as a multi- string, each reader name terminated by a ' 0 '. The list itself is terminated by an additional trailing ' 0 ' character. |
| | punReaderListLen: | Reader list length in bytes including all terminating ' 0 ' characters. |
| Return Codes: | BSI_OK BSI_BAD_PARAM BSI_INSUFFICIENT_BUFFER BSI_UNKNOWN_ERROR | |
| <u>Discovery Mode:</u> | | |
| Parameters: | uszReaderList: | Set to NULL. |
| | punReaderListLen: | Required buffer length for Reader list in bytes including all terminating '\0' characters. |
| Return Codes: | BSI_OK BSI_BAD_PARAM BSI_UNKNOWN_ERROR | |

E.4.11 gscBsiUtilPassthru()

Purpose: Allows a client application to send a "raw" APDU through the BSI directly to the card and receive the APDU-level response.

Prototype: unsigned long gscBsiUtilPassthru(IN UTILCardHandle hCard, IN unsigned char * uszCardCommand, IN unsigned long unCardCommandLen, INOUT unsigned char * uszCardResponse, INOUT unsigned long * punCardResponseLen);

| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
|---------------|--|--|
| | uszCardCommand: | The APDU to be sent to the card. That parameter must be in ASCII hexadecimal format. |
| | unCardCommandLen: | Length of the APDU string to be sent. |
| | uzsCardResponse: | Pre-allocated buffer for the APDU response from the card. The response must include the status bytes SW1 and SW2 returned by the card. If the size of the buffer is insufficient, the SPS shall return truncated response data and the return code BSI_INSUFFICIENT_BUFFER. That parameter must be in ASCII hexadecimal format. |
| | punCardResponseLen: | Length of the APDU response. If the size of the uszCardResponse buffer is insufficient, the SPS shall return the correct size in this field. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_BAD_PARAM BSI_INSUFFICIENT_BUF BSI_CARD_REMOVED BSI_SC_LOCKED | FER |

Discovery Mode (depending on usage):

BSI_UNKNOWN_ERROR

Note: The discovery mechanism may cause the command APDU to be executed twice depending on the context of use.

The discovery mode is as follows:

| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
|-------------|-----------------|--|
| | | The ADDU to be cont to the cond |
| | uszCardCommand: | The APDU to be sent to the card. |

 unCardCommandLen:
 Length of the APDU string to be sent.

 uzsCardResponse:
 Set to NULL.

 punCardResponseLen:
 Length of the buffer required to contain the APDU response.

Return Codes: BSI_OK BSI_BAD_HANDLE BSI_SC_LOCKED BSI_BAD_PARAM BSI_CARD_REMOVED BSI_UNKNOWN_ERROR

E.4.12 gscBsiUtilReleaseContext()

Purpose: Terminate a session with the target container on the card.

| Prototype: | unsigned long gscBsi IN UTILCardHandle IN unsigned char IN unsigned long); | hCard, |
|-------------|--|---|
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | uszAID: | Target container AID value. The parameter shall be in ASCII hexadecimal format. |

| | unAIDLen: | AID value length in bytes. |
|----------------------|-------------------|----------------------------|
| Return Codes: | BSI_OK | |
| | BSI_BAD_HANDLE | |
| | BSI_BAD_AID | |
| | BSI_BAD_PARAM | |
| | BSI_CARD_REMOVED | |
| | BSI_SC_LOCKED | |
| | BSI_UNKNOWN_ERROR | |

E.5 Smart Card Generic Container Provider Module Interface Definition

E.5.1 gscBsiGcDataCreate()

| Purpose: | Create a new data item in { | Tag, Length, Value} format in the selected container. |
|---------------|--|---|
| Prototype: | <pre>unsigned long gscBsi IN UTILCardHandle IN unsigned char IN unsigned long IN GCtag IN unsigned char IN unsigned long);</pre> | hCard, * uszAID, unAIDLen, ucTag, |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | uszAID: | Target container AID value. The parameter shall be in ASCII hexadecimal format. |
| | unAIDLen: | AID value length in bytes. |
| | ucTag: | Tag of data item to store. |
| | uszValue: | Data value to store. |
| | unValueLen: | Data value length in bytes. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_SC_LOCKED BSI_BAD_PARAM BSI_CARD_REMOVED BSI_NO_CARDSERVICE BSI_ACCESS_DENIED BSI_NO_MORE_SPACE BSI_TAG_EXISTS BSI_IO_ERROR BSI_UNKNOWN_ERROR | |

E.5.2 gscBsiGcDataDelete()

Purpose: Delete the data item associated with the tag value in the specified container.

| Prototype: | unsigned long gscBsi IN UTILCardHandle IN unsigned char IN unsigned long IN GCtag); | hCard, * uszAID, |
|---------------|--|---|
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | uszAID: | Target container AID value. The parameter shall be in ASCII hexadecimal format. |
| | unAIDLen: | AID value length in bytes. |
| | ucTag: | Tag of data item to delete. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_SC_LOCKED BSI_BAD_AID BSI_BAD_PARAM BSI_BAD_TAG BSI_CARD_REMOVED BSI_NO_CARDSERVICE BSI_ACCESS_DENIED BSI_IO_ERROR BSI_UNKNOWN_ERROR | |

E.5.3 gscBsiGcGetContainerProperties()

| Purpose: | Retrieves the properties of t | he specified container. |
|-------------|--|--|
| Prototype: | <pre>unsigned long gscBsid IN UTILCardHandle IN unsigned char IN unsigned long OUT Gcacr * OUT GCContainerSid OUT unsigned char);</pre> | * uszAID, unAIDLen, strctGCacr, ze * strctContainerSizes, |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | uszAID: | Target container AID value. The parameter shall be in ASCII hexadecimal format. |
| | unAIDLen: | AID value length in bytes. |
| | strctGCacr: | Structure indicating access control conditions for all operations. The range of possible values for the members of this structure is defined in <u>Table 3-2</u> (Section 3.1). The allowable ACRs for each function are listed in <u>Table 3-3</u> . unKeyIDOrReference contains the key identifier or reference for each access method contained in the ACR in order of appearance. unAuthNb is the number of access methods logically combined in the ACR. ACRID is RFU and must be NULL (0x00) in this version. |
| | <pre>struct GCacr { BSIAcr BSIAcr BSIAcr BSIAcr BSIAcr }; struct BSIAcr { unsigned long unsigned long unsigned long unsigned long unsigned long </pre> | <pre>strctCreateACR; strctDeleteACR; strctReadTagListACR; strctReadValueACR; strctUpdateValueACR; unACRType; unKeyIDOrReference; unAuthNb;</pre> |
| | <pre>unsigned long }; strctContainerSizes:</pre> | unACRID; For Virtual Machine cards, the size(in bytes) of the container specified by uszAID. unMaxNbDataItems is the size of the T-Buffer, and unMaxValueStorageSize is the size of the V-Buffer. For file system cards that cannot calculate these values, both fields of this structure will be set to 0. |

```
struct GCContainerSize {
    unsigned long unMaxNbDataItems;
    unsigned long unMaxValueStorageSize;
    }
    containerVersion: Version of the container. The format of this value is
    application dependent. In cases where the card cannot
    return a container version, this string will contain only
    the null terminator "\0".

Return Codes: BSI_OK
    BSI_BAD_HANDLE
    BSI_SC_LOCKED
    DSI_DD
```

```
BSI_SC_LOCKED
BSI_BAD_AID
BSI_BAD_PARAM
BSI_CARD_REMOVED
BSI_NO_CARDSERVICE
BSI_UNKNOWN_ERROR
```

E.5.4 gscBsiGcReadTagList()

| Purpose: | Return the list of tags in the | e selected container. |
|-----------------|---|---|
| Prototype: | <pre>unsigned long gscBsiGcReadTagList(IN UTILCardHandle hCard, IN unsigned char * uszAID, IN unsigned long unAIDLen, INOUT Gctag * TagArray, INOUT unsigned long * punNbTags);</pre> | |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | uszAID: | Target container AID value. The parameter shall be in ASCII hexadecimal format. |
| | unAIDLen: | AID value length in bytes. |
| | TagArray: | An array containing the list of tags for the selected container. |
| | punNbTags: | Number of tags in TagArray. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_SC_LOCKED BSI_BAD_AID BSI_BAD_PARAM BSI_CARD_REMOVED BSI_NO_CARDSERVICE BSI_ACCESS_DENIED BSI_INSUFFICIENT_BUF BSI_UNKNOWN_ERROR | FER |
| Discovery Mode: | | |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | uszAID: | Target container AID value. |

| 1 urumeterst | 11042 4 1 | |
|---------------|---|--|
| | uszAID: | Target container AID value. |
| | unAIDLen: | AID value length in bytes. |
| | TagArray: | Set to NULL. |
| | punNbTags: | Number of tags which would be contained in a resulting TagArray. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_SC_LOCKED BSI_BAD_AID BSI_BAD_PARAM BSI_CARD_REMOVED BSI_NO_CARDSERVICE BSI_ACCESS_DENIED BSI_UNKNOWN_ERROR | |

E.5.5 gscBsiGcReadValue()

Purpose: Returns the Value associated with the specified Tag.

| Prototype: | unsigned long IN UTILCardHandle IN unsigned char IN unsigned long IN GCtag INOUT unsigned ch INOUT unsigned lo); | <pre>* uszAID, unAIDLen, ucTag, ar * uszValue,</pre> |
|------------------------|--|---|
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | uszAID: | Target container AID value. The parameter shall be in ASCII hexadecimal format. |
| | unAIDLen: | AID value length in bytes. |
| | ucTag: | Tag value of data item to read. |
| | uszValue: | Value associated with the specified tag. The caller must allocate the buffer. |
| | punValueLen: | Size of the buffer allocated by the caller to hold the returned Value (input). Size of the Value returned (output). |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_SC_LOCKED BSI_BAD_AID BSI_BAD_PARAM BSI_BAD_TAG BSI_CARD_REMOVED BSI_NO_CARDSERVICE BSI_NO_CARDSERVICE BSI_ACCESS_DENIED BSI_INSUFFICIENT_BUF BSI_IO_ERROR BSI_UNKNOWN_ERROR | FER |
| <u>Discovery Mode:</u> | | |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | uszAID: | Target container AID value. |
| | | |

unAIDLen: AID value length in bytes.

ucTag: Tag value of data item to read.

uszValue: Set to NULL.

Size of the buffer required to hold the returned Value.

Return Codes: BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_BAD_PARAM BSI_SC_LOCKED BSI_BAD_TAG BSI_CARD_REMOVI

BSI_CARD_REMOVED BSI_NO_CARDSERVICE BSI_ACCESS_DENIED BSI_IO_ERROR

punValueLen:

BSI_UNKNOWN_ERROR

E.5.6 gscBsiGcUpdateValue()

Purpose: Updates the Value associated with the specified Tag.

| Prototype: | <pre>unsigned long IN UTILCardHandle IN unsigned char IN unsigned long IN GCtag IN unsigned char IN unsigned long);</pre> | <pre>* uszAID, unAIDLen, ucTag, * uszValue,</pre> |
|---------------|---|---|
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | uszAID: | Target container AID value. The parameter shall be in ASCII hexadecimal format. |
| | unAIDLen: | AID value length in bytes. |
| | ucTag: | Tag of data item to update. |
| | uszValue: | New Value of the data item. |
| | unValueLen: | Length in bytes of the new Value. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_SC_LOCKED BSI_BAD_AID BSI_BAD_PARAM BSI_BAD_TAG BSI_CARD_REMOVED BSI_NO_CARDSERVICE BSI_ACCESS_DENIED BSI_NO_MORE_SPACE BSI_IO_ERROR BSI_UNKNOWN_ERROR | |

E.6 Smart Card Cryptographic Provider Module Interface Definition

E.6.1 gscBsiGetChallenge()

| Purpose: | Retrieves a randomly generated challenge from the card as the first step of a challenge-response authentication protocol between the client application and the card. The client subsequently encrypts the challenge using a symmetric key and returns the encrypted random challenge to the card through a call to gscBsiUtilAcquireContext() in the uszAuthValue field of a BSIAuthenticator structure. | |
|-----------------|--|---|
| Prototype: | unsigned long IN UTILCardHandle IN unsigned char IN unsigned long INOUT unsigned ch INOUT unsigned lo); | * uszAID, unAIDLen, ar * uszChallenge, |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | uszAID: | Target container AID value. The parameter shall be in ASCII hexadecimal format. |
| | unAIDLen: | AID value length in bytes. |
| | uszChallenge: | Random challenge returned from the card. |
| | punChallengeLen: | Length of random challenge in bytes. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_SC_LOCKED BSI_BAD_AID BSI_BAD_PARAM BSI_CARD_REMOVED BSI_NO_CARDSERVICE BSI_INSUFFICIENT_BUF BSI_UNKNOWN_ERROR | FER |
| Discovery Mode: | | |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | uszAID: | Target container AID value. |
| | unAIDLen: | AID value length in bytes. |

uszChallenge: Set

Set to NULL.

punChallengeLen:Length of buffer required to store returned random
challenge in bytes.

| Return Codes: | BSI_OK |
|----------------------|--------------------|
| | BSI_BAD_HANDLE |
| | BSI_SC_LOCKED |
| | BSI_BAD_AID |
| | BSI_BAD_PARAM |
| | BSI_CARD_REMOVED |
| | BSI_NO_CARDSERVICE |
| | BSI_UNKNOWN_ERROR |

E.6.2 gscBsiSkiInternalAuthenticate()

| Purpose: | the card reader authenticates these cases a BSI_TERMINE | cryptogram in response to a challenge. In cases where s the card, this function does not return a cryptogram. In AL_AUTH will be returned if the card reader successfully _ACCESS_DENIED is returned if the card reader fails to |
|---------------|---|---|
| Prototype: | <pre>unsigned long IN UTILCardHandle IN unsigned char* IN unsigned long IN unsigned char IN unsigned char* IN unsigned long INOUT unsigned char INOUT unsigned long INOUT unsigned long</pre> | |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | uszAID: | SKI provider module AID value. The parameter shall be in ASCII hexadecimal format. |
| | unAIDLen: | AID value length in bytes. |
| | ucAlgoID: | Identifies the cryptographic algorithm that the card must use to encrypt the challenge. All conformant implementations shall, at a minimum, support DES3- ECB (Algorithm Identifier 0x81) and DES3-CBC (Algorithm Identifier 0x82). Implementations may optionally support other cryptographic algorithms. |
| | uszChallenge: | Challenge generated by the client application and submitted to the card. |
| | unChallengeLen: | Length of the challenge in bytes. |
| | uszCryptogram: | The cryptogram computed by the card. |
| | punCryptogramLen: | Length of the cryptogram computed by the card in bytes. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_SC_LOCKED BSI_BAD_AID BSI_BAD_PARAM BSI_BAD_ALGO_ID BSI_CARD_REMOVED BSI_NO_CARDSERVICE BSI_ACCESS_DENIED BSI_TERMINAL_AUTH BSI_INSUFFICIENT BUFF | ₹ER |

BSI_UNKNOWN_ERROR

BSI_CARD_REMOVED BSI_NO_CARDSERVICE BSI_ACCESS_DENIED BSI_TERMINAL_AUTH BSI_UNKNOWN_ERROR

Discovery Mode:

| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
|---------------|--|---|
| | uszAID: | SKI provider module AID value. |
| | unAIDLen: | AID value length in bytes. |
| | ucAlgoID: | Identifies the cryptographic algorithm that the card must use to encrypt the challenge. All conformant implementations shall, at a minimum, support DES3- ECB (Algorithm Identifier 0x81) and DES3-CBC (Algorithm Identifier 0x82). Implementations may optionally support other cryptographic algorithms. |
| | uszChallenge: | Challenge generated by the client application and submitted to the card. |
| | unChallengeLen: | Length of the challenge in bytes. |
| | uszCryptogram: | Set to NULL. |
| | punCryptogramLen: | Length of the buffer required to store the cryptogram computed by the card in bytes. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_BAD_PARAM BSI_SC_LOCKED BSI_BAD_ALGO_ID | |
E.6.3 gscBsiPkiCompute()

 Purpose:
 Performs a private key computation on the message digest using the private key associated with the specified AID.

| Prototype: | <pre>unsigned long gscBsi IN UTILCardHandle IN unsigned char IN unsigned long IN unsigned char IN unsigned char IN unsigned long INOUT unsigned ch INOUT unsigned long</pre> | <pre>hCard, * uszAID, unAIDLen, ucAlgoID, * uszMessage, unMessageLen, ar * uszResult,</pre> |
|---------------|--|---|
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | uszAID: | PKI provider module AID value. The parameter shall be in ASCII hexadecimal format. |
| | unAIDLen: | AID value length in bytes. |
| | ucAlgoID: | Identifies the cryptographic algorithm that will be used to generate the signature. All conformant implementations shall, at a minimum, support RSA_NO_PAD (Algorithm Identifier 0xA3). Implementations may optionally support other algorithms. |
| | uszMessage: | The hash of the message to be signed. |
| | unMessageLen: | Length of hashed message to be signed, in bytes. |
| | uszResult: | Buffer containing the signature. |
| | punResultLen: | Length of the signature buffer in bytes. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_SC_LOCKED BSI_BAD_AID BSI_BAD_PARAM BSI_BAD_ALGO_ID BSI_CARD_REMOVED BSI_ACCESS_DENIED BSI_NO_CARDSERVICE BSI_INSUFFICIENT_BUF BSI_UNKNOWN_EPBOP | FER |

Discovery Mode:

| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
|---------------|--|---|
| | uszAID: | PKI provider module AID value. |
| | unAIDLen: | AID value length in bytes. |
| | ucAlgoID: | Identifies the cryptographic algorithm that will be used to generate the signature. All conformant implementations shall, at a minimum, support RSA_NO_PAD (Algorithm Identifier 0xA3). Implementations may optionally support other algorithms. |
| | uszMessage: | The hash of the message to be signed. |
| | unMessageLen: | Length of hashed message to be signed, in bytes. |
| | uszResult: | Set to NULL. |
| | punResultLen: | Length of the required signature buffer in bytes. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_SC_LOCKED BSI_BAD_AID BSI_BAD_PARAM BSI_BAD_ALGO_ID BSI_CARD_REMOVED BSI_ACCESS_DENIED BSI_NO_CARDSERVICE BSI_UNKNOWN_ERROR | |

E.6.4 gscBsiPkiGetCertificate()

| Purpose: | Reads the certificate from the card. | |
|------------------------|---|--|
| Prototype: | <pre>unsigned long gscBsiPkiGetCertificate(IN UTILCardHandle</pre> | |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | uszAID: | PKI provider module AID value. The parameter shall be in ASCII hexadecimal format. |
| | unAIDLen: | AID value length in bytes. |
| | uszCertificate: | Buffer containing the certificate. |
| | <pre>punCertificateLen:</pre> | Length of the certificate buffer in bytes. |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_SC_LOCKED BSI_BAD_AID BSI_BAD_PARAM BSI_CARD_REMOVED BSI_NO_CARDSERVICE BSI_ACCESS_DENIED BSI_IO_ERROR BSI_INSUFFICIENT_BUF BSI_UNKNOWN_ERROR | FER |
| <u>Discovery Mode:</u> | | |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | uszAID: | PKI provider module AID value. |
| | unAIDLen: | AID value length in bytes. |
| | uszCertificate: | Set to NULL. |
| | punCertificateLen: | Length of the required certificate buffer in bytes. |

Return Codes: BSI_OK BSI_BAD_HANDLE BSI_SC_LOCKED BSI_BAD_AID BSI_BAD_PARAM

BSI_CARD_REMOVED BSI_NO_CARDSERVICE BSI_ACCESS_DENIED BSI_IO_ERROR BSI_UNKNOWN_ERROR

E.6.5 gscBsiGetCryptoProperties()

Purpose: Retrieves the Access Control Rules and private cryptographic key length managed by the PKI provider module.

| Prototype: | unsigned long IN UTILCardHandle IN unsigned char IN unsigned long OUT CRYPTOacr * OUT unsigned long); | * uszAID, unAIDLen, strctCRYPTOacr, |
|---------------|---|--|
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | uszAID: | AID of the PKI provider. The parameter shall be in ASCII hexadecimal format. |
| | unAIDLen: | Length of the AID of the PKI provider, in bytes. |
| | strctCRYPTOacr: | Structure indicating access control conditions for all operations. The BSIAcr structure is defined in Section E.5.3. The range of possible values for the members of this structure are defined in Table 3-2 (Section 3.1), and the allowable ACRs for each function in Table 3-4 (Section 3.2). keyIDOrReference contains the key identifier or reference for each access method contained in the ACR in order of appearance. AuthNb is the number of access methods logically combined in the ACR. ACRID is RFU and must be NULL (0x00) in this version. Note that the strctReadValueACR member maps to the gscBsiPkiGetCertificate() function. |
| | <pre>struct CRYPTOacr { BSIAcr BSIAcr }; punKeyLen:</pre> | <pre>strctGetChallengeACR; strctInternalAuthenticateACR; strctPkiComputeACR; strctCreateACR; strctDeleteACR; strctReadTagListACR; strctReadValueACR; strctUpdateValueACR;</pre> |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_SC_LOCKED BSI_BAD_AID BSI_BAD_PARAM BSI_CARD_REMOVED BSI_NO_CARDSERVICE BSI_UNKNOWN_ERROR | |

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Appendix F—Java Language Binding for BSI Services

This appendix defines the Java language binding, which comprises a set of classes and interfaces that provide the basic support for a Java implementation of a SPM as defined in the GSC-IS.

Similar to the pseudo IDL specification, the Java translation is logically grouped into three functional modules:

- A Smart Card Utility Provider Module
- A Smart Card Generic Container Provider Module
- A Smart Card Cryptographic Provider Module.

This appendix provides the required syntax and semantics of 23 methods that correspond to the 23 functions in <u>Chapter 4</u>. These methods are collectively defined in the public interface gov.gsc.interfaces.BSI (see Section F.1), and shall be implemented within a public class gov.gsc.classes.GSCBSI.

F.1 Interfaces and Classes

Based on the 23 methods described in this appendix (Section F.2 to Section F.4) the interface gov.gsc.interfaces.BSI is defined as follows:

public interface gov.gsc.interfaces.BSI ł public abstract void gscBsiUtilAcquireContext(int hCard, String AID, java.util.Vector strctAuthenticator) throws gov.gsc.classes.BSIException; public abstract int gscBsiUtilConnect(String readerName) throws gov.gsc.classes.BSIException; public abstract void gscBsiUtilDisconnect(int hCard) throws gov.gsc.classes.BSIException; public abstract void gscBsiUtilBeginTransaction(int hCard boolean blType) throws gov.gsc.classes.BSIException; public abstract void gscBsiUtilEndTransaction(hCard int) throws gov.gsc.classes.BSIException; public abstract String gscBsiUtilGetVersion() throws gov.gsc.classes.BSIException; public abstract CardProperties gscBsiUtilGetCardProperties(int hCard) throws gov.gsc.classes.BSIException; public abstract void gscBsiUtilGetCardStatus(hCard int) throws gov.gsc.classes.BSIException; public abstract String gscBsiUtilGetExtendedErrorText(int hCard) throws gov.gsc.classes.BSIException; public abstract java.util.Vector gscBsiUtilGetReaderList() throws gov.gsc.classes.BSIException; public abstract byte[] gscBsiUtilPassthru(int hCard, byte[] cardCommand) throws gov.gsc.classes.BSIException; public abstract void gscBsiUtilReleaseContext(

| : | int | | hCard |
|---|--------|-----|-------|
| | String | AID | |

) throws gov.gsc.classes.BSIException; public abstract void gscBsiGcDataCreate(int hCard, String AID, short tag, byte[] value) throws gov.gsc.classes.BSIException; public abstract void gscBsiGcDataDelete(int hCard, String AID, short tag) throws gov.gsc.classes.BSIException; public abstract ContainerProperties gscBsiGcGetContainerProperties(int hCard, String AID) throws gov.gsc.classes.BSIException; public abstract short[] gscBsiGcReadTagList(int hCard, String AID) throws gov.gsc.classes.BSIException; public abstract byte[] gscBsiGcReadValue(int hCard, String AID, short tag) throws gov.gsc.classes.BSIException; public abstract void gscBsiGcUpdateValue(hCard, int String AID, short tag, byte[] value) throws gov.gsc.classes.BSIException; public abstract byte[] gscBsiGetChallenge(int hCard, String AID) throws gov.gsc.classes.BSIException; public abstract byte[] gscBsiSkiInternalAuthenticate(int hCard, String AID, short algoID, byte[] challenge) throws gov.gsc.classes.BSIException; public abstract byte[] gscBsiPkiCompute(int hCard, String AID, short algoID, message byte[]) throws gov.gsc.classes.BSIException;

F.1.1 - The Same Class GSCBSI Shall Also Implement The Following Interfaces:

- gov.gsc.interfaces.BSIReturnCodes
- gov.gsc.interfaces.CryptographicAlgoID
- gov.gsc.interfaces.BSIAccessControlRules
- gov.gsc.interfaces.BSICardCapabilities

```
F.1.1.1 - The interfaces are defined as follows:
```

}

```
public interface gov.gsc.interfaces.BSIReturnCodes
{
      public static final int BSI_OK
                                                        = 0 \times 00;
      public static final int BSI ACCESS DENIED
                                                        = 0 \times 01;
      public static final int BSI ACR NOT AVAILABLE
                                                        = 0 \times 02;
      public static final int BSI_BAD_AID
                                                        = 0 \times 03;
      public static final int BSI_BAD_ALGO_ID
                                                       = 0 \times 04;
      public static final int BSI_BAD_AUTH
                                                       = 0 \times 05;
      public static final int BSI_BAD_HANDLE
                                                       = 0x06;
      public static final int BSI_BAD_PARAM
                                                       = 0 \times 07;
      public static final int BSI BAD TAG
                                                       = 0 \times 08;
      public static final int BSI CARD ABSENT
                                                       = 0 \times 09;
      public static final int BSI CARD REMOVED
                                                       = 0 \times 0 A;
      public static final int BSI NO SPSSERVICE
                                                       = 0 \times 0 B;
      public static final int BSI_IO_ERROR
                                                       = 0 \times 0 C;
      public static final int BSI_INSUFFICIENT_BUFFER = 0x0E;
      public static final int BSI_NO_CARDSERVICE = 0x0F;
      public static final int BSI_NO_MORE_SPACE
                                                       = 0 \times 10;
      public static final int BSI_PIN_LOCKED
                                                       = 0x11;
      //Note : 0x12 is RFU
      public static final int BSI TAG EXISTS
                                                       = 0x13;
      public static final int BSI_TIMEOUT_ERROR
                                                       = 0x14;
      public static final int BSI_TERMINAL_AUTH
                                                       = 0x15;
      public static final int BSI_NO_TEXT_AVAILABLE = 0x16;
      public static final int BSI_UNKNOWN_ERROR
                                                       = 0 \times 17;
                                                      = 0x18;
      public static final int BSI_UNKNOWN_READER
      public static final int BSI SC LOCKED
                                                       = 0x19;
      public static final int BSI_NOT_TRANSACTED = 0x20;
```

```
}
public interface gov.gsc.interfaces.CryptographicAlgoID
      //Mandatory Cryptographic Algorithms (see Section 4.3)
      //Cryptographic algorithm computation on the private key,
      //Chinese Remainder Theory.
      public static final short RSA NO PAD = 0xA3;
      //DES3-ECB cryptographic algorithm with a double length
      //key-size of 16 bytes.
      public static final short BSI_DES3_ECB = 0x81;
      //DES3-CBC cryptographic algorithm with a double length
      //key-size of 16 bytes.
      public static final short BSI_DES3_CBC = 0x82;
}
public interface gov.gsc.interfaces.BSIAccessControlRules
      //BSI ACR Values as defined in the Table 3-1.
      public static final int BSI_ACR_ALWAYS
                                                        = 0 \times 00;
      public static final int BSI_ACR_NEVER
                                                       = 0 \times 01;
                                                       = 0 \times 02;
      public static final int BSI_ACR_XAUTH
      public static final int BSI_ACR_XAUTH_OR_PIN = 0x03;
      public static final int BSI_SECURE_CHANNEL_GP = 0x04;
      public static final int BSI_ACR_PIN_ALWAYS = 0x05;
public static final int BSI_ACR_PIN = 0x06;
      public static final int BSI_ACR_XAUTH_THEN_PIN = 0x07;
      public static final int BSI_ACR_UPDATE_ONCE
                                                        = 0 \times 08;
      public static final int BSI_ACR_PIN_THEN_XAUTH = 0x09;
      //NOTE: 0x0A currently not used
      public static final int BSI_SECURE_CHANNEL_ISO = 0x0B;
      public static final int BSI XAUTH AND PIN = 0 \times 0C;
      //NOTE: RESERVED FOR FUTURE USED 0x0D-0xFF
}
public interface gov.gsc.interfaces.BSICardCapabilities
      public static final int BSI_GCCDM
                                             = 0x0000001;
= 0x00000002;
      public static final int BSI_SKI
                                              = 0x0000004;
      public static final int BSI_PKI
}
```

F.1.1.2 - All 23 methods throw a BSIException if an error occurred during execution. A BSIException shall be constructed using one of the eligible return code listed for every individual method.

The class BSIException is defined as follows:

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}

```
11
    fillInStackTrace, getLocalizedMessage, getMessage,
11
    printStackTrace, printStackTrace, printStackTrace,
11
    toString
//Methods inherited from class java.lang.Object
// clone, equals, finalize, getClass, hashCode,
11
    notify, notifyAll, wait, wait, wait
//All Implemented Interfaces:
11
       java.io.Serializable
//FIELDS:
protected int errorCode = 0;
//CONSTRUCTORS:
//Constructor specifying the error code value as
//defined in the Table 4-1
public BSIException(int error)
{
   super();
   errorCode = error;
}
//Constructor specifying the error code value
//and corresponding message as defined in the Table 4-1
public BSIException(int error,
                    String msg)
{
   super(msg);
   errorCode = error;
}
//ACCESSORS:
//Gets error code
//Returns: errorCode
public int getErrorCode()
{ return errorCode; }
```

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F.2 Smart Card Utility Provider Module Interface Definition

F.2.1 gscBsiUtilAcquireContext()

| Purpose: | submitting the appropriate ACRs requiring external au | Authenticator in the BSI. athentication (XAUTH), the sture must contain a crypt CBsiGetChallenge() . cates the smart card, this | ogram calculated by encrypting a In cases where the card function returns a |
|-------------|---|---|---|
| | the calling application pass BSIAuthenticator strue PIN and the appropriate Ex BSIAuthenticator strue accessMethodType field of authenticator contained in BSI_ACR_PIN_AND_XAUT BSIAuthenticators: or Authentication cryptogram | es in the required authem ctures. In this example the ternal Authentication cry ctures. The client application of each BSIAuthentic in the structure. To satisfied the the application would be containing a PIN and contraining a PIN and contraining the BSIAuthentication cry ternal Authentication cry | the calling application passes a septogram in two ation must set the ator structure to match the type fy an ACR of construct a sequence of two one containing an External for structure containing the PIN IN, and the BSIAuthenticator |
| Prototype: | | int String java.util.Vector | Context(hCard, AID, strctAuthenticator |
| Parameters: | hCard: | Card connection handle | from gscBsiUtilConnect(). |
| | AID: | | e provider or container. The an ASCII hexadecimal string. |
| | strctAuthenticator: | authenticator(s) specific a value in the container authenticators is returne gscBsiGcGetContain | ed by |
| | The BSIAuthenticator | class is defined as follow | s: |
| | <pre>public class gov.gsc { //FIELDS: protected int</pre> | .classes.BSIAuthen | |

```
protected int keyIDOrReference;
protected byte[] authValue;
//CONSTRUCTORS:
public BSIAuthenticator()
ł
     accessMethodType = 0;
     keyIDOrReference = 0;
     authValue = "";
}
public BSIAuthenticator( int int
                                  amType,
                                   keyIDOrRef,
                         byte[]
                                   authVal )
{
     accessMethodType = amType;
     keyIDOrReference = keyIDOrRef;
     authValue = authVal;
}
//ACCESSORS:
public int getAccessMethodType()
   return accessMethodType; }
{
public void setAccessMethodType(int type)
{ accessMethodType = type; }
public int getKeyIDOrReference()
{ return keyIDOrReference; }
public void setKeyIDOrReferece(int keyIDOrRef)
   keyIDOrReference = keyIDOrRef; }
{
public byte[] getAuthValue()
{ return authValue; }
public void setAuthValue(byte[] auth)
{ authValue = auth; }
```

}

The fields of the BSIAuthenticator class are:

| accessMethodType: | Access Method Type (see <u>Table 3-1</u> in <u>Section 3.1</u>). |
|-------------------|--|
| keyIDOrReference: | Key identifier or reference of the authenticator. This is used to distinguish between multiple authenticators with the same Access Method Type. |
| authValue: | Authenticator, can be an external authentication cryptogram or PIN. If the authenticator value is NULL, then BSI is in charge of gathering authentication information and authenticating to the card. |

Return codes: BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_ACR_NOT_AVAILABLE BSI_SC_LOCKED BSI_BAD_AUTH BSI_CARD_REMOVED BSI_PIN_BLOCKED BSI_TERMINAL_AUTH BSI_UNKNOWN_ERROR

F.2.2 gscBsiUtilConnect()

 Purpose:
 Establish a logical connection with the card inserted in a specified reader.

 BSI_TIMEOUT_ERROR will be returned if a connection cannot be established within a specified time. The timeout value is implementation dependent.

 Parameter:
 readerName:
 Name of the reader that the card is inserted into. If this field is an empty String, the SPS shall attempt to connect to the card in the first available reader, as returned by a call to the BSI's function gscBsiUtilGetReaderList(). The Name of the reader shall be stored as ASCII encoding Strings. (See Section 4.2)

Return Value: hCard: Card connection handle.

Return codes: BSI_OK BSI_UNKNOWN_READER BSI_CARD_ABSENT BSI_TIMEOUT_ERROR BSI_UNKNOWN_ERROR

F.2.3 gscBsiUtilDisconnect()

| Purpose: | Terminate a logical connection to a card. |
|---------------|---|
| Prototype: | <pre>public abstract void gscBsiUtilDisconnect(</pre> |
| Parameter: | hCard: Card connection handle from gscBsiUtilConnect(). |
| Return codes: | BSI_OK BSI_BAD_HANDLE BSI_CARD_REMOVED BSI_UNKNOWN_ERROR |

F.2.4 gscBsiUtilBeginTransaction()

| Purpose: | Starts an exclusive transaction with the smart card referenced by hCard. When the transaction starts, all other applications are precluded from accessing the smart card while the transaction is in progress. Two types of calls can be made with that function: a blocking transaction call and a non-blocking transaction call. A boolean type parameter identify which mode is called. In the non-blocking mode, the call will return immediately if another client has an active transaction lock. The returned error code will be BSI_SC_LOCKED. In the blocking mode, the call will wait indefinitely for any active transaction locks to be released. A transaction must be completed by a call to gscBsiUtilEndTransaction(). | | |
|--------------|--|---|--|
| | For single-threaded BSI implementations, it can be assumed that each application will be associated with a separate process. The same process that starts a transaction must also complete the transaction. For multi-threaded BSI implements, it can be assumed that each application will be associated with a separate thread and/or process. The same thread that starts a transaction must also complete the transaction. | | |
| | If this function is called by a thread that has already called gscBsiUtilBeginTransaction() but has not yet called gscBsiUtilEndTransaction() it will return the error BSI_NOT_TRANSACTED. | | |
| | | r Software) does not support transaction locking, it should IO_SPSSERVICE in response to a call to action() . | |
| Prototype: | <pre>public abstract void) throws gov.gsc.cla</pre> | gscBsiUtilBeginTransaction(int hCard boolean blType sses.BSIException; | |
| Parameters: | hCard: | Card communication handle returned from gscBsiUtilConnect() | |
| | blType: | Boolean specifying the type of transaction call (blType set to "true" in blocking mode. blType set to "false" in non blocking mode). | |
| Return Code: | BSI_OK BSI_BAD_HANDLE BSI_UNKNOWN_ERROR BSI_SC_LOCKED BSI_NOT_TRANSACTED BSI_NO_SPSSERVICE | | |

F.2.5 gscBsiUtilEndTransaction()

| Purpose: | Completes a previously started transaction, allowing other applications to resume interactions with the card. | |
|---------------|---|--|
| | 5 | a thread that has not yet called action() it will return the error |
| | | r Software) does not support transaction locking, it should to_SPSSERVICE in response to a call to tion(). |
| Prototype: | | gscBsiUtilEndTransaction(int hCard asses.BSIException; |
| Parameters: | hCard: | Card communication handle returned from gscBsiUtilConnect(). |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_UNKNOWN_ERROR BSI_NOT_TRANSACTED | |

BSI_NO_SPSSERVICE

F.2.6 gscBsiUtilGetVersion()

Purpose: Returns the BSI implementation version.

Return Value: version: A String representing the BSI and SPS's version formatted as "major,minor,revision,build_number". The value for an SPS conformant with this version of the GSC-IS is "2,1,0,<build number>". The build number field is vendor/implementation dependent. The version shall be stored as ASCII encoded Strings. (See Section 4.2)

Return codes: BSI_OK BSI_UNKNOWN_ERROR

F.2.7 gscBsiUtilGetCardProperties()

```
Purpose:
               Retrieves ID and capability information for the card.
Prototype:
               public abstract CardProperties gscBsiUtilGetCardProperties(
                                         hCard
                              int
                ) throws gov.gsc.classes.BSIException;
Parameter:
               hCard:
                               Card connection handle from gscBsiUtilConnect().
Return Value:
               cardProps:
                               A CardProperties object defined as follows:
               public class gov.gsc.classes.CardProperties
                {
                      //FIELDS:
                                            cardCapability;
                      protected int
                      protected byte[]
                                            CCCUniqueID;
                      //CONSTRUCTORS:
                      public CardProperties( int capability,
                                           byte[] uniqueID)
                      {
                           cardCapability = capability;
                           CCCUniqueID = uniqueID;
                      }
                      //ACCESSORS
                      public int getCardCapability()
                          return cardCapability; }
                      public byte[] getCCCUniqueID()
                          return CCCUniqueID; }
                      public void setCardCapability(int capability)
                          cardCapability = capability; }
                      {
                      public void setCCCUniqueID(byte[] id)
                          CCCUniqueID = id; }
                      {
                }
```

where the fields are described as follows:

| CCCUniqueID: | String for the Card Capability Container ID. |
|-----------------|---|
| cardCapability: | Bit mask value defining the providers supported by the card. The bit masks represent the Generic Container Data Model, the Generic Container Data Model Extended, the Symmetric Key Interface, and the Public Key Interface providers respectively. |

```
Return codes: BSI_OK
BSI_BAD_HANDLE
BSI_CARD_REMOVED
BSI_SC_LOCKED
```

BSI_NO_CARDSERVICE BSI_UNKNOWN_ERROR

F.2.8 gscBsiUtilGetCardStatus()

Purpose: Checks whether a given card's handle is associated with a card that is inserted into a powered up reader.

Parameters:hCard:Card connection handle from gscBsiUtilConnect().

| Return codes: | BSI_OK |
|----------------------|-------------------|
| | BSI_BAD_HANDLE |
| | BSI_CARD_REMOVED |
| | BSI_UNKNOWN_ERROR |

F.2.9 gscBsiUtilGetExtendedErrorText()

| Purpose: | When a BSI function call throws a BSIException, an application can make a subsequent call to this function to receive additional error information from the card reader driver layer, if available. Since the GSC-IS architecture accommodates different card reader driver layers, the error text information will be dependent on the card reader driver layer used in a particular implementation. This function must be called immediately after the error has occurred. | |
|---------------|--|---|
| Prototype: | <pre>public abstract String gscBsiUtilGetExtendedErrorText(</pre> | |
| Parameters: | hCard: | Card connection handle gscBsiUtilConnect(). |
| Return Value: | errorText: | A String of maximum 255 characters including the null terminator, containing an implementation specific error text. If an extended error text string is not available, this function returns an empty string and BSI_NO_TEXT_AVAILABLE. The error text shall be stored as ASCII encoding Strings. (See Section 4.2) |
| Return Codes: | BSI_OK BSI_BAD_HANDLE BSI_NO_TEXT_AV BSI_UNKNOWN_ER | AILABLE |

F.2.10 gscBsiUtilGetReaderList()

| Purpose: | Retrieves the list of available readers. | | |
|---------------|---|---|--|
| Prototype: | <pre>public abstract java.util.Vector gscBsiUtilGetReaderList()</pre> | | |
| Return Value: | vReaderList: | Vector of Strings containing a list of the available readers. The Strings shall be in ASCII format. | |
| Return codes: | BSI_OK BSI_UNKNOWN_ER | ROR | |

F.2.11 gscBsiUtilPassthru()

| Purpose: | Allows a client application to send a "raw" APDU through the BSI directly to the card and receive the APDU-level response. | | |
|---------------|--|--|--|
| Prototype: | <pre>public abstract byte[] gscBsiUtilPassthru(</pre> | | |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). | |
| | cardCommand: | An array of bytes representing the APDU to be sent to the card. The parameter must be in ASCII hexadecimal format. | |
| Return Value: | cardResponse: | An array of bytes representing the APDU response from the card. The parameter must be in ASCII hexadecimal format. The response must include the status bytes SW1 and SW2 returned by the card. | |
| Return codes: | BSI_OK BSI_BAD_HANDLE BSI_BAD_PARAM BSI_SC_LOCKED BSI_CARD_REMOVED BSI_UNKNOWN_ERROR | | |

F.2.12 gscBsiUtilReleaseContext()

| Purpose: | Terminate a session with the target container on the card. | | |
|---------------|--|--|--|
| Prototype: | - | t void gscBsiUtilReleaseContext (int hCard, String AID sc.classes.BSIException; | |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). | |
| | AID: | Target container AID value. The AID shall be stored as an ASCII hexadecimal string. | |
| Return codes: | BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_SC_LOCKED BSI_CARD_REMOV BSI_UNKNOWN_ER | ED | |

F.3 Smart Card Generic Container Provider Module Interface Definition

F.3.1 gscBsiGcDataCreate()

| Purpose: | Create a new data item in {Tag, Length, Value} format in the selected container. | |
|---------------|--|---|
| Prototype: | | t void gscBsiGcDataCreate(int hCard, String AID, short tag, byte[] value sc.classes.BSIException; |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | AID: | Target container AID value. The AID shall be stored as an ASCII hexadecimal string. |
| | tag: | Tag of data item to store. |
| | value: | Data value to store. |
| Return codes: | BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_BAD_PARAM BSI_SC_LOCKED BSI_CARD_REMOVED BSI_NO_CARDSERVICE BSI_ACCESS_DENIED BSI_ACCESS_DENIED BSI_NO_MORE_SPACE BSI_TAG_EXISTS BSI_IOERROR BSI_UNKNOWN_ERROR | |

F.3.2 gscBsiGcDataDelete()

| Purpose: | Delete the data item associated with the tag value in the specified container. | | |
|---------------|---|---|--|
| Prototype: | | t void gscBsiGcDataDelete (int hCard, String AID, short tag sc.classes.BSIException; | |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). | |
| | AID: | Target container AID value. The AID shall be stored as an ASCII hexadecimal string. | |
| | tag: | Tag of data item to delete. | |
| Return codes: | BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_BAD_TAG BSI_SC_LOCKED BSI_CARD_REMOV BSI_NO_CARDSER BSI_ACCESS_DEN BSI_IO_ERROR BSI_UNKNOWN_ER | VICE IED | |

F.3.3 gscBsiGcGetContainerProperties()

```
Purpose:
                Retrieves the properties of the specified container.
Prototype:
                public abstract ContainerProperties
                gscBsiGcGetContainerProperties(
                               int
                                         hCard,
                               String
                                         AID
                ) throws gov.gsc.classes.BSIException;
Parameters:
                hCard:
                                Card connection handle from gscBsiUtilConnect().
                AID:
                                Target container AID value. The AID shall be stored as an
                                ASCII hexadecimal string.
Return Value:
                containerProps: A ContainerProperties object defined as follows:
                public class gov.gsc.classes.ContainerProperties
                      //FIELDS:
                      protected GCacr strctGCacr;
                      protected GCContainerSize strctContainerSizes;
                      protected String containerVersion;
                      //CONSTRUCTORS:
                      public ContainerProperties()
                      ł
                            strctGCacr = new GCacr();
                            strctContainerSizes = new GCContainerSize();
                            containerVersion = new
                      byte[CONTAINER VERSION MAXLENGTH];
                      public ContainerProperties( GCacr acr,
                                                    GCContainerSize sizes,
                                            String version)
                      {
                            strctGCacr = acr;
                            strctContainerSizes = sizes;
                            containerVersion = version;
                      }
                      //ACCESSORS
                      public GCacr getGCacr()
                          return strctGCacr; }
                      {
                      public GCContainerSize getGCContainerSize()
                          return strctContainerSizes; }
                      public String getContainerVersion()
                          return containerVersion; }
                      public void setGCacr(GCacr thisACR)
                          strctGCacr = thisACR; }
```

```
public void setGCContainerSize(GCContainerSize thisSize)
{ strctContainerSizes = thisSize; }
public void setContainerVersion(String thisVersion)
{ containerVersion = thisVersion; }
```

where the fields are described as follows:

}

strctGCacr: Object indicating access control conditions for all operations. The range of possible values for the instance variables of this object is defined in <u>Table 3-2</u> (Section 3.1). The allowable ACRs for each function are listed in <u>Table 3-3</u> (Section 3.2). keyIDOrReference contains the key identifier or reference for each access method contained in the ACR in order of appearance. AuthNb is the number of access methods logically combined in the ACR. ACRID is RFU and must be NULL (0x00) in this version.

The class GCacr is defined as follows:

```
public class gov.gsc.classes.GCacr
{
   //FIELDS:
   protected BSIAcr createACR;
  protected BSIAcr deleteACR;
  protected BSIAcr readTagListACR;
   protected BSIAcr readValueACR;
  protected BSIAcr updateValueACR;
   //CONSTRUCTORS
   public GCacr()
   {
        createACR = new BSIAcr();
        deleteACR = new BSIAcr();
        readTagListACR = new BSIAcr();
        readValueACR = new BSIAcr();
        updateValueACR = new BSIAcr();
   public GCacr(BSIAcr c, BSIAcr d, BSIAcr rt, BSIAcr rv,
   BSIAcr u)
   {
        createACR = c_i
        deleteACR = d;
        readTagListACR = rt;
        readValueACR = rv;
        updateValueACR = u;
   }
```

}

```
//ACCESSORS
public void setCreateACR(BSIAcr i)
{ createACR = i; }
public void setDeleteACR(BSIAcr i)
{ deleteACR = i; }
public void setReadTagListACR(BSIAcr i)
{ readTagListACR = i; }
public void setReadValueACR(BSIAcr i)
{ readValueACR = i; }
public void setUpdateValueACR(BSIAcr i)
{ updateValueACR = i; }
public BSIAcr getCreateACR()
{ return createACR; }
public BSIAcr getDeleteACR()
{ return deleteACR; }
public BSIAcr getReadTagListACR()
{ return readTagListACR; }
public BSIAcr getReadValueACR()
{ return readValueACR; }
public BSIAcr getUpdateValueACR()
{ return updateValueACR; }
```

The class BSIAcr is defined as follows:

```
public class gov.gsc.classes.BSIAcr
{
   //FIELDS:
  protected int ACRType;
  protected int[] keyIDOrReference;
  protected int authNb;
   protected int ACRID;
   //CONSTRUCTORS
   public GCacr()
   {
        ACRType = 0;
        keyIDOrReference = new int[MaxNbAM];
        authNb = 0;
        ACRID = 0;
   }
   public GCacr(int acrType, int[] keyIDOrRef, int authNum,
   int acrID)
   {
        ACRType = acrType;
       keyIDOrReference = keyIDOrRef;
        authNb = authNum;
       ACRID = acrID;
   }
   //ACCESSORS
  public void setACRType(int i)
   { ACRType = i; }
   public void setKeyIDOrReference(int[] i)
```

```
{ keyIDOrReference = i; }
public void setAuthNb(int i)
{ authNb = i; }
public void setACRID(int i)
{ ACRID = i; }
public int getACRType()
{ return ACRType; }
public int[] getKeyIDOrReference()
{ return keyIDOrReference; }
public int getAuthNb()
{ return authNb; }
public int getACRID()
{ return ACRID; }
```

}strctContainerSizes: Object indicating the size (in bytes) of the container specified by the AID.

```
public class gov.gsc.classes.GCContainerSize
{
      protected int maxNbDataItems;
     protected int maxValueStorageSize;
      //CONSTRUCTORS
      public GCContainerSize ()
      {
          maxNbDataItems = 0;
          maxValueStorageSize = 0;
      }
     public GCContainerSize (int i, int s)
      {
          maxNbDataItems = i;
          maxValueStorageSize = s;
      }
      //ACCESSORS
     public void setMaxNbDataItems(int i)
         maxNbDataItems = i; }
      {
     public void setMaxValueStorageSize(int i)
     { maxValueStorageSize = i; }
     public int getMaxNbDataItems()
     { return maxNbDataItems; }
     public int getMaxValueStorageSize()
      { return maxValueStorageSize; }
}
```

```
Return codes: BSI_OK
BSI_BAD_HANDLE
BSI_BAD_AID
BSI_SC_LOCKED
BSI_CARD_REMOVED
BSI_NO_CARDSERVICE
BSI_UNKNOWN_ERROR
```

F.3.4 gscBsiGcReadTagList()

| Purpose: | Return the list of tags in the selected container. | |
|---------------|--|--|
| Prototype: | - | t short[] gscBsiGcReadTagList (int hCard, String AID sc.classes.BSIException; |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | AID: | Target container AID value. The AID shall be stored as an ASCII hexadecimal string. |
| Return Value: | tagListArray: | An array containing the list of tags for the selected container. The tags shall be of the type "short". |
| Return codes: | BSI_OK BSI_BAD_HANDLE BSI_SC_LOCKED BSI_BAD_AID BSI_CARD_REMOV BSI_NO_CARDSER BSI_ACCESS_DEN BSI_UNKNOWN_ER | ED VICE IED |

F.3.5 gscBsiGcReadValue()

| Purpose: | Returns the Value associated with the specified Tag. | | |
|---------------|---|------------------------|--|
| Prototype: | | int String short | gscBsiGcReadValue(hCard, AID, tag s.BSIException; |
| Parameters: | hCard: | Card connec | ction handle from gscBsiUtilConnect(). |
| | AID: | • | ainer AID value. The AID shall be stored as an decimal string. |
| | tag: | Tag value of | f data item to read. |
| Return Value: | value: | Data Value | associated with the specified tag. |
| Return codes: | BSI_OK BSI_BAD_HANDLE BSI_SC_LOCKED BSI_BAD_AID BSI_BAD_TAG BSI_CARD_REMOV BSI_NO_CARDSER BSI_ACCESS_DEN BSI_IO_ERROR BSI_UNKNOWN_ER | ED VICE IED | |

F.3.6 gscBsiGcUpdateValue()

| Purpose: | Updates the Value associated with the specified Tag. | |
|---------------|--|--|
| Prototype: | | t void gscBsiGcUpdateValue (int hCard, String AID, short tag, byte[] value sc.classes.BSIException; |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). |
| | AID: | Target container AID value. The AID shall be stored as an ASCII hexadecimal string. |
| | tag: | Tag of data item to update. |
| | value: | New Value of the data item. |
| Return codes: | BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_SC_LOCKED BSI_BAD_PARAM BSI_BAD_TAG BSI_CARD_REMOV BSI_NO_CARDSER BSI_ACCESS_DEN BSI_NO_MORE_SP BSI_IO_ERROR | VICE IED |

BSI_UNKNOWN_ERROR
F.4 Smart Card Cryptographic Provider Module Interface Definition

F.4.1 gscBsiGetChallenge()

| Purpose: | Retrieves a randomly generated challenge from the card as the first step of a challenge-response authentication protocol between the client application and the card. The client subsequently encrypts the challenge using a symmetric key and returns the encrypted random challenge to the card through a call to gscBsiUtilAcquireContext() in the authValue instance field of the BSIAuthenticator object. | | | |
|---------------|---|---|--------------------------------------|-------------------------------|
| Prototype: | | Int String | hCard, AID | |
| Parameters: | hCard: | Card conne | ction handle from | gscBsiUtilConnect(). |
| | AID: | | ainer AID value. adecimal string. | The AID shall be stored as an |
| Return Value: | challenge: | An array of bytes representing a random challenge returned from the card. | | |
| Return codes: | BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_SC_LOCKED BSI_CARD_REMOVI BSI_NO_CARDSER BSI_UNKNOWN_ERI | VICE | | |

F.4.2 gscBsiSkiInternalAuthenticate()

Purpose: Computes a symmetric key cryptogram in response to a challenge. In cases where the card reader authenticates the card, this function does not return a cryptogram. In these cases a BSI_TERMINAL_AUTH will be returned if the card reader successfully authenticates the card. BSI_ACCESS_DENIED is returned if the card reader fails to authenticate the card.

Prototype: public abstract byte[] gscBsiSkiInternalAuthenticate(int hCard, String AID, short algoID, byte[] challenge) throws gov.gsc.classes.BSIException; **Parameters:** hCard: Card connection handle from gscBsiUtilConnect(). AID: SKI provider module AID value. The AID shall be stored as an ASCII hexadecimal string. algoID: Identifies the cryptographic algorithm that the card must use to encrypt the challenge. All conformant implementations shall, at a minimum, support the following algorithms: DES3-ECB (Algorithm Identifier 0x81) and DES3-CBC (Algorithm Identifier 0x82). Implementations may optionally support other cryptographic algorithms. Challenge generated by the client application and submitted to challenge: the card. **Return Value:** The cryptogram computed by the card. cryptogram: **Return codes:** BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI SC LOCKED BSI BAD PARAM BSI BAD ALGO ID BSI CARD REMOVED BSI_NO_CARDSERVICE BSI_ACCESS_DENIED BSI_UNKNOWN_ERROR

F.4.3 gscBsiPkiCompute()

| Purpose: | Performs a private key computation on the message digest using the private key associated with the specified AID. | | | |
|---------------|---|--|---|--|
| Prototype: | | int String short byte[] | gscBsiPkiCompute(hCard, AID, algoID, message s.BSIException; | |
| Parameters: | hCard: | Card connection handle from gscBsiUtilConnect(). | | |
| | AID: | PKI provider module AID value. The AID shall be stored as an ASCII hexadecimal string. | | |
| | algoID: | generate th a minimum | he cryptographic algorithm that will be used to e signature. All conformant implementations shall, at n, support RSA_NO_PAD (Algorithm Identifier 0xA3). ations may optionally support other algorithms. | |
| | message: | The message | ge digest to be signed. | |
| Return Value: | result: | An array of | f bytes containing the signature. | |
| Return codes: | BSI_OK BSI_BAD_HANDLE BSI_BAD_AID BSI_SC_LOCKED BSI_BAD_PARAM BSI_BAD_ALGO_I BSI_CARD_REMOV BSI_ACCESS_DEN BSI_NO_CARDSER BSI_UNKNOWN_ER | D ED IED VICE | | |

F.4.4 gscBsiPkiGetCertificate()

| Purpose: | Reads the certificate from the card. | | |
|---------------|--|-------------------|---|
| Prototype: | | int String | gscBsiPkiGetCertificate(hCard, AID s.BSIException; |
| Parameters: | hCard: | Card conne | ction handle from gscBsiUtilConnect(). |
| | AID: | - | er module AID value. The AID shall be stored as an adecimal string. |
| Return Value: | certificate: | An array of | bytes containing the certificate. |
| Return codes: | BSI_OK BSI_BAD_HANDLE BSI_SC_LOCKED BSI_BAD_AID BSI_CARD_REMOV BSI_NO_CARDSER BSI_ACCESS_DEN BSI_IO_ERROR BSI_UNKNOWN_ER | ED VICE IED | |

F.4.5 gscBsiGetCryptoProperties()

Purpose: Retrieves the Access Control Rules associated with the PKI provider module. **Prototype:** public abstract CryptoProperties gscBsiGetCryptoProperties(hCard, int String AID) throws gov.gsc.classes.BSIException; **Parameters:** Card connection handle from gscBsiUtilConnect(). hCard: AID: AID of the PKI provider. The AID shall be stored as an ASCII hexadecimal string. **Return Value:** A CryptoProperties object defined as follows: cryptoProps: public class gov.gsc.classes.CryptoProperties ł // FIELDS protected CRYPTOacr strctCRYPTOacr; protected int keyLen; // CONSTRUCTORS public CryptoProperties() { strctCRYPTOacr = new CRYPTOacr(); keyLen = 0;} public CryptoProperties(CRYPTOacr acr, int keylen) ł strctCRYPTOacr = acr; keyLen = keylen; } // ACCESSORS public CRYPTOacr getCRYPTOacr() { return strctCRYPTOacr; } public int getKeyLen() { return keyLen; } public void setCRYPTOacr(CRYPTOacr thisACR) { strctCRYPTOacr = thisACR; } public void setKeyLen(int keylen) { keyLen = keylen; } } strctCRYPTOacr: Object indicating access control conditions for all operations.

The BSIAcr structure is defined in Section F.3.3. The range of possible values for the instance fields of this object are defined in <u>Table 3-2</u> (Section 3.1), and the allowable ACRs for each function in <u>Table 3-4</u> (Section 3.2).

```
keyIDOrReference contains the key identifier or reference
                 for each access method contained in the ACR in order of
                 appearance. authNb is the number of access methods
                 logically combined in the ACR. ACRID is RFU and must be
                 NULL (0x00) in this version. Note that the readValueACR
                 member maps to the gscBsiPkiGetCertificate()
                 function.
public class gov.gsc.classes.CRYPTOacr
   //FIELDS:
   protected BSIAcr getChallengeACR;
   protected BSIAcr internalAuthenticateACR;
   protected BSIAcr pkiComputeACR;
   protected BSIAcr createACR;
   protected BSIAcr deleteACR;
   protected BSIAcr readTagListACR;
   protected BSIAcr readValueACR;
   protected BSIAcr updateValueACR;
   //CONSTRUCTORS
   public CRYPTOacr()
   {
         getChallengeACR = new BSIAcr();
         internalAuthenticateACR = new BSIAcr;
         pkiComputeACR = new BSIAcr;
         createACR = new BSIAcr();
         deleteACR = new BSIAcr();
         readTagListACR = new BSIAcr();
         readValueACR = new BSIAcr();
         updateValueACR = new BSIAcr();
   }
   public CRYPTOacr(BSIAcr ch, BSIAcr ia, BSIAcr pc, BSIAcr c,
   BSIAcr d, BSIAcr rt, BSIAcr rv, BSIAcr u)
   {
         getChallengeACR = ch;
         internalAuthenticateACR = ia;
         pkiComputeACR = pc;
         createACR = c;
         deleteACR = d;
         readTagListACR = rt;
         readValueACR = rv;
         updateValueACR = u;
   }
   //ACCESSORS
   public void setGetChallengeACR(int i)
   { getChallengeACR = i; }
   public void setInternalAuthenticateACR(int i)
   { internalAuthenticateACR = i; }
   public void setPkiComputeACR(int i)
```

```
{ pkiComputeACR = i; }
public void setCreateACR(BSIAcr i)
{ createACR = i; }
public void setDeleteACR(BSIAcr i)
{ deleteACR = i; }
public void setReadTagListACR(BSIAcr i)
{ readTagListACR = i; }
public void setReadValueACR(BSIAcr i)
{ readValueACR = i; }
public void setUpdateValueACR(BSIAcr i)
{ updateValueACR = i; }
public int getGetChallengeACR()
{ return getChallengeACR; }
public int getInternalAuthenticateACR()
{ return internalAuthenticateACR; }
public int getPkiComputeACR()
{ return pkiComputeACR; }
public BSIAcr getCreateACR()
{ return createACR; }
public BSIAcr getDeleteACR()
{ return deleteACR; }
public BSIAcr getReadTagListACR()
{ return readTagListACR; }
public BSIAcr getReadValueACR()
{ return readValueACR; }
public BSIAcr getUpdateValueACR()
   return updateValueACR; }
{
```

keyLen:

BSI_UNKNOWN_ERROR

}

Length of the private key managed by the PKI provider.

| Return codes: | BSI_OK |
|---------------|--------------------|
| | BSI_BAD_HANDLE |
| | BSI_BAD_AID |
| | BSI_SC_LOCKED |
| | BSI_CARD_REMOVED |
| | BSI_NO_CARDSERVICE |

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Appendix G—Contactless Smart Card Requirements

This appendix defines the requirements for GSC contactless smart cards, in accordance with the decisions of the Government Smart Card Interagency Advisory Board's Physical Access Interoperability Working Group (PAIWG). Contactless smart cards are often used in physical access control applications, but may also be used in the same environment as contact type cards. These requirements must therefore satisfy the following design goals:

GSC contactless cards should provide a minimum interoperability mechanism for cardholder identification in both physical access control and contact card type environments. This cardholder identification mechanism should use the same card edge functions (APDUs) and Data Models as those defined for GSC contact cards, to ensure interoperability with middleware designed for GSC contact cards.

The minimum interoperability mechanism for cardholder identification is to read a Security Equipment Integration Working Group (SEIWG)[SEIW] string from a fixed location using APDUs defined in the GSC virtual card edge interface.

G.1 Card to Reader Interoperability

GSC contactless cards and readers shall conform to ISO 14443 Parts 1 through 4[1444]. Cryptographic functionality is not required, but GSC contactless cards that implement cryptography shall use FIPS approved cryptographic algorithms in FIPS 140-2 [FIPS1] validated modules.

G.2 Contactless Card Edge

GSC contactless cards shall support two ISO 7816-4 [ISO4] APDUs required to select the SEIWG container/file and read the SEIWG string; READ BINARY and SELECT EF.

Note: The return code 0x9000 indicates command success, all other return codes indicate failure. Additional information on the READ BINARY APDU and SELECT EF APDUs can be found in <u>Sections</u> 5.1.1.2 and 5.1.1.4, respectively.

The Master File shall be automatically selected when a GSC contactless file system card is powered up. The applet that manages the SEIWG container shall be automatically selected on a GSC contactless Virtual Machine card at power up. SELECT MF and SELECT AID APDUs are therefore not required.

GSC contactless cards may optionally support other APDUs. These additional APDUs should be taken from the GSC file system card edge definitions in <u>Chapter 5</u>, to achieve maximum interoperability with middleware written for GSC contact cards.

G.3 Data Model Requirements

The SEIWG string is stored in a separate mandatory container/file in TLV format (EF 0007). This is necessary because host applications operating in a physical access control environment must be able to retrieve SEIWG strings quickly from a fixed location, and because no Access Control Rules are imposed on SEIWG container read operations. For file system cards, this file shall be a transparent file.

| SEIWG File / Buffer | EF 0007 | Always Read |
|---------------------|---------|-------------|
|---------------------|---------|-------------|

| Data Element (TLV) | Tag | Туре | Max. Bytes |
|----------------------|-----|-------|------------|
| SEIWG Data | 30 | Fixed | 40* |
| Error Detection Code | FE | LRC | 1 |
| | | LKU | 1 |

*The SEIWG data format is defined in [SEIW].

Only the FID component is mandated for the SEIWG File / Buffer. For container based implementations, the RID component of the AID is not defined by this specification.

All GSC contactless cards shall contain the SEIWG file as defined above as well as a valid Card Capability Container (see <u>Chapter 6</u>). Physical access control applications may elect not to read this container for reasons of efficiency. This is possible because GSC contactless cards directly implement a subset of the GSC virtual card edge interface and therefore require no APDU mapping.

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Appendix H—Acronyms

| ACA | Access Control Applet |
|----------|---|
| ACR | Access Control Rule |
| AID | Application Identifier |
| ANSI | American National Standards Institute |
| APDU | Application protocol data unit |
| API | Applications Programming Interface |
| ASN.1 | Abstract Syntax Notation One |
| ATR | Answer-to-Reset |
| b | Binary value |
| BSI | Basic Services Interface |
| CAD | Card Accepting Device |
| CCC | Card Capability Container |
| CEI | Card Edge Interface |
| CHV | Card Holder Verification |
| CLA | Class Byte of the Command Message |
| СТ | Capability Tuple |
| DES | Data Encryption Standard |
| DES3 | Triple Data Encryption Standard |
| DES3-CBC | Triple Data Encryption Standard in Cipher Block Chaining mode |
| DES3-ECB | Triple Data Encryption Standard in Electronic Codebook mode |
| EDC | Error Detection Code |
| FID | File ID |
| FCI | File Control Information |
| GCA | Generic Container Applet |

| GSC | Government Smart Card, as defined in the Smart Access Common Identification Card Solicitation |
|--------------|---|
| GSC-IS | Government Smart Card Interoperability Specification |
| h | Hexadecimal value |
| IEC | International Electrotechnical Commission |
| INS | Instruction Byte of Command Message associated with the $T=0$ and $T=1$ protocol |
| ISO | International Organization for Standardization |
| LEN or Len | Length |
| LOUD | Length of useful data |
| LRC | Longitudinal Redundancy Check associated with the $T=1$ protocol |
| LSB | Less Significant Byte |
| LSN | Least significant nibbles |
| MAC | Message Authentication Code |
| MSB | Most Significant Byte |
| MSE | Manage security environment command |
| OCF | Open Card Framework |
| P1(2) | Parameters used in the $T=0$ and $T=1$ protocol |
| PAIWG | Physical Access Interoperability Working Group |
| PC/SC | Personal Computer/Smart Card |
| PIN | Personal Identification Number |
| РКІ | Public Key Infrastructure |
| PKCS | Public Key Cryptography Standards |
| RFU | Reserved for Future Use |
| RID | Registerd Application Provider Identifier |
| SEIWG | Security Enterprise Integration Working Group |
| SKI | Symmetric Key Interface |

| SPM | Service Provider Module |
|--------|---|
| SPS | Service Provider Software |
| ST | Status Tuple |
| SW1(2) | Status Word1 (2) |
| T=0 | Character-oriented asynchronous half duplex transmission protocol |
| T=1 | Block-oriented asynchronous half duplex transmission protocol |
| TLV | Tag-Length-Value |
| USZ | Unsigned Zero-Terminated Character String |
| VCEI | Virtual Card Edge Interface |
| VM | Virtual Machine |
| VM CEI | Virtual Machine Card Edge Interface |
| XSI | Extended Service Interface(s) |